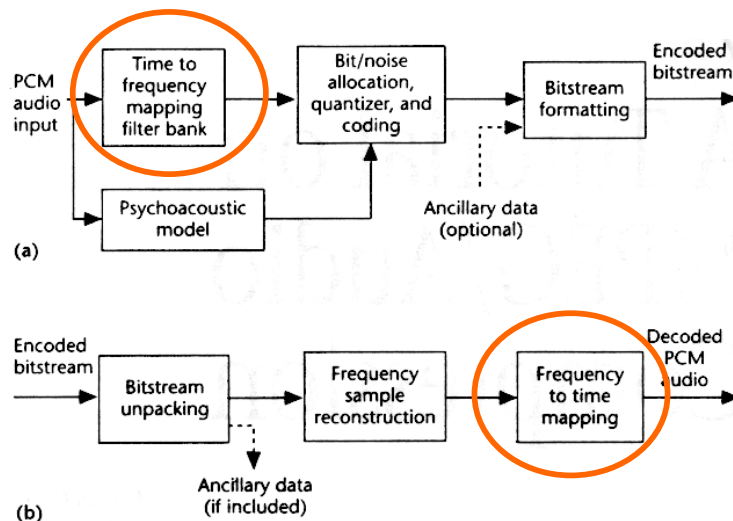


Lecture 7  
Sampling & Aliasing  
14-Sept-01

Information

- **REVISED Chapter 4 posted**
  - Most changes are in first 15 pages
- **Tuesday** Lab sections will start Lab #4 next week (25-Sept)
  - Tuesday Labs will meet on Nov. 20th
- Lab #4 is Music Synthesis
  - **Formal** Lab Report: will be worth 150 pts
  - Listening Tests the following week.

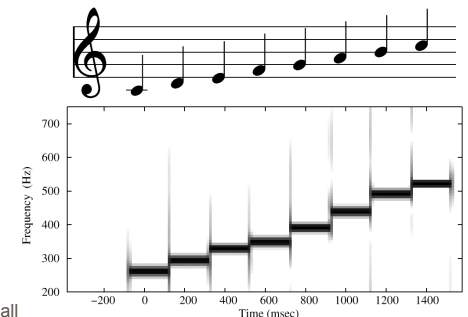
MP-3 Block Diagram



CD-ROM DEMOS

- USE THE DEMOS
- Chapter 3: Spectrum
  - DEMOS of SPECTROGRAM
  - BEAT NOTES/AM
  - SPEECH
  - MUSIC
  - FM & Chirps

LECTURE



## READING ASSIGNMENTS

### ■ This Lecture:

- Revised Ch 4, pp. 4000-4017
  - Replaces Chapter 4, pp. 83-94

### ■ Other Reading:

- Recitation: Rev Ch 4: pp. 4017-4023
  - same as: Chapter 4, pp. 90-100
  - Strobe Demo
- Next Lecture: Chap. 4 rev, pp. 4023-4032

## LECTURE OBJECTIVES

### ■ SAMPLING can cause ALIASING

#### ■ Sampling Theorem

- Sampling Rate > 2(Highest Frequency)

### ■ Spectrum for digital signals, $x[n]$

- Normalized Frequency

$$\hat{\omega} = \omega T_s = \frac{2\pi f}{f_s} + 2\pi\ell$$

↑  
**ALIASING**

## SYSTEMS Process Signals



### ■ PROCESSING GOALS:

- Change  $x(t)$  into  $y(t)$ 
  - For example, more BASS
- Improve  $x(t)$ , e.g., image deblurring
- Extract Information from  $x(t)$

## System IMPLEMENTATION

### ■ ANALOG/ELECTRONIC:

- Circuits: resistors, capacitors, op-amps



### ■ DIGITAL/MICROPROCESSOR

- Convert  $x(t)$  to **numbers** stored in memory



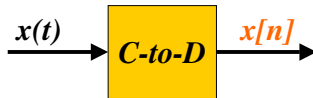
# SAMPLING $x(t)$

## SAMPLING PROCESS

- Convert  $x(t)$  to **numbers**  $x[n]$
- " $n$ " is an integer;  $x[n]$  is a sequence of values
- Think of " $n$ " as the storage address in memory

