

QUIVERS WITH POTENTIALS
AND THEIR REPRESENTATIONS II:
APPLICATIONS TO CLUSTER ALGEBRAS

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1. INTRODUCTION

This paper continues our study of quivers with potentials and their representations initiated in [9]. Here we develop some applications of this theory to the theory of cluster algebras. As shown in [12], the structure of cluster algebras is to a large extent controlled by a family of integer vectors called \mathfrak{g} -vectors, and a family of integer polynomials called F -polynomials. In the case of skew-symmetric exchange matrices (the terminology will be recalled later), we find an interpretation of \mathfrak{g} -vectors and F -polynomials in terms of representations of quivers with potentials. Using this interpretation, we prove most of the conjectures about \mathfrak{g} -vectors and F -polynomials made in [12].

Now we describe the main results of the paper in more detail. Fix a positive integer n . As in [11] and [12, Definition 2.8], we work with the n -regular tree \mathbb{T}_n whose edges are labeled by the numbers $1, \dots, n$, so that the n edges emanating

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from each vertex receive different labels. We write $t \overset{k}{\text{---}} t'$ to indicate that vertices $t, t' \in \mathbb{T}_n$ are joined by an edge labeled by k . We also fix a vertex $t_0 \in \mathbb{T}_n$ and a skew-symmetrizable integer $n \times n$ matrix $B = (b_{i,j})$ (recall that this means that $d_i b_{i,j} = -d_j b_{j,i}$ for some positive integers d_1, \dots, d_n). We refer to B as the *exchange matrix* at t_0 . To t_0 and B we associate a family of integer vectors $\mathbf{g}_{\ell;t} = \mathbf{g}_{\ell;t}^{B;t_0} \in \mathbb{Z}^n$ (*g-vectors*) and a family of integer polynomials $F_{\ell;t} = F_{\ell;t}^{B;t_0} \in \mathbb{Z}[u_1, \dots, u_n]$ (*F-polynomials*) in n independent variables u_1, \dots, u_n ; here $\ell = 1, \dots, n$ and $t \in \mathbb{T}_n$. Both families can be defined via the recurrence relations on the tree \mathbb{T}_n given by (2.1)–(2.3) and (2.4)–(2.6) below.

Now we state some conjectures from [12].

Conjecture 1.1 ([12, Conjecture 5.4]). *Each polynomial $F_{\ell;t}^{B;t_0}$ has constant term 1.*

In view of [12, Proposition 5.3], Conjecture 1.1 is equivalent to the following.

Conjecture 1.2 ([12, Conjecture 5.5]). *Each polynomial $F_{\ell;t}^{B;t_0}$ has a unique monomial of maximal degree. Furthermore, this monomial has coefficient 1, and it is divisible by all the other occurring monomials.*

Conjecture 1.3 ([12, Conjecture 6.13]). *For every $t \in \mathbb{T}_n$, the vectors $\mathbf{g}_{1;t}^{B;t_0}, \dots, \mathbf{g}_{n;t}^{B;t_0}$ are sign-coherent; i.e., for any $i = 1, \dots, n$, the i -th components of all these vectors are either all nonnegative or all nonpositive.*

Conjecture 1.4 ([12, Conjecture 7.10(2)]). *For every $t \in \mathbb{T}_n$, the vectors $\mathbf{g}_{1;t}^{B;t_0}, \dots, \mathbf{g}_{n;t}^{B;t_0}$ form a \mathbb{Z} -basis of the lattice \mathbb{Z}^n .*

Conjecture 1.5 ([12, Conjecture 7.10(1)]). *Suppose we have*

$$\sum_{i \in I} a_i \mathbf{g}_{i;t}^{B;t_0} = \sum_{i \in I'} a'_i \mathbf{g}_{i;t'}^{B;t_0}$$

for some $t, t' \in \mathbb{T}_n$, some nonempty subsets $I, I' \subseteq \{1, \dots, n\}$ and some positive integers a_i and a'_i . Then there is a bijection $\sigma : I \rightarrow I'$ such that, for every $i \in I$, we have

$$a_i = a'_{\sigma(i)}, \quad \mathbf{g}_{i;t}^{B;t_0} = \mathbf{g}_{\sigma(i);t'}^{B;t_0}, \quad F_{i;t}^{B;t_0} = F_{\sigma(i);t'}^{B;t_0}.$$

In particular, for given B and t_0 , each polynomial $F_{i;t}^{B;t_0}$ is determined by the vector $\mathbf{g}_{i;t}^{B;t_0}$.

To state our last conjecture, we need to recall the *matrix mutation* introduced in [11]. For any $k = 1, \dots, n$, we define an integer $n \times n$ matrix $\mu_k(B) = (b'_{i,j})$ by setting

$$(1.1) \quad b'_{i,j} = \begin{cases} -b_{i,j} & \text{if } i = k \text{ or } j = k; \\ b_{i,j} + [b_{i,k}]_+ + [b_{k,j}]_+ - [-b_{i,k}]_+ - [-b_{k,j}]_+ & \text{otherwise,} \end{cases}$$

where we use the notation

$$(1.2) \quad [b]_+ = \max(b, 0).$$

Conjecture 1.6 ([12, Conjecture 7.12]). *Let $t_0 \overset{k}{\text{---}} t_1$ be two adjacent vertices in \mathbb{T}_n , and let $B' = \mu_k(B)$. Then, for any $t \in \mathbb{T}_n$ and $\ell = 1, \dots, n$, the g-vectors*

$\mathbf{g}_{\ell;t}^{B;t_0} = (g_1, \dots, g_n)$ and $\mathbf{g}'_{\ell;t}^{B';t_1} = (g'_1, \dots, g'_n)$ are related as follows:

$$(1.3) \quad g'_j = \begin{cases} -g_k & \text{if } j = k; \\ g_j + [b_{j,k}]_+ g_k - b_{j,k} \min(g_k, 0) & \text{if } j \neq k. \end{cases}$$

We can now state one of our main results.

Theorem 1.7. *The conjectures 1.1–1.6 hold under the assumption that the exchange matrix B is skew-symmetric.*

Remark 1.8. As explained in [12, Remark 7.11], Conjectures 1.1 and 1.5 imply the linear independence of cluster monomials in any cluster algebra satisfying a mild additional condition [12, (7.10)].

Remark 1.9. The above conjectures were established in [13] under some additional conditions (that the cluster algebras in question admit a certain categorification). Our method described below has an advantage that the only condition we need is that the matrix B is skew-symmetric.

As mentioned already, our proof of Theorem 1.7 is based on interpreting \mathbf{g} -vectors and F -polynomials in terms of representations of quivers with potentials. First of all, a skew-symmetric integer $n \times n$ matrix B can be encoded by a quiver $Q(B)$ without loops and oriented 2-cycles on the set of vertices $[1, n] = \{1, \dots, n\}$. This is done as follows:

$$(1.4) \quad \text{for any two vertices } i \neq j, \text{ there are } [b_{i,j}]_+ \text{ arrows from } j \text{ to } i \text{ in } Q(B).$$

As is customary these days, we represent a quiver by a quadruple (Q_0, Q_1, h, t) consisting of a pair of finite sets Q_0 (vertices) and Q_1 (arrows) supplied with two maps $h : Q_1 \rightarrow Q_0$ (head) and $t : Q_1 \rightarrow Q_0$ (tail); every arrow $a \in Q_1$ is viewed as a directed edge $a : t(a) \rightarrow h(a)$. For the quiver $Q(B)$, the vertex set Q_0 is identified with $[1, n]$.

Recall that a representation M of a quiver Q is specified by a family of finite-dimensional vector spaces $(M(i))_{i \in Q_0}$ (for simplicity we work over \mathbb{C}) and a family of linear maps $a = a_M : M(t(a)) \rightarrow M(h(a))$ for $a \in Q_1$. The dimension vector \mathbf{d}_M of M is given by

$$(1.5) \quad \mathbf{d}_M = (\dim M(1), \dots, \dim M(n)).$$

For every integer vector $\mathbf{e} = (e_1, \dots, e_n)$, we denote by $\text{Gr}_{\mathbf{e}}(M)$ the quiver Grassmannian of subrepresentations $N \subseteq M$ with $\mathbf{d}_N = \mathbf{e}$. In simple terms, an element of $\text{Gr}_{\mathbf{e}}(M)$ is an n -tuple $(N(1), \dots, N(n))$, where each $N(i)$ is a subspace of dimension e_i in $M(i)$, and $a_M(N(j)) \subseteq N(i)$ for any arrow $a : j \rightarrow i$. Thus, $\text{Gr}_{\mathbf{e}}(M)$ is a closed subvariety of the product of ordinary Grassmannians $\prod_{i=1}^n \text{Gr}_{e_i}(M(i))$, hence a projective algebraic variety.

Let $\chi(\text{Gr}_{\mathbf{e}}(M))$ denote the Euler-Poincaré characteristic of $\text{Gr}_{\mathbf{e}}(M)$ (see, e.g., [14, Section 4.5]). We associate to a quiver representation M the polynomial $F_M \in \mathbb{Z}[u_1, \dots, u_n]$ given by

$$(1.6) \quad F_M(u_1, \dots, u_n) = \sum_{\mathbf{e}} \chi(\text{Gr}_{\mathbf{e}}(M)) \prod_{i=1}^n u_i^{e_i}.$$

We refer to F_M as the F -polynomial of M .

It is immediate from (1.6) that every polynomial F_M satisfies properties in Conjectures 1.1 and 1.2. Thus, to prove these conjectures for any skew-symmetric matrix B , it suffices to construct, for t_0, ℓ and t as above, a representation $M = M_{\ell;t}^{B;t_0}$ of $Q(B)$ such that

$$(1.7) \quad F_{\ell;t}^{B;t_0} = F_M.$$

We do this in Theorem 5.1 using mutations of quivers with potentials and their representations introduced and studied in [9].

To prove the conjectures involving \mathbf{g} -vectors, we need to consider quiver representations equipped with some extra structure. First, following [16], we work with *decorated representations* $\mathcal{M} = (M, V)$, where M is a representation of $Q(B)$, and $V = (V(i))_{i \in Q_0}$ is a family of finite-dimensional \mathbb{C} -vector spaces, with no maps attached. Second, M must be *nilpotent*, that is, annihilated by all sufficiently long paths in $Q(B)$. Finally and most importantly, the action of arrows in M must satisfy the relations from the Jacobian ideal of a generic potential on $Q(B)$. The corresponding setup developed in [9] will be recalled in Section 4; here we just describe a general form of the relations. For every two arrows $a, b \in Q_1$ with $h(a) = t(b)$, a generic potential S on $Q(B)$ gives rise to an element $\partial_{ba}(S)$ of the complete path algebra of $Q(B)$: this is a (possibly infinite) linear combination of paths from $h(b)$ to $t(a)$. For every $k \in Q_0$, these elements give rise to the triangle of linear maps

$$(1.8) \quad \begin{array}{ccc} & M(k) & \\ \alpha_k \nearrow & & \searrow \beta_k \\ M_{\text{in}}(k) & \xleftarrow{\gamma_k} & M_{\text{out}}(k). \end{array}$$

Here the spaces $M_{\text{in}}(k)$ and $M_{\text{out}}(k)$ are given by

$$(1.9) \quad M_{\text{in}}(k) = \bigoplus_{h(a)=k} M(t(a)), \quad M_{\text{out}}(k) = \bigoplus_{t(b)=k} M(h(b)),$$

the maps α_k and β_k are given by

$$(1.10) \quad \alpha_k = \sum_{h(a)=k} a_M, \quad \beta_k = \sum_{t(b)=k} b_M,$$

and, for each $a, b \in Q_1$ with $h(a) = t(b) = k$, the component $\gamma_{a,b} : M(h(b)) \rightarrow M(t(a))$ of γ_k is given by

$$(1.11) \quad \gamma_{a,b} = (\partial_{ba}S)_M.$$

In these terms, the relations on M imposed by the choice of S are just the following:

$$(1.12) \quad \alpha_k \circ \gamma_k = 0, \quad \gamma_k \circ \beta_k = 0.$$

We refer to a decorated representation with these properties as a *QP-representation* (for “quivers with potentials”).