## UNIFORM SAMPLING at $t = nT_s$

- IDEAL:  $x[n] = x(nT_s)$



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# SAMPLING RATE, $f_s$

## SAMPLING RATE ( $f_s$ )

- $f_s = 1/T_s =$  NUMBER of SAMPLES PER SECOND
- $T_s = 125$  microsec  $\rightarrow f_s = 8000$  samples/sec
  - UNITS ARE HERTZ: 8000 Hz

## UNIFORM SAMPLING at $t = nT_s = n/f_s$

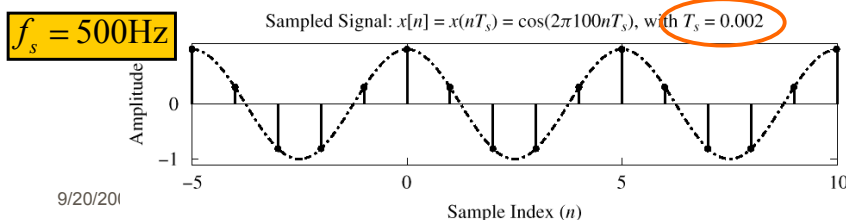
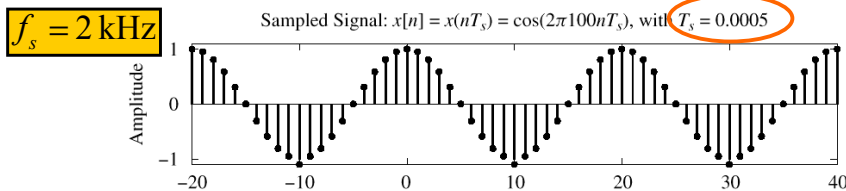
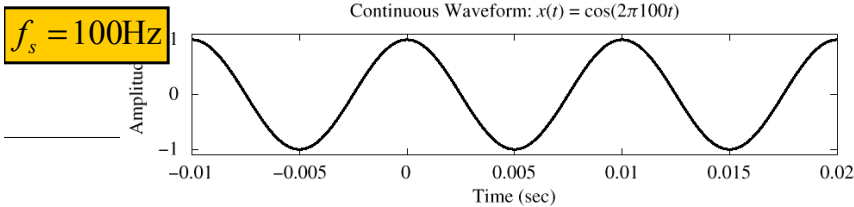
- IDEAL:  $x[n] = x(nT_s) = x(n/f_s)$



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Sample Index ( $n$ )

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# SAMPLING THEOREM

## HOW OFTEN ?

- DEPENDS on FREQUENCY of SINUSOID
- ANSWERED by SHANNON/NYQUIST
- ALSO DEPENDS on "**RECONSTRUCTION**"

### Shannon Sampling Theorem

A continuous-time signal  $x(t)$  with frequencies no higher than  $f_{\max}$  can be reconstructed exactly from its samples  $x[n] = x(nT_s)$ , if the samples are taken at a rate  $f_s = 1/T_s$  that is greater than  $2f_{\max}$ .

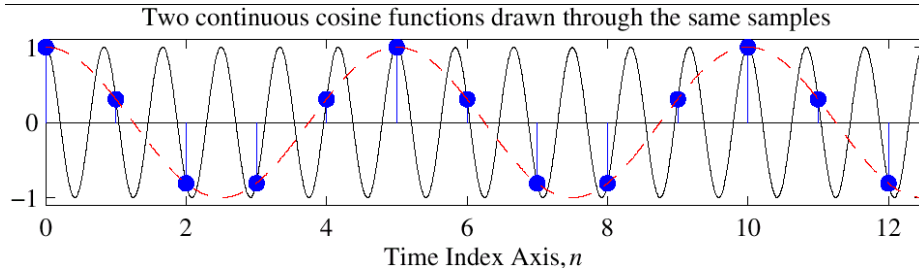
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## Reconstruction? Which One?

Given the samples, draw a sinusoid through the values



$$x[n] = \cos(0.4\pi n)$$

When  $n$  is an integer  
 $\cos(0.4\pi n) = \cos(2.4\pi n)$

## STORING DIGITAL SOUND

- $x[n]$  is a SAMPLED SINUSOID
  - A list of numbers stored in memory
- CD rate is 44,100 samples per second
  - 16-bit samples
  - Stereo uses 2 channels
- Number of bytes for 1 minute is
  - $2 \times (16/8) \times 60 \times 44100 = 10.584$  Mbytes

## DISCRETE-TIME SINUSOID

- Change  $x(t)$  into  $x[n]$  **DERIVATION**

$$x(t) = A \cos(\omega t + \varphi)$$

$$x[n] = x(nT_s) = A \cos(\omega nT_s + \varphi)$$

$$x[n] = A \cos((\omega T_s)n + \varphi)$$

$$x[n] = A \cos(\hat{\omega}n + \varphi)$$

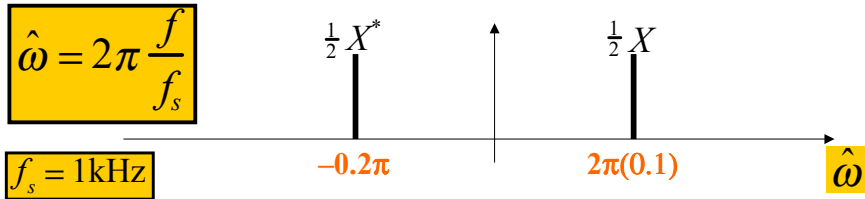
$$\hat{\omega} = \omega T_s \quad \text{DEFINE DIGITAL FREQUENCY}$$

## DIGITAL FREQUENCY $\hat{\omega}$

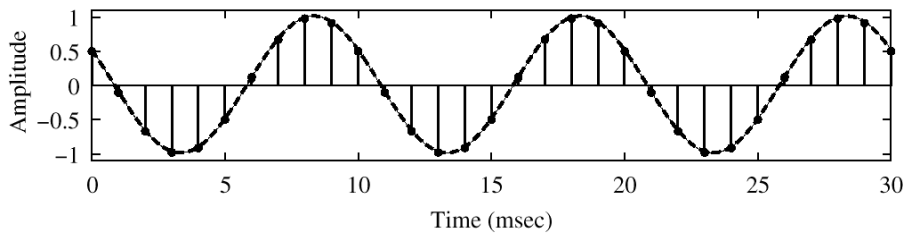
- $\hat{\omega}$  VARIES from **0** to  **$2\pi$** , as  $f$  varies from 0 to the sampling frequency
- UNITS are radians, **not** rad/sec
  - DIGITAL FREQUENCY is NORMALIZED

$$\hat{\omega} = \omega T_s = \frac{2\pi f}{f_s}$$

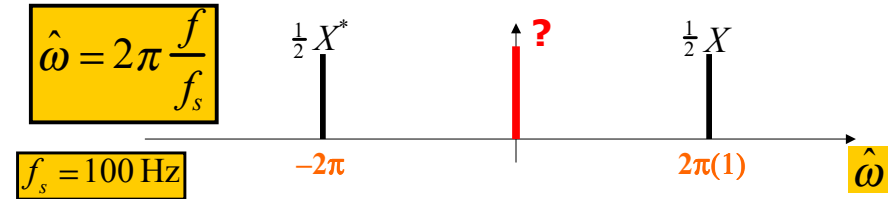
## SPECTRUM (DIGITAL)



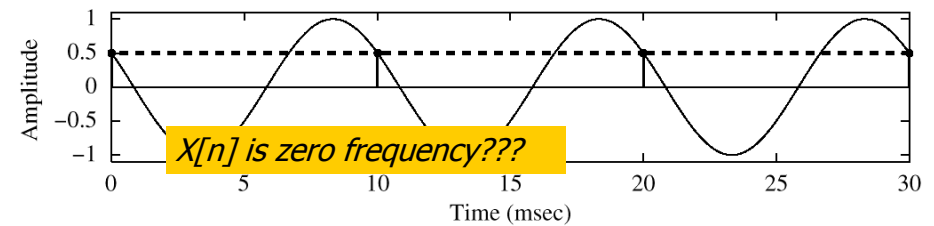
$x[n] = \cos(2\pi(100)(n / 1000) + \varphi)$   
 100-Hz Cosine Wave: Sampled with  $T_s = 1$  msec (1000 Hz)



## SPECTRUM (DIGITAL) ???



$x[n] = \cos(2\pi(100)(n / 100) + \varphi)$   
 100-Hz Cosine Wave: Sampled with  $T_s = 10$  msec (100 Hz)