Now we define the \mathbf{g} -vector $\mathbf{g}_{\mathcal{M}} = (g_1, \dots, g_n) \in \mathbb{Z}^n$ of a QP-representation $\mathcal{M} = (M, V)$ by setting

$$(1.13) \quad g_k = \dim \ker \gamma_k - \dim M(k) + \dim V(k).$$

As a first step towards proving Conjectures 1.3–1.6 for B skew-symmetric, in Theorem 5.1 we construct, for t_0, ℓ and t as above, an indecomposable QP-representation $\mathcal{M} = \mathcal{M}_{\ell;t}^{B;t_0}$ of $Q(B)$ such that

$$(1.14) \quad \mathbf{g}_{\ell;t}^{B;t_0} = \mathbf{g}_{\mathcal{M}}$$

(note that $\mathcal{M} = (M, V)$, where the quiver representation $M = M_{\ell;t}^{B;t_0}$ satisfies (1.7)).

Our main tool in working with QP-representations is the mutation operation $\mathcal{M} \mapsto \mu_k(\mathcal{M})$ (for each $k \in Q_0$) sending QP-representations of the quiver $Q(B)$ to those of $Q(\mu_k(B))$. This operation was introduced and studied in [9], where it was shown in particular that μ_k sends indecomposable QP-representations into indecomposable ones. In terms of the mutations, the family of QP-representations $\mathcal{M}_{\ell;t}^{B;t_0}$ is determined by the following two properties:

- For $t = t_0$, we have

$$(1.15) \quad \mathcal{M}_{\ell;t_0}^{B;t_0} = \mathcal{S}_{\ell}^{-},$$

the *negative simple QP-representation* such that the only nonzero space among the $M(i)$ and $V(i)$ is $V(\ell) = \mathbb{C}$.

- If $t_0 \xrightarrow{k} t_1$ in \mathbb{T}_n and $B' = \mu_k(B)$, then

$$(1.16) \quad \mathcal{M}_{\ell;t}^{B';t_1} = \mu_k(\mathcal{M}_{\ell;t}^{B;t_0}).$$

In contrast with the situation for F -polynomials, where the interpretation (1.7) immediately implies Conjectures 1.1 and 1.2, deducing Conjectures 1.3–1.6 from (1.14) requires further work. The main new ingredient is the following integer-valued function on QP-representations: for a QP-representation $\mathcal{M} = (M, V)$ of a quiver Q , we define the *E-invariant* by

$$(1.17) \quad E(\mathcal{M}) = \dim \operatorname{Hom}_Q(M, M) + \sum_{k=1}^n g_k \dim M(k),$$

where g_k is given by (1.13), and Hom_Q stands for the space of homomorphisms of quiver representations. In Theorem 7.1 we prove that $E(\mathcal{M})$ is invariant under mutations, i.e., for every k we have $E(\mu_k(\mathcal{M})) = E(\mathcal{M})$. Then it follows from (1.15) and (1.16) that $E(\mathcal{M}_{\ell;t}^{B;t_0}) = 0$ for all ℓ and t .

Since the numbers g_k may be negative, it is not *a priori* clear that $E(\mathcal{M})$ takes nonnegative values. We prove this property in Theorem 8.1, establishing the following much sharper lower bound:

$$(1.18) \quad E(\mathcal{M}) \geq \sum_{k \in Q_0} (\dim \ker \beta_k \cdot \dim(\ker \gamma_k / \operatorname{im} \beta_k) + \dim M(k) \cdot \dim V(k)).$$

As a consequence, for each \mathcal{M} of the form $\mathcal{M}_{\ell;t}^{B;t_0}$, the right-hand side of (1.18) is equal to 0, and this information turns out to be exactly what we need for proving Conjectures 1.3–1.6.

Note that in view of (1.15) and (1.16), the QP-representations $\mathcal{M}_{\ell;t}^{B;t_0}$ can be characterized as those obtained by a sequence of mutations from a negative simple representation. We conjecture that this family coincides with the family of indecomposable QP-representations \mathcal{M} such that $E(\mathcal{M}) = 0$. As a possible step towards proving this conjecture, in Section 10 we develop a homological interpretation of $E(\mathcal{M})$ in the case where the potential is finite and the Jacobian algebra

is finite dimensional. This interpretation is based on constructing a projective presentation for QP-representations; see Proposition 10.4.

The paper is organized as follows. Sections 2–4 are devoted to preliminaries. The necessary background on cluster algebras is recalled in Section 2. In Section 3 we collect some general properties of F -polynomials of quiver representations to be used later. We conclude this section with two examples, showing that a quiver Grassmannian may be singular, and that it may have negative Euler characteristic. The necessary background from [9] on quivers with potentials (QP's) and their representations is collected in Section 4.

Section 5 contains the first important new result of the paper – Theorem 5.1. It asserts that the family of QP-representations recursively defined by conditions (1.15) and (1.16) provides a representation-theoretic interpretation given by (1.7) and (1.14) of F -polynomials and \mathbf{g} -vectors arising in the theory of cluster algebras. As a consequence, we obtain in Corollary 5.3 a formula for cluster variables in the coefficient-free cluster algebra, which generalizes the Caldero-Chapoton formula in [7, Theorem 3].

In Section 6 we prove Proposition 6.1, a technical result preparing the groundwork for the later proof of the invariance under mutations of the function $E(\mathcal{M})$ given by (1.17). Roughly speaking, Proposition 6.1 says that the mutation at a vertex k preserves the space of homomorphisms between any two QP-representations modulo the homomorphisms “confined” to k . This result of independent interest was already established in [3, Theorem 7.1] but the present proof seems to be much simpler. In the rest of Section 6 we show that the isomorphism in Proposition 6.1 can be stated in a functorial way.

The main result in Section 7 is Theorem 7.1 establishing in particular the invariance of $E(\mathcal{M})$ under mutations. Another useful result there is Proposition 7.3 saying that $E(\mathcal{M})$ is invariant under passing to the dual QP-representation of the opposite QP.

In Section 8 we prove the bound (1.18) (Theorem 8.1). The proof of Theorem 1.7 is obtained by combining this result with the results in the preceding sections; this is done in Section 9. The concluding Section 10 is devoted to the above-mentioned homological interpretation of the E -invariant of QP-representations.

2. BACKGROUND ON \mathbf{g} -VECTORS AND F -POLYNOMIALS

First of all, we recall that the same rule as in (1.1) defines the matrix mutation μ_k for any integer $m \times n$ matrix $\tilde{B} = (b_{i,j})$ with $m \geq n$, and any $k = 1, \dots, n$. This is an involution on the set of integer $m \times n$ matrices. We call the top $n \times n$ submatrix B of \tilde{B} the *principal part* of \tilde{B} ; then $\mu_k(B)$ is the principal part of $\mu_k(\tilde{B})$. Note also that, if B is skew-symmetrizable, that is, $d_i b_{i,j} = -d_j b_{j,i}$ for some positive integers d_1, \dots, d_n , then the same choice of d_1, \dots, d_n makes $\mu_k(B)$ skew-symmetrizable as well. In particular, if B is skew-symmetric, then $\mu_k(B)$ is also skew-symmetric.

We say that a family of $m \times n$ integer matrices $(\tilde{B}(t))_{t \in \mathbb{T}_n}$ is a skew-symmetrizable (resp. skew-symmetric) *matrix pattern* of format $m \times n$ on \mathbb{T}_n if the principal part $B(t)$ of each $\tilde{B}(t)$ is skew-symmetrizable (resp. skew-symmetric), and we have $\tilde{B}(t') = \mu_k(\tilde{B}(t))$ whenever $t \xrightarrow{k} t'$. Clearly, such a pattern is uniquely determined

by each of its matrices $\tilde{B}(t_0)$, which can be chosen arbitrarily with the only condition that its principal part is skew-symmetrizable (resp. skew-symmetric).

Now choose any skew-symmetrizable $n \times n$ integer matrix B and any vertex $t_0 \in \mathbb{T}_n$. We associate to B and t_0 the skew-symmetrizable matrix pattern of format $2n \times n$ such that $\tilde{B}(t_0) = (b_{i,j})$ has principal part B , and its bottom part is the $n \times n$ identity matrix, that is, $b_{n+i,j} = \delta_{i,j}$ for $i, j = 1, \dots, n$; we refer to this pattern as the *principal coefficients pattern* associated to B and t_0 . Let us denote this pattern simply as $(\tilde{B}(t) = (b_{i,j}(t)))_{t \in \mathbb{T}_n}$ (with the understanding that B and t_0 are fixed).

Now, according to [12, Proposition 6.6], the vectors $\mathbf{g}_{\ell;t} = \mathbf{g}_{\ell;t}^{B;t_0}$ can be defined by the initial conditions

$$(2.1) \quad \mathbf{g}_{\ell;t_0} = \mathbf{e}_\ell \quad (\ell = 1, \dots, n)$$

together with the recurrence relations

$$(2.2) \quad \mathbf{g}_{\ell;t'} = \mathbf{g}_{\ell;t} \quad \text{for } \ell \neq k;$$

$$(2.3) \quad \mathbf{g}_{k;t'} = -\mathbf{g}_{k;t} + \sum_{i=1}^n [b_{i,k}(t)]_+ \mathbf{g}_{i;t} - \sum_{i=1}^n [b_{n+i,k}(t)]_+ \mathbf{b}_i$$

for every edge $t \xrightarrow{k} t'$ in \mathbb{T}_n . Here $\mathbf{e}_1, \dots, \mathbf{e}_n$ are the unit vectors in \mathbb{Z}^n , and $\mathbf{b}_1, \dots, \mathbf{b}_n$ are the columns of B .

Similarly, by [12, Proposition 5.1], the polynomials $F_{\ell;t} = F_{\ell;t}^{B;t_0}(u_1, \dots, u_n)$ can be defined by the initial conditions

$$(2.4) \quad F_{\ell;t_0} = 1 \quad (\ell = 1, \dots, n),$$

together with the recurrence relations

$$(2.5) \quad F_{\ell;t'} = F_{\ell;t} \quad \text{for } \ell \neq k;$$

$$(2.6) \quad F_{k;t'} = \frac{\prod_{i=1}^n u_i^{[b_{n+i,k}(t)]_+} F_{i;t}^{[b_{i,k}(t)]_+} + \prod_{i=1}^n u_i^{[-b_{n+i,k}(t)]_+} F_{i;t}^{[-b_{i,k}(t)]_+}}{F_{k;t}},$$

for every edge $t \xrightarrow{k} t'$ in \mathbb{T}_n .

For instance, if $t_1 \xrightarrow{k} t_0$, then $\mathbf{g}_{k;t_1}^{B;t_0} = -\mathbf{e}_k + \sum_{i=1}^n [-b_{i,k}]_+ \mathbf{e}_i$ and $F_{k;t_1}^{B;t_0} = u_k + 1$.

Here is a specific example for the cluster algebra of type A_2 (cf. [12, Examples 2.10, 3.4, 6.7]).

Example 2.1. Let $n = 2$. The tree \mathbb{T}_2 is an infinite chain. We denote its vertices by $\dots, t_{-1}, t_0, t_1, t_2, \dots$ and label its edges as follows:

$$(2.7) \quad \dots \xrightarrow{2} t_{-1} \xrightarrow{1} t_0 \xrightarrow{2} t_1 \xrightarrow{1} t_2 \xrightarrow{2} t_3 \xrightarrow{1} \dots$$

Let $B = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$. The \mathbf{g} -vectors $\mathbf{g}_{\ell;t} = \mathbf{g}_{\ell;t}^{B;t_0}$ and F -polynomials $F_{\ell;t} = F_{\ell;t}^{B;t_0}$ are shown in Table 1 (the last column will be explained later).

Observing that $\tilde{B}(t_5)$ is obtained from $\tilde{B}(t_0)$ by interchanging the two columns, and comparing \mathbf{g} -vectors and F -polynomials at t_0 and t_5 , we obtain the following periodicity property:

$$\mathbf{g}_{\ell;t_{m+5}} = \mathbf{g}_{3-\ell;t_m}, \quad F_{\ell;t_{m+5}}(u_1, u_2) = F_{3-\ell;t_m}(u_2, u_1) \quad (m \in \mathbb{Z}).$$

Returning to the general situation, we note that the definition makes it clear that all $F_{\ell;t}(u_1, \dots, u_n)$ are rational functions with coefficients in \mathbb{Q} . The following stronger statement was proven in [12, Propositions 3.6, 5.2].

TABLE 1. \mathbf{g} -vectors, F -polynomials, and \mathbf{h} -vectors in type A_2

t	$\tilde{B}(t)$	$\mathbf{g}_{1;t}$	$\mathbf{g}_{2;t}$	$F_{1;t}$	$F_{2;t}$	$\mathbf{h}_{1;t}$	$\mathbf{h}_{2;t}$
t_0	$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$	1	1	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$
t_1	$\begin{bmatrix} 0 & -1 \\ 1 & 0 \\ 1 & 0 \\ 0 & -1 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ -1 \end{bmatrix}$	1	$u_2 + 1$	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ -1 \end{bmatrix}$
t_2	$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ -1 & 0 \\ 0 & -1 \end{bmatrix}$	$\begin{bmatrix} -1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ -1 \end{bmatrix}$	$u_1 u_2 + u_1 + 1$	$u_2 + 1$	$\begin{bmatrix} -1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ -1 \end{bmatrix}$
t_3	$\begin{bmatrix} 0 & -1 \\ -1 & 0 \\ -1 & 0 \\ -1 & 1 \end{bmatrix}$	$\begin{bmatrix} -1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} -1 \\ 1 \end{bmatrix}$	$u_1 u_2 + u_1 + 1$	$u_1 + 1$	$\begin{bmatrix} -1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} -1 \\ 0 \end{bmatrix}$
t_4	$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ 1 & -1 \\ 1 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$	$\begin{bmatrix} -1 \\ 1 \end{bmatrix}$	1	$u_1 + 1$	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} -1 \\ 0 \end{bmatrix}$
t_5	$\begin{bmatrix} 0 & -1 \\ 1 & 0 \\ 0 & 1 \\ 1 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$	1	1	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$

Proposition 2.2. *Each of the rational functions $F_{\ell;t}(u_1, \dots, u_n)$ is a polynomial with integer coefficients, which is not divisible by any u_i .*

We now fix ℓ and t , and discuss the dependency of $\mathbf{g}_{\ell;t}^{B;t_0}$ and $F_{\ell;t}^{B;t_0}$ on the initial vertex t_0 and the initial exchange matrix B . More precisely, choose some $k \in [1, n]$, and suppose that $t_0 \xrightarrow{k} t_1$ and $B_1 = \mu_k(B)$. We will relate the vectors $\mathbf{g}_{\ell;t}^{B;t_0}$ and $\mathbf{g}_{\ell;t}^{B_1;t_1}$ and the polynomials $F_{\ell;t}^{B;t_0}$ and $F_{\ell;t}^{B_1;t_1}$. This requires some preparation.

Recall that a *semifield* $(\mathbf{P}, \cdot, +)$ is an abelian multiplicative group (\mathbf{P}, \cdot) endowed with a binary operation of addition which is commutative, associative, and distributive with respect to the multiplication in \mathbf{P} . With every finite family of indeterminates u_1, \dots, u_ℓ one can associate two semifields: the *universal* semifield $\mathbb{Q}_{\text{sf}}(u_1, \dots, u_\ell)$, and the *tropical* semifield $\text{Trop}(u_1, \dots, u_\ell)$ (cf. [12, Definitions 2.1, 2.2]). Recall that $\mathbb{Q}_{\text{sf}}(u_1, \dots, u_\ell)$ is the set of all rational functions in u_1, \dots, u_ℓ which can be written as *subtraction-free* rational expressions, while $\text{Trop}(u_1, \dots, u_\ell)$ is the multiplicative group of Laurent monomials $u_1^{a_1} \cdots u_\ell^{a_\ell}$ with the addition \oplus given by

$$(2.8) \quad \prod_j u_j^{a_j} \oplus \prod_j u_j^{b_j} = \prod_j u_j^{\min(a_j, b_j)}.$$

Since (2.6) does not involve subtraction, every F -polynomial $F_{\ell;t}^{B;t_0}(u_1, \dots, u_n)$ belongs to $\mathbb{Q}_{\text{sf}}(u_1, \dots, u_n)$ (although it is still not known in general whether all these polynomials have positive coefficients). Note that every subtraction-free rational expression $F(u_1, \dots, u_n)$ (in particular, every $F_{\ell;t}^{B;t_0}$) can be evaluated at any n -tuple of elements y_1, \dots, y_n of an arbitrary semifield \mathbf{P} . We denote the result of this evaluation by $F|_{\mathbf{P}}(y_i \leftarrow u_i)$. Using this notation, we denote by $\mathbf{h}_{\ell;t}^{B;t_0} = (h_1, \dots, h_n)$

the integer vector given by

$$(2.9) \quad x_1^{h_1} \cdots x_n^{h_n} = F_{\ell;t}^{B;t_0} |_{\text{Trop}(x_1, \dots, x_n)} (x_i^{-1} \prod_{j \neq i} x_j^{[-b_{j,i}]_+} \leftarrow u_i).$$

Example 2.3. In the situation of Example 2.1, the vectors $\mathbf{h}_{\ell;t} = \mathbf{h}_{\ell;t}^{B;t_0}$ are given in the last column of Table 1. In this case, the formula (2.9) for the vector $\mathbf{h}_{\ell;t} = (h_1, h_2)$ takes the form

$$x_1^{h_1} x_2^{h_2} = F_{\ell;t} |_{\text{Trop}(x_1, x_2)} (x_1^{-1} x_2, x_2^{-1}).$$

For example, since $F_{1;t_2} = u_1 u_2 + u_1 + 1$, we obtain

$$F_{1;t_2} |_{\text{Trop}(x_1, x_2)} (x_1^{-1} x_2, x_2^{-1}) = x_1^{-1} \oplus x_1^{-1} x_2 \oplus 1 = x_1^{-1};$$

hence $\mathbf{h}_{1;t_2} = \begin{bmatrix} -1 \\ 0 \end{bmatrix}$.

Next we recall the Y -seeds and their mutations (see [12, Definitions 2.3, 2.4]). A (labeled) Y -seed in a semifield \mathbf{P} is a pair (\mathbf{y}, B) , where

- $\mathbf{y} = (y_1, \dots, y_n)$ is an n -tuple of elements of \mathbf{P} , and
- $B = (b_{i,j})$ is an $n \times n$ skew-symmetrizable integer matrix.

The Y -seed mutation at $k \in [1, n]$ transforms (\mathbf{y}, B) into a Y -seed $\mu_k(\mathbf{y}, B) = (\mathbf{y}', B')$, where $B' = \mu_k(B)$ is given by (1.1), and the n -tuple $\mathbf{y}' = (y'_1, \dots, y'_n)$ is given by

$$(2.10) \quad y'_i = \begin{cases} y_k^{-1} & \text{if } i = k; \\ y_i y_k^{[b_{k,i}]_+} (y_k + 1)^{-b_{k,i}} & \text{if } i \neq k. \end{cases}$$

The following result is immediate from [12, Proposition 6.8, formulas (6.26), (6.28)].

Proposition 2.4. Suppose $t_0 \xrightarrow{k} t_1$ in \mathbb{T}_n , and the Y -seed (\mathbf{y}', B_1) in $\mathbb{Q}_{\text{sf}}(y_1, \dots, y_n)$ is obtained from (\mathbf{y}, B) by the mutation at k . Let h_k (resp. h'_k) be the k -th component of the vector $\mathbf{h}_{\ell;t}^{B;t_0}$ (resp. $\mathbf{h}_{\ell;t}^{B_1;t_1}$). Then the \mathbf{g} -vectors $\mathbf{g}_{\ell;t}^{B;t_0} = (g_1, \dots, g_n)$ and $\mathbf{g}_{\ell;t}^{B_1;t_1} = (g'_1, \dots, g'_n)$ are related by

$$(2.11) \quad g'_j = \begin{cases} -g_k & \text{if } j = k; \\ g_j + [b_{j,k}]_+ g_k - b_{j,k} h_k & \text{if } j \neq k. \end{cases}$$

We also have

$$(2.12) \quad g_k = h_k - h'_k$$

and

$$(2.13) \quad (y_k + 1)^{h_k} F_{\ell;t}^{B;t_0}(y_1, \dots, y_n) = (y'_k + 1)^{h'_k} F_{\ell;t}^{B_1;t_1}(y'_1, \dots, y'_n).$$

We conclude this section by recalling [12, Corollary 6.3], which explains why the \mathbf{g} -vectors and F -polynomials play a crucial role in the theory of cluster algebras. Recall that a cluster algebra \mathcal{A} is specified by a choice of a Y -seed (\mathbf{y}, B) in a semifield \mathbf{P} . Let $\mathcal{F} = \mathbb{Q}\mathbf{P}(x_1, \dots, x_n)$ be the field of rational functions in commuting independent variables x_1, \dots, x_n over the quotient field $\mathbb{Q}\mathbf{P}$ of the integer group ring

$\mathbb{Z}\mathbf{P}$ of the multiplicative group \mathbf{P} . Then each ℓ and t as above gives rise to a *cluster variable* $x_{\ell;t} \in \mathcal{F}$ given by

$$(2.14) \quad x_{\ell;t} = \frac{F_{\ell;t}^{B;t_0} |_{\mathcal{F}}(\hat{y}_1, \dots, \hat{y}_n)}{F_{\ell;t}^{B;t_0} |_{\mathbf{P}}(y_1, \dots, y_n)} x_1^{g_1} \cdots x_n^{g_n},$$

where $(g_1, \dots, g_n) = \mathbf{g}_{\ell;t}^{B;t_0}$, and the elements $\hat{y}_1, \dots, \hat{y}_n \in \mathcal{F}$ are given by

$$(2.15) \quad \hat{y}_j = y_j \prod_i x_i^{b_{i,j}}.$$

Furthermore, all cluster variables are of this form, and \mathcal{A} is the $\mathbb{Z}\mathbf{P}$ -subalgebra of \mathcal{F} generated by all the $x_{\ell;t}$.

3. F -POLYNOMIALS OF QUIVER REPRESENTATIONS

In this section we use the terminology on quiver representations from the introduction. We work with a quiver $Q = Q(B)$ (see (1.4)). Our goal is to develop some basic properties of the F -polynomial $F_M(u_1, \dots, u_n)$ associated to any representation M of Q in accordance with (1.6).