## The REST of the STORY

- Spectrum of  $x[n]$  has more than one line for each complex exponential
  - Called **ALIASING**
  - **MANY SPECTRAL LINES**
- SPECTRUM is PERIODIC with period =  $2\pi$ 
  - Because

$$A \cos(\hat{\omega}n + \varphi) = A \cos((\hat{\omega} + 2\pi)n + \varphi)$$

## ALIASING DERIVATION

- Other Frequencies give the same  $\hat{\omega}$ 
  - $x_1(t) = \cos(400\pi t)$  sampled at  $f_s = 1000\text{ Hz}$
  - $x_1[n] = \cos(400\pi \frac{n}{1000}) = \cos(0.4\pi n)$
  - $x_2(t) = \cos(2400\pi t)$  sampled at  $f_s = 1000\text{ Hz}$
  - $x_2[n] = \cos(2400\pi \frac{n}{1000}) = \cos(2.4\pi n)$
  - $x_2[n] = \cos(2.4\pi n) = \cos(0.4\pi n + 2\pi n) = \cos(0.4\pi n)$
  - $\Rightarrow x_2[n] = x_1[n]$

$$2400\pi - 400\pi = 2\pi(1000)$$

## ALIASING DERIVATION-2

- Other Frequencies give the same  $\hat{\omega}$

If  $x(t) = A \cos(2\pi(f + \ell f_s)t + \varphi)$

$$t \leftarrow \frac{n}{f_s}$$

and we want:  $x[n] = A \cos(\hat{\omega}n + \varphi)$

$$\text{then: } \hat{\omega} = \frac{2\pi(f + \ell f_s)}{f_s} = \frac{2\pi f}{f_s} + \frac{2\pi \ell f_s}{f_s}$$

$$\hat{\omega} = \omega T_s = \frac{2\pi f}{f_s} + 2\pi \ell$$

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## ALIASING CONCLUSIONS

- ADDING  $f_s$  or  $2f_s$  or  $-f_s$  TO THE FREQ of  $x(t)$  gives exactly the same  $x[n]$ 
  - The samples,  $x[n] = x(n/f_s)$  are EXACTLY THE SAME VALUES
- GIVEN  $x[n]$ , WE CAN'T DISTINGUISH  $f_0$  FROM  $(f_0 + f_s)$  or  $(f_0 + 2f_s)$

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## NORMALIZED FREQUENCY

- DIGITAL FREQUENCY

*Normalized Radian Frequency*

$$\hat{\omega} = \omega T_s = \frac{2\pi f}{f_s} + 2\pi \ell$$

*Normalized Cyclic Frequency*

$$\hat{f} = \hat{\omega}/(2\pi) = f T_s = f/f_s$$

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## SPECTRUM for $x[n]$

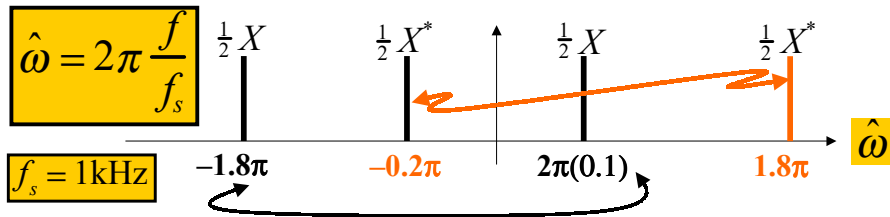
- PLOT versus NORMALIZED FREQUENCY
- INCLUDE ALL SPECTRUM LINES
  - ALIASES
    - ADD MULTIPLES of  $2\pi$
    - SUBTRACT MULTIPLES of  $2\pi$
  - FOLDED ALIASES
    - (to be discussed later)
    - ALIASES of NEGATIVE FREQS