Proposition 3.1. *Each polynomial $F_M(u_1, \dots, u_n)$ has constant term 1. Furthermore, $F_M(u_1, \dots, u_n)$ contains the monomial $\prod_{i=1}^n u_i^{\dim M^{(i)}}$ with coefficient 1, and it is divisible by all the other occurring monomials.*

Proof. It is enough to notice that, for $\mathbf{e} = (0, \dots, 0)$ or $\mathbf{e} = \mathbf{d}_M$ (see (1.5)), the quiver Grassmannian $\text{Gr}_{\mathbf{e}}(M)$ consists of one point. □

Proposition 3.2. *For all representations M' and M'' of Q , we have*

$$(3.1) \quad F_{M' \oplus M''} = F_{M'} F_{M''}.$$

Proof. We use the following well-known property of the Euler-Poincaré characteristic: if a complex torus T acts algebraically on a variety X , then $\chi(X) = \chi(X^T)$, where X^T is the set of T -fixed points (see, for example, [2]). Take $X = \text{Gr}_{\mathbf{e}}(M' \oplus M'')$, and consider the action of $T = \mathbb{C}^*$ on X induced by the T -action on $M' \oplus M''$ given by

$$t \cdot (m', m'') = (tm', m'') \quad (m' \in M', m'' \in M'').$$

Then a point $N \in X$ is T -fixed if and only if the submodule $N \subseteq M' \oplus M''$ splits into $N = N' \oplus N''$ for some $N' \subseteq M'$ and $N'' \subseteq M''$. Thus, we have

$$X^T = \bigsqcup_{\mathbf{e}' + \mathbf{e}'' = \mathbf{e}} (\text{Gr}_{\mathbf{e}'}(M') \times \text{Gr}_{\mathbf{e}''}(M'')),$$

and so

$$\chi(\text{Gr}_{\mathbf{e}}(M' \oplus M'')) = \sum_{\mathbf{e}' + \mathbf{e}'' = \mathbf{e}} \chi(\text{Gr}_{\mathbf{e}'}(M')) \chi(\text{Gr}_{\mathbf{e}''}(M'')),$$

implying (3.1). □

To state our next result, we recall the maps α_k and β_k in (1.10) (the map γ_k is undefined for arbitrary quiver representations). We will denote these maps $\alpha_{k;M}$ and $\beta_{k;M}$ if necessary to stress the dependency of a representation M . We denote by $\mathbf{h}_M = (h_1, \dots, h_n)$ the integer vector given by

$$(3.2) \quad h_k = h_k(M) = -\dim \ker \beta_{k;M}.$$

Now assume that F_M belongs to $\mathbb{Q}_{\text{sf}}(u_1, \dots, u_n)$ (the semifield of subtraction-free rational expressions), hence can be evaluated in an arbitrary semifield¹ (see the discussion after Proposition 2.2). The definition (3.2) is then justified by the following analog of (2.9).

Proposition 3.3. *Under the assumption that $F_M \in \mathbb{Q}_{\text{sf}}(u_1, \dots, u_n)$, the components of the vector \mathbf{h}_M appear as the exponents in the tropical evaluation*

$$(3.3) \quad x_1^{h_1} \cdots x_n^{h_n} = F_M|_{\text{Trop}(x_1, \dots, x_n)}(x_i^{-1} \prod_{j \neq i} x_j^{[-b_{j,i}]_+} \leftarrow u_i).$$

Proof. First we give a general lemma following easily from the definition of a tropical semifield (see (2.8)).

Lemma 3.4. *If $F(u_1, \dots, u_n)$ is a Laurent polynomial belonging to $\mathbb{Q}_{\text{sf}}(u_1, \dots, u_n)$, then the result of any evaluation of F in a tropical semifield does not change if we replace F with the sum of the terms (taken with coefficient 1) corresponding to the vertices of its Newton polytope.*

Now suppose that $N \in \text{Gr}_{\mathbf{e}}(M)$, i.e., that N is a subrepresentation of M with $\mathbf{d}_N = \mathbf{e}$. Then the exponent of x_k in the tropical evaluation

$$(u_1^{e_1} \cdots u_n^{e_n})|_{\text{Trop}(x_1, \dots, x_n)}(x_i^{-1} \prod_{j \neq i} x_j^{[-b_{j,i}]_+} \leftarrow u_i)$$

can be rewritten as

$$-e_k + \sum_{i \neq k} [-b_{k,i}]_+ e_i = -e_k + \sum_{i \neq k} [b_{i,k}]_+ e_i = \dim N_{\text{out}}(k) - \dim N(k)$$

(we used the fact that B is skew-symmetric). Note that

$$\begin{aligned} \dim N_{\text{out}}(k) - \dim N(k) &\geq \dim \beta(N(k)) - \dim N(k) \\ &= -\dim(N(k) \cap \ker \beta_k) \\ &\geq -\dim \ker \beta_k = h_k. \end{aligned}$$

In view of Lemma 3.4, this implies that h_k does not exceed the exponent of x_k in the right-hand side of (3.3).

Now take $\mathbf{e} = -h_k \mathbf{e}_k$ (recall that \mathbf{e}_k stands for the k -th unit vector in \mathbb{Z}^n), and notice that $\text{Gr}_{\mathbf{e}}(M)$ consists of one point N (with $N(i) = \{0\}$ for $i \neq k$, and $N(k) = \ker \beta_k$), and that \mathbf{e} is obviously a vertex of the Newton polytope of F_M . This implies that the exponent of x_k in the right-hand side of (3.3) does not exceed h_k , completing the proof of Proposition 3.3. □

Proposition 3.5. *Suppose that Q is a quiver with no oriented cycles and that M is a general representation of dimension $\mathbf{d} = (d_1, \dots, d_n)$. Then every quiver Grassmannian $\text{Gr}_{\mathbf{e}}(M)$ is smooth. In particular, this is the case if M is rigid, that is, $\text{Ext}^1(M, M) = 0$.*

This proposition follows from the results of Schofield ([18, §3]). For the convenience of the reader we give an outline of the proof.

¹It is conceivable that this condition holds for arbitrary quiver representations.

Proof. If M is a representation with dimension vector \mathbf{d} , then we may identify $M(i)$ with \mathbb{C}^{d_i} by choosing a basis in $M(i)$ for all i . Then M is represented as an element

$$(a_M)_{a \in Q_1} \in \text{Rep}_{\mathbf{d}}(Q) := \prod_{a \in Q_1} K^{d_{ha} \times d_{ta}}.$$

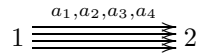
The group $\text{GL}_{\mathbf{d}} = \prod_{i=1}^n \text{GL}_{d_i}(\mathbb{C})$ acts on $\text{Rep}_{\mathbf{d}}(Q)$ by base change. This way, isomorphism classes of \mathbf{d} -dimensional representations correspond to $\text{GL}_{\mathbf{d}}$ -orbits in $\text{Rep}_{\mathbf{d}}(Q)$. For a dimension vector $\mathbf{e} = (e_1, \dots, e_n)$, let

$$Z_{\mathbf{e}, \mathbf{d}} \subseteq \text{Rep}_{\mathbf{d}}(Q) \times \prod_{i=1}^n \text{Gr}_{e_i}(\mathbb{C}^{d_i})$$

be defined as the set of all $(M, (N_1, N_2, \dots, N_n))$ for which $a_M(N_{ta}) \subseteq N_{ha}$ for all $a \in Q_1$. We have natural projections $p : Z_{\mathbf{e}, \mathbf{d}} \rightarrow \text{Rep}_{\mathbf{d}}(Q)$ and $q : Z_{\mathbf{e}, \mathbf{d}} \rightarrow \prod_{i=1}^n \text{Gr}_{e_i}(\mathbb{C}^{d_i})$. One can show that the projection q makes $Z_{\mathbf{e}, \mathbf{d}}$ into a vector bundle over the product of Grassmannians; hence $Z_{\mathbf{e}, \mathbf{d}}$ is smooth. Now the quiver Grassmannian $\text{Gr}_{\mathbf{e}}(M)$ is equal to the fiber $p^{-1}(M)$. If M is a general representation of dimension \mathbf{d} , then the fiber $p^{-1}(M)$ is smooth by the second Bertini Theorem ([20, Chapter II, §6.2, Theorem 2]). \square

If Q is a quiver without oriented cycles, and M is indecomposable and rigid, then all the quiver Grassmannians are smooth by the proposition above. It was shown in [7, 8],² that the F -polynomial of M has nonnegative coefficients in this case. The next two examples show that, in general, the coefficients can be negative, and the quiver Grassmannian may be singular.

Example 3.6. Consider the quiver Q given by



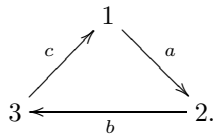
and let M be a general representation of Q of dimension $\mathbf{d} = (3, 4)$. The arrows a_1, \dots, a_4 act in M as four linear maps $\mathbb{C}^3 \rightarrow \mathbb{C}^4$ in general position. Choose $\mathbf{e} = (1, 3)$. Since M is in general position, $\text{Gr}_{\mathbf{e}}(M)$ is smooth by the discussion above. Now the first projection $\text{Gr}_{\mathbf{e}}(M) \rightarrow \text{Gr}_1(\mathbb{C}^3) = \mathbb{P}^2$ identifies $\text{Gr}_{\mathbf{e}}(M)$ with the projective curve C given by the equation

$$\det(a_1(m), a_2(m), a_3(m), a_4(m)) = 0 \quad (m \in \mathbb{C}^3).$$

Since C is a smooth curve of degree 4, it has genus $g = (4 - 1)(4 - 2)/2 = 3$ and Euler characteristic $2 - 2g = 2 - 2 \cdot 3 = -4$ (see [20, Chapter IV, 2.3]). So we have

$$\chi(\text{Gr}_{\mathbf{e}}(M)) = -4.$$

Example 3.7. Consider the quiver Q given by



²It was pointed out in [17] that the proof in [7] contains a gap.

Let M_1, M_2, M_3 be the indecomposable representations of Q of dimensions $(0, 1, 1)$, $(1, 0, 1)$, and $(1, 1, 0)$, respectively, and $M = M_1 \oplus M_2 \oplus M_3$. It is immediate from the definition (1.6) that

$$\begin{aligned} F_{M_1}(u_1, u_2, u_3) &= 1 + u_3 + u_2u_3, \\ F_{M_2}(u_1, u_2, u_3) &= 1 + u_1 + u_1u_3, \\ F_{M_3}(u_1, u_2, u_3) &= 1 + u_2 + u_1u_2. \end{aligned}$$

By Proposition 3.2, we have $F_M = F_{M_1}F_{M_2}F_{M_3}$. In particular, the coefficient of $u_1u_2u_3$ in F_M is 4. Thus, $\chi(\text{Gr}_{(1,1,1)}(M)) = 4$.

Geometrically this result can be seen as follows. The variety $\text{Gr}_{(1,1,1)}(M)$ is a subvariety in $\text{Gr}_1(M(1)) \times \text{Gr}_1(M(2)) \times \text{Gr}_1(M(3)) = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$. Let $P = (P(1), P(2), P(3)) \in \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ be given by

$$P(1) = \ker a_M = \text{im } c_M, \quad P(2) = \ker b_M = \text{im } a_M, \quad P(3) = \ker c_M = \text{im } b_M ;$$

then $\text{Gr}_{(1,1,1)}(M)$ consists of all points $N \in \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ such that N and P have at least two common components. Thus, $\text{Gr}_{(1,1,1)}(M)$ is the union of three copies of \mathbb{P}^1 meeting at a single point P . In other words, $\text{Gr}_{(1,1,1)}(M)$ is the disjoint union of three copies of \mathbb{A}^1 and the single point $\{P\}$, so

$$\chi(\text{Gr}_e(N)) = 3\chi(\mathbb{A}^1) + \chi(\{P\}) = 3 \cdot 1 + 1 = 4.$$

Note that $\text{Gr}_{(1,1,1)}(M)$ is singular at P .

4. BACKGROUND ON QUIVERS WITH POTENTIALS AND THEIR REPRESENTATIONS

Let $Q = (Q_0, Q_1, h, t)$ be a quiver (see Introduction). We denote by R the *vertex span* of Q , that is, the commutative algebra over \mathbb{C} with the basis $\{e_i : i \in Q_0\}$ and multiplication given by $e_i e_j = \delta_{i,j} e_i$. The *arrow span* of Q is the finite-dimensional R -bimodule A with the \mathbb{C} -basis identified with Q_1 , and the R -bimodule structure given by

$$(4.1) \quad A_{i,j} = e_i A e_j = \bigoplus_{a:j \rightarrow i} \mathbb{C} a.$$

The *complete path algebra* of Q is defined as

$$R\langle\langle A \rangle\rangle = \prod_{d=0}^{\infty} A^{\otimes_R d}.$$

Thus, the elements of $R\langle\langle A \rangle\rangle$ are (possibly infinite) \mathbb{C} -linear combinations of paths in Q ; note that by the convention (4.1) all the paths are traced in the right-to-left order. We view $R\langle\langle A \rangle\rangle$ as a topological algebra with respect to the \mathfrak{m} -adic topology, where the (two-sided) ideal $\mathfrak{m} \subset R\langle\langle A \rangle\rangle$ is given by

$$(4.2) \quad \mathfrak{m} = \prod_{d=1}^{\infty} A^{\otimes_R d}.$$

A *potential* on Q is an element $S \in \mathfrak{m}_{\text{cyc}} = \bigoplus_{i \in Q_0} \mathfrak{m}_{i,i}$, i.e., a possibly infinite linear combination of cyclic paths in $R\langle\langle A \rangle\rangle$. We view potentials up to cyclical equivalence defined as follows: two potentials S and S' are *cyclically equivalent* if $S - S'$ lies in the closure of the span of all elements of the form $a_1 \cdots a_d - a_2 \cdots a_d a_1$, where $a_1 \cdots a_d$ is a cyclic path.

For any arrow $a \in Q_1$, the *cyclic derivative* ∂_a is the continuous linear map $\mathfrak{m}_{\text{cyc}} \rightarrow R\langle\langle A \rangle\rangle_{t(a), h(a)}$ acting on cyclic paths by

$$(4.3) \quad \partial_a(a_1 \cdots a_d) = \sum_{p: a_p = a} a_{p+1} \cdots a_d a_1 \cdots a_{p-1}.$$

The *Jacobian ideal* $J(S)$ of a potential S is the closure of the (two-sided) ideal in $R\langle\langle A \rangle\rangle$ generated by the elements $\partial_a(S)$ for all $a \in Q_1$. We call the quotient $R\langle\langle A \rangle\rangle/J(S)$ the *Jacobian algebra* of S , and denote it by $\mathcal{P}(Q, S)$ or $\mathcal{P}(A, S)$.

The cyclic derivatives of a potential S can be expressed in terms of another important family of elements $\partial_{ba}(S) \in R\langle\langle A \rangle\rangle$ associated with pairs of arrows $a, b \in Q_1$ such that $h(a) = t(b)$. Namely, the definition of a continuous linear map

$$\partial_{ba} : \mathfrak{m}_{\text{cyc}} \rightarrow R\langle\langle A \rangle\rangle_{t(a), h(b)}$$

is similar to (4.3): replacing if necessary a potential S with a cyclically equivalent one, we can assume that no cyclic path occurring in S starts with an arrow a ; for every such cyclic path $a_1 \cdots a_d$, we set

$$(4.4) \quad \partial_{ba}(a_1 \cdots a_d) = \sum_{\nu: a_{\nu-1} = b, a_\nu = a} a_{\nu+1} \cdots a_d a_1 \cdots a_{\nu-2}.$$

An easy check shows that, for any $b \in Q_1$, we have

$$(4.5) \quad \sum_{a: h(a) = t(b)} a \cdot \partial_{ba}(S) = \sum_{c: t(c) = h(b)} \partial_{cb}(S) \cdot c = \partial_b(S).$$

A (decorated) representation of a quiver with potential (Q, S) (QP for short) is a pair $\mathcal{M} = (M, V)$, where M is a finite-dimensional $\mathcal{P}(Q, S)$ -module, and V is a finite-dimensional R -module. A more concrete description was given in the introduction (see [9, Section 10]): V is simply a collection $(V(i))_{i \in Q_0}$ of finite-dimensional vector spaces, while $M = (M(i))_{i \in Q_0}$ is a representation of Q annihilated by \mathfrak{m}^N for $N \gg 0$, and by all cyclic derivatives of S . In view of (4.5), the latter relations are equivalent to (1.12), where the map γ_k in the triangle (1.8) is defined as follows: for each $a, b \in Q_1$ with $h(a) = t(b) = k$, the component $\gamma_{a,b} : M(h(b)) \rightarrow M(t(a))$ of γ_k is given by (1.11). We can also express γ_k in matrix form: set

$$(4.6) \quad \{a_1, \dots, a_r\} = \{a \in Q_1 : h(a) = k\}, \quad \{b_1, \dots, b_s\} = \{b \in Q_1 : t(b) = k\},$$

and let $H_k(S)$ be the $r \times s$ matrix whose (p, q) entry is $\partial_{b_q a_p} S$; then the action of γ_k in M is given by the matrix

$$(4.7) \quad \gamma_k = (H_k(S))_M.$$

In what follows, we refer to a decorated representation $\mathcal{M} = (M, V)$ of a QP (Q, S) as a *QP-representation*. The direct sums and indecomposable QP-representations are defined in a natural way. We say that \mathcal{M} is *positive* if $V = \{0\}$, and *negative* if $M = \{0\}$. Thus, indecomposable positive QP-representations are just indecomposable $\mathcal{P}(Q, S)$ -modules, while indecomposable negative QP-representations are *negative simple* representations \mathcal{S}_k^- for $k \in Q_0$ defined as follows:

$$(4.8) \quad \mathcal{S}_k^-(Q, S) = (\{0\}, V), \quad \dim V(i) = \delta_{i,k}.$$

As in [9, Definitions 4.2, 10.2], we view the QPs and their representations up to *right-equivalence*. Recall that the QPs (Q, S) and (Q, S') on the same underlying quiver Q are right-equivalent if there is an automorphism φ of $R\langle\langle A \rangle\rangle$ (as an algebra and R -bimodule) such that $\varphi(S)$ is cyclically equivalent to S' . In view of [9, Proposition 3.7], we then have $\varphi(J(S)) = J(S')$; therefore, every $\mathcal{P}(Q, S)$ -module M carries a structure of a $\mathcal{P}(Q, S')$ -module (which we denote ${}^\varphi M$) with the “twisted” action of $R\langle\langle A \rangle\rangle$ given by

$$\varphi(u) \star m = um \quad (u \in R\langle\langle A \rangle\rangle, m \in M).$$

Now a QP-representation $\mathcal{M}' = (M', V')$ of (Q, S') is *right-equivalent* to a QP-representation $\mathcal{M} = (M, V)$ of (Q, S) if M' is isomorphic to ${}^\varphi M$ as a $\mathcal{P}(Q, S')$ -module, and V' is isomorphic to V as an R -module.

Let φ be an automorphism of $R\langle\langle A \rangle\rangle$ as above. Fix a vertex $k \in Q_0$, and use the notation in (4.6). We would like to express the matrix $H_k(\varphi(S))$ in terms of $H_k(S)$. As shown in the proof of Lemma 5.3 in [9], we have

$$(4.9) \quad (\varphi(a_1) \quad \varphi(a_2) \quad \cdots \quad \varphi(a_r)) = (a_1 \quad a_2 \quad \cdots \quad a_r) (C_0 + C_1),$$

where:

- C_0 is an invertible $r \times r$ matrix with entries in \mathbb{C} such that its (p, q) -entry is 0 unless $t(a_p) = t(a_q)$;
- C_1 is an $r \times r$ matrix whose (p, q) -entry belongs to $\mathfrak{m}_{t(a_p), t(a_q)}$.

Similarly, we have

$$(4.10) \quad \begin{pmatrix} \varphi(b_1) \\ \varphi(b_2) \\ \vdots \\ \varphi(b_s) \end{pmatrix} = (D_0 + D_1) \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_s \end{pmatrix},$$

where:

- D_0 is an invertible $s \times s$ matrix with entries in \mathbb{C} such that its (p, q) -entry is 0 unless $h(b_p) = h(b_q)$;
- D_1 is an $s \times s$ matrix whose (p, q) -entry belongs to $\mathfrak{m}_{h(b_p), h(b_q)}$.

Note that both matrices $C_0 + C_1$ and $D_0 + D_1$ are invertible, and their inverses are of the same form.

In the above notation, we claim that

$$(4.11) \quad \text{all entries of the matrix } H_k(\varphi(S)) - (C_0 + C_1) \varphi(H_k(S)) (D_0 + D_1) \text{ belong to } J(\varphi(S))$$

(here the matrix $\varphi(H_k(S))$ is obtained by applying φ to each entry of $H_k(S)$). As a consequence, for the representation $M' = {}^\varphi M$ as above, the corresponding map γ'_k is given by

$$(4.12) \quad \gamma'_k = (C_0 + C_1)_{M'} \circ \gamma_k \circ (D_0 + D_1)_{M'},$$

where $(C_0 + C_1)_{M'}$ (resp. $(D_0 + D_1)_{M'}$) is an R -bimodule automorphism of $M_{\text{in}}(k)$ (resp. of $M_{\text{out}}(k)$). Note that (4.12) is the equality (10.16) in [9], while (4.11) is implicit in the proof of this equality.

We now recall one of the main technical results of [9], the Splitting Theorem ([9, Theorem 4.6]). Let Q be a quiver without loops (but possibly having oriented 2-cycles). We say that a QP (Q, S) is *trivial* if S is a linear combination of cyclic

2-paths, and $J(S) = \mathbf{m}$; in other words (see [9, Proposition 4.4]), the set of arrows Q_1 consists of $2N$ distinct arrows $a_1, b_1, \dots, a_N, b_N$ such that each $a_\nu b_\nu$ is a cyclic 2-path, and there is an R -bimodule automorphism φ of the arrow span A such that $\varphi(S)$ is cyclically equivalent to $a_1 b_1 + \dots + a_N b_N$. We say that a QP (Q, S) is *reduced* if $S \in \mathbf{m}^3$ (note that Q is still allowed to have oriented 2-cycles). Now the Splitting Theorem asserts that

$$(4.13) \quad \text{any QP } (Q, S) \text{ is right-equivalent to the direct sum of a reduced QP } (Q, S)_{\text{red}} \text{ and a trivial QP } (Q, S)_{\text{triv}}, \text{ each of which is determined by } (Q, S) \text{ up to right-equivalence.}$$

We refer to $(Q, S)_{\text{red}}$ as the *reduced part* of (Q, S) . The operation of taking the reduced part naturally extends to representations. Namely, if $\mathcal{M} = (M, V)$ is a representation of (Q, S) , then \mathcal{M}_{red} is obtained by transforming \mathcal{M} into a representation $({}^\varphi M, V)$ of $(Q, S)_{\text{red}} \oplus (Q, S)_{\text{triv}}$ with the help of a right-equivalence in (4.13), and then restricting the resulting representation to $(Q, S)_{\text{red}}$ (see [9, Definition 10.4] for more details). By [9, Proposition 10.5], the reduction of representations is well-defined on the level of right-equivalence classes.