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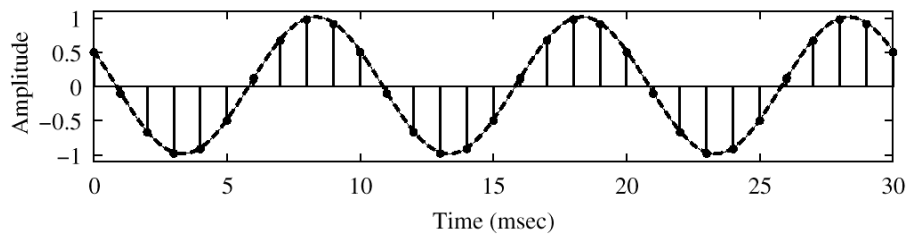
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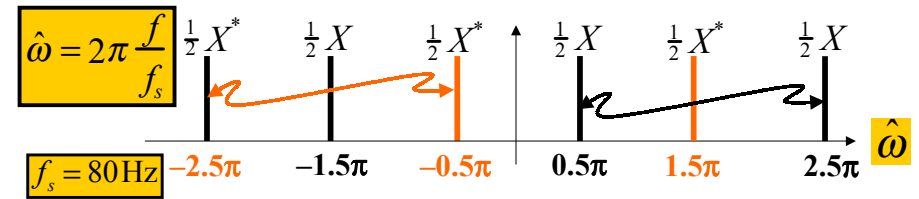
## SPECTRUM (MORE LINES)



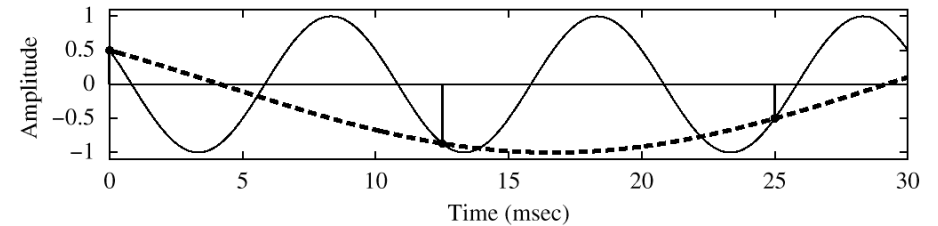
100-Hz Cosine Wave: Sampled with  $T_s = 1$  msec (1000 Hz)



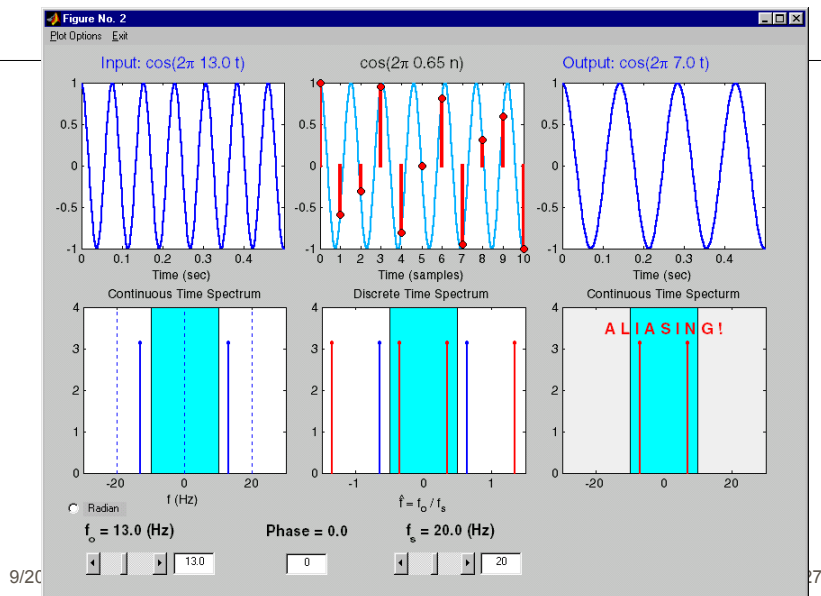
## SPECTRUM (ALIASING CASE)



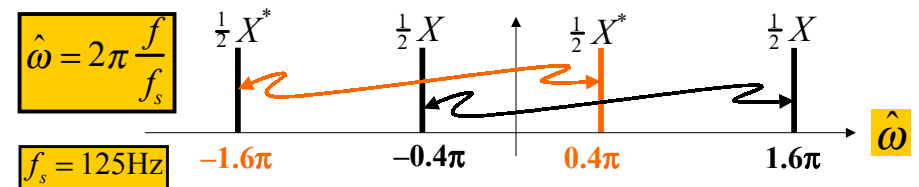
100-Hz Cosine Wave: Sampled with  $T_s = 12.5$  msec (80 Hz)



## SAMPLING GUI (new)



## SPECTRUM (FOLDING CASE)



100-Hz Cosine Wave: Sampled with  $T_s = 8$  msec (125 Hz)