Now everything is in place for introducing our main tool – mutations of reduced QPs and their representations. Let (Q, S) be a reduced QP and let $k \in Q_0$ be a vertex such that Q has no oriented 2-cycles through k . Following [9], we define the *mutation* $(\overline{Q}, \overline{S}) = \mu_k(Q, S)$ at k as the reduced part $(\overline{Q}, \overline{S})_{\text{red}}$, where the “premutation” $(\tilde{Q}, \tilde{S}) = \tilde{\mu}_k(Q, S)$ is defined as follows. First, the quiver \tilde{Q} is obtained from Q by the following two-step procedure:

- Step 1.** For every pair of arrows $a, b \in Q_1$ with $h(a) = k = t(b)$, create a “composite” arrow $[ba]$ with $h([ba]) = h(b)$ and $t([ba]) = t(a)$.
- Step 2.** Reverse all arrows at k ; that is, replace each arrow a with $h(a) = k$ (resp. each arrow b with $t(b) = k$) by an arrow a^* with $t(a^*) = k$ and $h(a^*) = t(a)$ (resp. b^* with $h(b^*) = k$ and $t(b^*) = h(b)$).

Second, the potential \tilde{S} on \tilde{Q} is obtained from S as follows: replacing S if necessary with a cyclically equivalent potential, we can assume that no cyclic path occurring in S starts and ends at k ; then we set

$$(4.14) \quad \tilde{S} = [S] + \Delta,$$

where

$$(4.15) \quad \Delta = \sum_{a, b \in Q_1: h(a)=t(b)=k} [ba]a^*b^*,$$

and $[S]$ is obtained by substituting $[a_\nu a_{\nu+1}]$ for each factor $a_\nu a_{\nu+1}$ with $t(a_\nu) = h(a_{\nu+1}) = k$ of any cyclic path $a_1 \cdots a_d$ occurring in the expansion of S . As shown in [9, Theorem 5.2], the right-equivalence class of $\tilde{\mu}_k(Q, S)$ is determined by the right-equivalence class of (Q, S) ; hence by (4.13), the same is true for $\mu_k(Q, S) = (\tilde{\mu}_k(Q, S))_{\text{red}}$. Furthermore, by [9, Theorem 5.7], the mutation μ_k acts as an involution on the set of right-equivalence classes of reduced QPs; that is, $\mu_k^2(Q, S)$ is right-equivalent to (Q, S) .

Now let $\mathcal{M} = (M, V)$ be a QP-representation of a reduced QP (Q, S) . Fix a vertex k and let $(\tilde{Q}, \tilde{S}) = \tilde{\mu}_k(Q, S)$ and $(\overline{Q}, \overline{S}) = \mu_k(Q, S) = (\tilde{Q}, \tilde{S})_{\text{red}}$. We define the mutated QP-representation $\overline{\mathcal{M}} = \mu_k(\mathcal{M})$ of $(\overline{Q}, \overline{S})$ as the reduced part

of the QP-representation $\widetilde{\mathcal{M}} = \widetilde{\mu}_k(\mathcal{M}) = (\overline{M}, \overline{V})$ of $(\widetilde{Q}, \widetilde{S})$ given by the following construction (see [9, Section 10]).

First, we set

$$(4.16) \quad \overline{M}(i) = M(i), \quad \overline{V}(i) = V(i) \quad (i \neq k),$$

and define the spaces $\overline{M}(k)$ and $\overline{V}(k)$ by

$$(4.17) \quad \overline{M}(k) = \frac{\ker \gamma_k}{\text{im } \beta_k} \oplus \text{im } \gamma_k \oplus \frac{\ker \alpha_k}{\text{im } \gamma_k} \oplus V(k), \quad \overline{V}(k) = \frac{\ker \beta_k}{\ker \beta_k \cap \text{im } \alpha_k}$$

(see (1.8)). For every arrow c of \widetilde{Q} , the corresponding linear map $c_{\overline{M}} : \overline{M}(t(c)) \rightarrow \overline{M}(h(c))$ is defined as follows.

We set $c_{\overline{M}} = c_M$ for every arrow c not incident to k , and $[ba]_{\overline{M}} = b_M a_M$ for all arrows a and b in Q with $h(a) = k = t(b)$. It remains to define the linear maps

$$\overline{\alpha}_k : \overline{M}_{\text{in}}(k) = M_{\text{out}}(k) \rightarrow \overline{M}(k), \quad \overline{\beta}_k : \overline{M}(k) \rightarrow \overline{M}_{\text{out}}(k) = M_{\text{in}}(k)$$

in the counterpart of the triangle (1.8) for the representation $\widetilde{\mathcal{M}}$. We use the following notational convention: whenever we have a pair $U_1 \subseteq U_2$ of vector spaces, denote by $\iota : U_1 \rightarrow U_2$ the inclusion map, and by $\pi : U_2 \rightarrow U_2/U_1$ the natural projection. We now introduce the following *splitting data*:

$$(4.18) \quad \text{Choose a linear map } \rho : M_{\text{out}}(k) \rightarrow \ker \gamma_k \text{ such that } \rho \iota = \text{id}_{\ker \gamma_k}.$$

$$(4.19) \quad \text{Choose a linear map } \sigma : \ker \alpha_k / \text{im } \gamma_k \rightarrow \ker \alpha_k \text{ such that } \pi \sigma = \text{id}_{\ker \alpha_k / \text{im } \gamma_k}.$$

Then we define:

$$(4.20) \quad \overline{\alpha}_k = \begin{pmatrix} -\pi \rho \\ -\gamma_k \\ 0 \\ 0 \end{pmatrix}, \quad \overline{\beta}_k = \begin{pmatrix} 0 & \iota & \iota \sigma & 0 \end{pmatrix}.$$

As shown in [9, Propositions 10.7, 10.9, 10.10], the above construction makes $\widetilde{\mu}_k(\mathcal{M}) = (\overline{M}, \overline{V})$ a QP-representation of $(\widetilde{Q}, \widetilde{S})$, whose isomorphism class does not depend on the choice of the splitting data (4.18)–(4.19), and whose right-equivalence class is determined by the right-equivalence class of \mathcal{M} . Furthermore, we have

$$(4.21) \quad \overline{\gamma}_k = \beta_k \alpha_k$$

and

$$(4.22) \quad \ker \overline{\alpha}_k = \text{im } \beta_k, \quad \text{im } \overline{\alpha}_k = \frac{\ker \gamma_k}{\text{im } \beta_k} \oplus \text{im } \gamma_k \oplus \{0\} \oplus \{0\},$$

$$\ker \overline{\beta}_k = \frac{\ker \gamma_k}{\text{im } \beta_k} \oplus \{0\} \oplus \{0\} \oplus V(k), \quad \text{im } \overline{\beta}_k = \ker \alpha_k$$

(see [9, (10.25), (10.26)]).

Since, by the definition, the representation $\mu_k(\mathcal{M})$ of $(\overline{Q}, \overline{S})$ is the reduced part of $\widetilde{\mathcal{M}} = \widetilde{\mu}_k(\mathcal{M}) = (\overline{M}, \overline{V})$, the right-equivalence class of $\mu_k(\mathcal{M})$ is determined by the right-equivalence class of \mathcal{M} . Furthermore, in view of [9, Theorem 10.13], the mutation μ_k of QP-representations is an involution:

$$(4.23) \quad \text{for every QP-representation } \mathcal{M} \text{ of a reduced QP } (Q, S),$$

the QP-representation $\mu_k^2(\mathcal{M})$ is right-equivalent to \mathcal{M} .

Since by construction, the mutations send direct sums of QP-representations to the direct sums, (4.23) implies that (cf. [9, Corollary 10.14])

$$(4.24) \quad \text{any mutation } \mu_k \text{ sends indecomposable QP-representations of reduced QPs to indecomposable ones.}$$

Now suppose that the quiver Q has no oriented 2-cycles, i.e., that it is of the form $Q(B)$ for some skew-symmetric integer matrix B (see (1.4)). Then the mutated QP $\mu_k(Q, S) = (\overline{Q}, \overline{S})$ is well-defined for any vertex k and any potential S on Q . However, the quiver \overline{Q} may acquire some oriented 2-cycle, say involving vertices i and j , which would make mutations μ_i and μ_j undefined for the QP $(\overline{Q}, \overline{S})$. Following [9, Definition 7.2], we say that a QP (Q, S) is *nondegenerate* if this does not happen, and moreover if any finite sequence of mutations $\mu_{k_\ell} \cdots \mu_{k_1}$ can be applied to (Q, S) without creating oriented 2-cycles along the way. According to this definition, the class of nondegenerate QPs is stable under all mutations. Furthermore, according to [9, Proposition 7.1], mutations of nondegenerate QPs are compatible with matrix mutations: if $\mu_k(Q(B), S) = (\overline{Q}, \overline{S})$, then $\overline{Q} = Q(\mu_k(B))$ with $\mu_k(B)$ given by (1.1).

Finally we note that every quiver $Q(B)$ has a potential S such that $(Q(B), S)$ is a nondegenerate QP. More precisely, in view of [9, Corollary 7.4], the nondegeneracy of $(Q(B), S)$ is guaranteed by the nonvanishing at S of countably many nonzero polynomial functions on the space of potentials on $Q(B)$ (taken up to cyclical equivalence).

5. QP-INTERPRETATION OF \mathbf{g} -VECTORS AND F -POLYNOMIALS

We retain all the notation and conventions of the preceding sections. To a QP-representation $\mathcal{M} = (M, V)$ we associate the \mathbf{g} -vector $\mathbf{g}_{\mathcal{M}} = (g_1, \dots, g_n) \in \mathbb{Z}^n$ given by (1.13), and the F -polynomial $F_{\mathcal{M}} = F_M$ given by (1.6) (in particular, if \mathcal{M} is negative, then $F_{\mathcal{M}} = 1$). Note that $\mathbf{g}_{\mathcal{M}} = \mathbf{g}_{\mathcal{M}'}$ and $F_{\mathcal{M}} = F_{\mathcal{M}'}$ if \mathcal{M} and \mathcal{M}' are right-equivalent (for the F -polynomial, this is immediate from (1.6); for the \mathbf{g} -vector, this is a consequence of (4.12)). Note also that

$$(5.1) \quad \mathbf{g}_{\mathcal{M} \oplus \mathcal{M}'} = \mathbf{g}_{\mathcal{M}} + \mathbf{g}_{\mathcal{M}'}$$

for any QP-representations \mathcal{M} and \mathcal{M}' of the same QP.

Let B be a skew-symmetric integer $n \times n$ matrix, $t_0, t \in \mathbb{T}_n$, and $\ell \in \{1, \dots, n\}$. Let $Q = Q(B)$ and let S be a potential on Q such that (Q, S) is a nondegenerate QP. The main result of this section is a construction of a QP-representation $\mathcal{M} = \mathcal{M}_{\ell;t}^{B;t_0}$ of (Q, S) such that $\mathbf{g}_{\mathcal{M}} = \mathbf{g}_{\ell;t}^{B;t_0}$ and $F_{\mathcal{M}} = F_{\ell;t}^{B;t_0}$, where the \mathbf{g} -vectors $\mathbf{g}_{\ell;t}^{B;t_0}$ and F -polynomials $F_{\ell;t}^{B;t_0}$ were introduced in Section 2.

The family of QP-representations $\mathcal{M}_{\ell;t}^{B;t_0}$ is uniquely determined by the properties (1.15) and (1.16). More explicitly, let

$$t_0 \xrightarrow{k_1} t_1 \xrightarrow{k_2} \dots \xrightarrow{k_p} t_p = t$$

be the (unique) path joining t_0 and t in \mathbb{T}_n . We set

$$(Q(t), S(t)) = \mu_{k_p} \cdots \mu_{k_1}(Q, S),$$

which is well-defined because (Q, S) is nondegenerate. Let $\mathcal{S}_{\ell}^{-}(Q(t), S(t))$ be the negative simple representation of $(Q(t), S(t))$ at a vertex ℓ (see (4.8)). Then we

have

$$(5.2) \quad \mathcal{M}_{\ell;t}^{B;t_0} = \mu_{k_1} \cdots \mu_{k_p}(\mathcal{S}_\ell^-(Q(t), S(t)));$$

in view of (4.23), replacing $\mathcal{M}_{\ell;t}^{B;t_0}$ if necessary by a right-equivalent representation, we can assume that it is a QP-representation of (Q, S) .

Theorem 5.1. *We have*

$$(5.3) \quad \mathbf{g}_{\ell;t}^{B;t_0} = \mathbf{g}_{\mathcal{M}}, \quad F_{\ell;t}^{B;t_0} = F_{\mathcal{M}},$$

where $\mathcal{M} = \mathcal{M}_{\ell;t}^{B;t_0}$.

Proof. We deduce Theorem 5.1 from the following key lemma.

Lemma 5.2. *Let $\mathcal{M} = (M, V)$ be an arbitrary QP-representation of a nondegenerate QP $(Q(B), S)$, let $\overline{\mathcal{M}} = (\overline{M}, \overline{V}) = \mu_k(\mathcal{M})$ for some $k \in Q(B)_0$, and suppose that the Y -seed (\mathbf{y}', B_1) in $\mathbb{Q}_{\text{sf}}(y_1, \dots, y_n)$ is obtained from (\mathbf{y}, B) by the mutation at k . Let h_k (resp. h'_k) be the k -th component of the vector \mathbf{h}_M (resp. $\mathbf{h}_{\overline{M}}$) given by (3.2). Then the \mathbf{g} -vector $\mathbf{g}_{\mathcal{M}} = (g_1, \dots, g_n)$ satisfies (2.12) and is related to the \mathbf{g} -vector $\mathbf{g}_{\overline{\mathcal{M}}} = (g'_1, \dots, g'_n)$ via (2.11). Furthermore, the F -polynomials $F_{\mathcal{M}}$ and $F_{\overline{\mathcal{M}}}$ are related by*

$$(5.4) \quad (y_k + 1)^{h_k} F_{\mathcal{M}}(y_1, \dots, y_n) = (y'_k + 1)^{h'_k} F_{\overline{\mathcal{M}}}(y'_1, \dots, y'_n).$$

Before proving Lemma 5.2, we first show how it implies Theorem 5.1. Let $\mathcal{M} = (M, V) = \mathcal{M}_{\ell;t}^{B;t_0}$. We prove (5.3) together with the equality

$$(5.5) \quad \mathbf{h}_M = \mathbf{h}_{\ell;t}^{B;t_0}$$

(see (2.9)) by induction on the distance between t_0 and t in the tree \mathbb{T}_n . The basis of induction is the case $t = t_0$. By (1.15), we have $\mathcal{M}_{\ell;t_0}^{B;t_0} = \mathcal{S}_\ell^-(Q(B), S)$. The fact that the \mathbf{g} -vector and F -polynomial of this QP-representation agree with (2.1) and (2.4) is immediate from the definitions, while both sides of (5.5) are equal to 0.

Now assume that (5.3) and (5.5) are satisfied for some ℓ and t , and that $t_0 \xrightarrow{k} t_1$ in \mathbb{T}_n . In view of (1.16), the QP-representation $\overline{\mathcal{M}} = (\overline{M}, \overline{V})$ in Lemma 5.2 is equal to $\mathcal{M}_{\ell;t}^{B';t_1}$, where $B' = \mu_k(B)$. To finish the proof, it suffices to show that

- (1) $\mathbf{g}_{\overline{\mathcal{M}}} = \mathbf{g}_{\ell;t}^{B_1;t_1}$;
- (2) $F_{\overline{\mathcal{M}}} = F_{\ell;t}^{B_1;t_1}$;
- (3) $\mathbf{h}_{\overline{\mathcal{M}}} = \mathbf{h}_{\ell;t}^{B_1;t_1}$.

To prove (1), it suffices to observe that, by Lemma 5.2, the vector $\mathbf{g}_{\overline{\mathcal{M}}}$ is obtained from $\mathbf{g}_{\mathcal{M}}$ by the same rule (2.11) that expresses $\mathbf{g}_{\ell;t}^{B_1;t_1}$ in terms of $\mathbf{g}_{\ell;t}^{B;t_0}$. Then, since by Lemma 5.2 the numbers h'_k, h_k and g_k are related by (2.12), we conclude that h'_k is the k -th component of the vector $\mathbf{h}_{\ell;t}^{B_1;t_1}$. Next, using the latter claim, and comparing (5.4) with the relation (2.13) in Proposition 2.4, we obtain the proof of (2). Finally, to prove (3) it is enough to apply Proposition 3.3 to the representation \overline{M} (note that in view of (2), the polynomial $F_{\overline{\mathcal{M}}}$ is a subtraction-free rational expression, which makes Proposition 3.3 applicable).

It remains to prove Lemma 5.2, which we accomplish in several steps.

Step 1. We start by proving that the numbers h'_k , h_k and g_k in Lemma 5.2 are related by (2.12), which we rewrite as

$$-h'_k = g_k - h_k.$$

Remembering (3.2) and (1.13), we can rewrite this equality as

$$\dim \ker \bar{\beta}_k = \dim \ker \gamma_k - \dim M(k) + \dim V(k) + \dim \ker \beta_k = \dim \left(\frac{\ker \gamma_k}{\text{im } \beta_k} \oplus V(k) \right),$$

which is immediate from (4.22).

Step 2. Our next target is the identity (5.4). Suppose that $N = (N(1), \dots, N(n)) \in \prod_{i=1}^n \text{Gr}_{e_i}(M(i))$, and let $N_{\text{in}}(k)$ and $N_{\text{out}}(k)$ be the corresponding subspaces of $M_{\text{in}}(k)$ and $M_{\text{out}}(k)$, respectively. The condition that $N \in \text{Gr}_{\mathbf{e}}(M)$ can be stated as the combination of the following two conditions:

$$(5.6) \quad c_M(N(j)) \subseteq N(i) \text{ for any arrow } c : j \rightarrow i \text{ not incident to } k \text{ in } Q(B),$$

$$(5.7) \quad \alpha_k(N_{\text{in}}(k)) \subseteq N(k) \subseteq \beta_k^{-1}(N_{\text{out}}(k)).$$

Now let $\mathbf{e}' = (e_i)_{i \neq k}$ denote the integer vector obtained from \mathbf{e} by forgetting the component e_k . For every such vector \mathbf{e}' and every pair of nonnegative integers $r \leq s$, we denote by $Z_{\mathbf{e}';r,s}(M)$ the variety of tuples $(N(i))_{i \neq k}$ satisfying the inclusions (5.6) and $\alpha_k(N_{\text{in}}(k)) \subseteq \beta_k^{-1}(N_{\text{out}}(k))$, and such that $\dim N(i) = e_i$ for $i \neq k$, and

$$\dim \alpha_k(N_{\text{in}}(k)) = r, \quad \dim \beta_k^{-1}(N_{\text{out}}(k)) = s.$$

Let $\tilde{Z}_{\mathbf{e};r,s}(M)$ denote the subset of $\text{Gr}_{\mathbf{e}}(M)$ consisting of all $N = (N(1), \dots, N(n))$ such that the tuple obtained from N by forgetting $N(k)$ belongs to $Z_{\mathbf{e}';r,s}(M)$. Then $\text{Gr}_{\mathbf{e}}(M)$ is the disjoint union of the subsets $\tilde{Z}_{\mathbf{e};r,s}(M)$ over all pairs (r, s) , and in view of (5.7), each $\tilde{Z}_{\mathbf{e};r,s}(M)$ is the fiber bundle over $Z_{\mathbf{e}';r,s}(M)$ with the fiber $\text{Gr}_{e_k-r}(\mathbb{C}^{s-r})$. Since $\chi(\text{Gr}_{e_k-r}(\mathbb{C}^{s-r})) = \binom{s-r}{e_k-r}$, it follows that

$$\chi(\text{Gr}_{\mathbf{e}}(M)) = \sum_{r,s} \binom{s-r}{e_k-r} \chi(Z_{\mathbf{e}';r,s}(M)).$$

Substituting this expression into (1.6) and performing the summation with respect to e_k , we obtain

$$(5.8) \quad F_{\mathcal{M}}(y_1, \dots, y_n) = \sum_{\mathbf{e}',r,s} \chi(Z_{\mathbf{e}';r,s}(M)) y_k^r (y_k + 1)^{s-r} \prod_{i \neq k} y_i^{e_i}.$$

The proof of (5.4) is based on the following observation:

$$(5.9) \quad Z_{\mathbf{e}';r,s}(M) = Z_{\mathbf{e}';\bar{r},\bar{s}}(\bar{M}),$$

where \bar{r} and \bar{s} are given by

$$(5.10) \quad \bar{r} = \sum_i [b_{i,k}]_+ e_i - h_k - s, \quad \bar{s} = \sum_i [-b_{i,k}]_+ e_i - h'_k - r.$$

In view of the symmetry between \mathcal{M} and $\bar{\mathcal{M}}$, to prove (5.9), it is enough to show that every $(N(i))_{i \neq k} \in Z_{\mathbf{e}';r,s}(M)$ belongs to $Z_{\mathbf{e}';\bar{r},\bar{s}}(\bar{M})$.

First of all, we need to show that $\bar{\beta}_k \bar{\alpha}_k(N_{\text{out}}(k)) \subseteq N_{\text{in}}(k)$, that is, the counterpart for \bar{M} of the inclusion $\alpha_k(N_{\text{in}}(k)) \subseteq \beta_k^{-1}(N_{\text{out}}(k))$. As an immediate consequence of (4.20), we get $\bar{\beta}_k \bar{\alpha}_k = -\gamma_k$. In view of (1.11), each of the components

of the map γ_k is a linear combination of compositions of maps of the kind c_M or $b_M a_M$ (where $a, b, c \in Q_1$ are such that $h(a) = t(b) = k$, and c is not incident to k); thus, the defining conditions (5.6) and (5.7) imply the desired inclusion $\gamma_k(N_{\text{out}}(k)) \subseteq N_{\text{in}}(k)$.

To conclude the proof of (5.9), it remains to show that

$$(5.11) \quad \dim \bar{\alpha}_k(N_{\text{out}}(k)) = \bar{r}, \quad \dim \bar{\beta}_k^{-1}(N_{\text{in}}(k)) = \bar{s}.$$

To show the first equality, recall from (4.22) that $\ker \bar{\alpha}_k = \text{im } \beta_k$, implying that

$$\begin{aligned} \dim \bar{\alpha}_k(N_{\text{out}}(k)) &= \dim N_{\text{out}}(k) / (N_{\text{out}}(k) \cap \text{im } \beta_k) \\ &= \sum_i [b_{i,k}]_+ e_i - \dim(N_{\text{out}}(k) \cap \text{im } \beta_k). \end{aligned}$$

Using the exact sequence

$$0 \rightarrow \ker \beta_k \rightarrow \beta_k^{-1}(N_{\text{out}}(k)) \rightarrow N_{\text{out}}(k) \cap \text{im } \beta_k \rightarrow 0,$$

we conclude that

$$\dim(N_{\text{out}}(k) \cap \text{im } \beta_k) = \dim \beta_k^{-1}(N_{\text{out}}(k)) - \dim \ker \beta_k = s + h_k,$$

implying the first equality in (5.11). The second equality can be shown by similar arguments but also follows from the first one applied to $\bar{\mathcal{M}}$ instead of \mathcal{M} .

The rest of the proof of (5.4) is straightforward: use (5.8) and (5.9) for rewriting its right-hand side in the form

$$(y'_k + 1)^{h'_k} F_{\bar{\mathcal{M}}}(y'_1, \dots, y'_n) = (y'_k + 1)^{h'_k} \sum_{\mathbf{e}', r, s} \chi(Z_{\mathbf{e}'; r, s}(M)) (y'_k)^{\bar{r}} (y'_k + 1)^{\bar{s} - \bar{r}} \prod_{i \neq k} (y'_i)^{e_i},$$

then substitute for y'_1, \dots, y'_n (resp. for \bar{r} and \bar{s}) the expressions given by (2.10) (resp. by (5.10)), simplify the resulting expression, and use (5.8) again to see that it is equal to the left-hand side of (5.4).

Step 3. To finish the proof of Lemma 5.2, it remains to show that the vectors $\mathbf{g}_{\mathcal{M}}$ and $\mathbf{g}_{\mathcal{M}'}$ are related by (2.11). As shown in Step 1, we have $g_k = h_k - h'_k$, implying the equality $g'_k = -g_k$.

Now let $i \neq k$. Using (1.13), (3.2), and the fact that the matrix B is skew-symmetric, we can rewrite the desired second equality in (2.11) as

$$\dim \ker \gamma_i - [b_{k,i}]_+ \dim \ker \beta_k = \dim \ker \bar{\gamma}_i - [b_{i,k}]_+ \dim \ker \bar{\beta}_k.$$

Interchanging \mathcal{M} and $\bar{\mathcal{M}}$ if necessary, we see that it suffices to prove the following:

$$(5.12) \quad \text{if } b_{k,i} \geq 0, \text{ then } \dim \ker \bar{\gamma}_i = \dim \ker \gamma_i - b_{k,i} \dim \ker \beta_k.$$

We first show that (5.12) holds if we replace the map $\bar{\gamma}_i : \bar{M}_{\text{out}}(i) \rightarrow \bar{M}_{\text{in}}(i)$ with its counterpart $\tilde{\gamma}_i : \tilde{M}_{\text{out}}(i) \rightarrow \tilde{M}_{\text{in}}(i)$ for the representation $\tilde{\mathcal{M}} = \tilde{\mu}_k(\mathcal{M})$. We decompose the space $M_{\text{out}}(i)$ as

$$M_{\text{out}}(i) = M(k)^{b_{k,i}} \oplus M'_{\text{out}}(i),$$

where the first summand corresponds to the $b_{k,i}$ arrows from i to k . Accordingly, we have

$$\tilde{M}_{\text{out}}(i) = M_{\text{out}}(k)^{b_{k,i}} \oplus M'_{\text{out}}(i), \quad \tilde{M}_{\text{in}}(i) = \bar{M}(k)^{b_{k,i}} \oplus M_{\text{in}}(i).$$

Tracing the definitions, we see that the maps $\gamma_i : M(k)^{b_{k,i}} \oplus M'_{\text{out}}(i) \rightarrow M_{\text{in}}(i)$ and $\tilde{\gamma}_i : M_{\text{out}}(k)^{b_{k,i}} \oplus M'_{\text{out}}(i) \rightarrow \overline{M}(k)^{b_{k,i}} \oplus M_{\text{in}}(i)$ can be written in the block-matrix form as

$$\gamma_i = \begin{pmatrix} \psi \circ \beta_k^{b_{k,i}} & \eta \end{pmatrix}, \quad \tilde{\gamma}_i = \begin{pmatrix} \overline{\alpha}_k^{b_{k,i}} & 0 \\ \psi & \eta \end{pmatrix}$$

for some linear maps ψ and η , where $\beta_k^{b_{k,i}}$ and $\overline{\alpha}_k^{b_{k,i}}$ stand for the direct (diagonal) sums of $b_{k,i}$ copies of the maps $\beta_k : M(k) \rightarrow M_{\text{out}}(k)$ and $\overline{\alpha}_k : M_{\text{out}}(k) \rightarrow \overline{M}(k)$. Using the equality $\ker \overline{\alpha}_k = \text{im } \beta_k$ (4.22), it is easy to see that there is an exact sequence

$$0 \rightarrow (\ker \beta_k)^{b_{k,i}} \oplus \{0\} \rightarrow \ker \gamma_i \rightarrow \ker \tilde{\gamma}_i \rightarrow 0,$$

where the map $\ker \gamma_i \rightarrow \ker \tilde{\gamma}_i$ sends a pair $(u, v) \in \ker \gamma_i \subseteq M(k)^{b_{k,i}} \oplus M'_{\text{out}}(i)$ to $(\beta_k^{b_{k,i}} u, v)$. We conclude that

$$\dim \ker \tilde{\gamma}_i = \dim \ker \gamma_i - b_{k,i} \dim \ker \beta_k.$$

To complete the proof of (5.12), it remains to show that

$$(5.13) \quad \dim \ker \tilde{\gamma}_i = \dim \ker \overline{\gamma}_i.$$

In view of (4.12), $\dim \ker \tilde{\gamma}_i$ does not change if we replace (\tilde{Q}, \tilde{S}) with a right-equivalent QP. Thus, in proving (5.13), we can assume that $(\tilde{Q}, \tilde{S}) = (\overline{Q}, \overline{S}) \oplus (Q', S')$, where (Q', S') is a trivial QP. In accordance with this decomposition, we can decompose the spaces $\widetilde{M}_{\text{in}}(i)$ and $\widetilde{M}_{\text{out}}(i)$ as

$$\widetilde{M}_{\text{in}}(i) = \overline{M}_{\text{in}}(i) \oplus \widetilde{M}'_{\text{in}}(i), \quad \widetilde{M}_{\text{out}}(i) = \overline{M}_{\text{out}}(i) \oplus \widetilde{M}'_{\text{out}}(i),$$

where the spaces $\widetilde{M}'_{\text{in}}(i)$ and $\widetilde{M}'_{\text{out}}(i)$ correspond to the arrows from Q' . Thus, $\tilde{\gamma}_i$ has the following block-matrix form:

$$\tilde{\gamma}_i = \begin{pmatrix} \overline{\gamma}_i & 0 \\ 0 & \iota \end{pmatrix},$$

where ι is a vector space isomorphism $\widetilde{M}'_{\text{out}}(i) \rightarrow \widetilde{M}'_{\text{in}}(i)$. This implies (5.13), which completes the proofs of Lemma 5.2 and Theorem 5.1. \square

Theorem 5.1 yields a formula for cluster variables in the coefficient-free cluster algebra (that is, the one with the coefficient semifield $\mathbf{P} = \{1\}$).

Corollary 5.3. *Suppose that $F_{\ell;t}^{B;t_0} \neq 1$; hence the QP-representation $\mathcal{M} = \mathcal{M}_{\ell;t}^{B;t_0}$ is positive (that is, $\mathcal{M} = (M, 0)$). Let $x_{\ell;t}$ be the corresponding cluster variable in the coefficient-free cluster algebra. Then $x_{\ell;t}$ is given by the formula*

$$(5.14) \quad x_{\ell;t} = \prod_{i=1}^n x_i^{-d_i} \sum_{\mathbf{e}} \chi(\text{Gr}_{\mathbf{e}}(M)) \prod_{i=1}^n x_i^{-\text{rk } \gamma_i + \sum_j ([b_{i,j}]_+ e_j + [-b_{i,j}]_+ (d_j - e_j))},$$

where $d_i = \dim M(i)$.

Proof. It suffices to rewrite (1.13) as

$$g_i = \dim M_{\text{out}}(i) - \text{rk } \gamma_i - \dim M(i) = \sum_j [-b_{i,j}]_+ d_j - \text{rk } \gamma_i - d_i,$$

and apply (2.14) and (2.15). \square

Remark 5.4. If the quiver $Q(B)$ has no oriented cycles, then $S = 0$; hence $\gamma_i = 0$ for all i . In this case (5.14) specializes to the Caldero-Chapoton formula for cluster variables (see [6]) obtained in this generality in [7, Theorem 3].

Recall that the *denominator vector* of a cluster variable z with respect to the initial cluster (x_1, \dots, x_n) is the integer vector $(d_1(z), \dots, d_n(z))$ such that

$$z = \frac{P(x_1, \dots, x_n)}{x_1^{d_1(z)} \dots x_n^{d_n(z)}},$$

where P is a polynomial not divisible by any x_i . Conjecture 7.17 in [12] claims that if z does not belong to the initial cluster, then the denominator vector of z is equal to the multidegree of the corresponding F -polynomial. By Proposition 3.1 and Theorem 5.1, this conjecture is equivalent to the equality

$$(5.15) \quad d_i(x_{\ell;t}) = d_i = \dim M(i)$$

(in the notation of Corollary 5.3). It was shown in [7], in the case where $Q(B)$ has no oriented cycles, that (5.14) implies (5.15). A direct proof of this was given in [15, Theorem 10]. In full generality, (5.15) was disproved by a counterexample in [13] (based on the ideas in [4]). Using Theorem 5.1, we obtain the following partial result.

Corollary 5.5. *In the notation of Corollary 5.3, we have the inequality*

$$(5.16) \quad d_i(x_{\ell;t}) \leq d_i .$$

Furthermore, a necessary condition for the equality in (5.16) is the existence of a quiver subrepresentation N of M such that

$$(5.17) \quad \ker \gamma_i \subseteq N_{\text{out}}(i), \quad \gamma_i(N_{\text{out}}(i)) = N_{\text{in}}(i) .$$

Proof. In view of (5.14), we have

$$(5.18) \quad d_i - d_i(x_{\ell;t}) = \min_{\mathbf{e}} (-\text{rk } \gamma_i + \sum_j ([b_{i,j}]_+ e_j + [-b_{i,j}]_+ (d_j - e_j))) ,$$

where the minimum is over all dimension vectors \mathbf{e} such that $\chi(\text{Gr}_{\mathbf{e}}(M)) \neq 0$. In particular, $\text{Gr}_{\mathbf{e}}(M)$ must be nonempty; i.e., M must have a subrepresentation N with $e_i = \dim N(i)$ for all i . In terms of N , we have

$$\sum_j [b_{i,j}]_+ e_j = \dim N_{\text{in}}(i), \quad \sum_j [-b_{i,j}]_+ (d_j - e_j) = \dim M_{\text{out}}(i) - \dim N_{\text{out}}(i) .$$

Therefore,

$$\begin{aligned} & -\text{rk } \gamma_i + \sum_j ([b_{i,j}]_+ e_j + [-b_{i,j}]_+ (d_j - e_j)) \\ &= -\text{rk } \gamma_i + \dim M_{\text{out}}(i) + \dim N_{\text{in}}(i) - \dim N_{\text{out}}(i) \\ &= \dim \ker \gamma_i + \dim N_{\text{in}}(i) - \dim N_{\text{out}}(i) \\ &= \dim \frac{\ker \gamma_i}{\ker \gamma_i \cap N_{\text{out}}(i)} + \dim \frac{N_{\text{in}}(i)}{\gamma_i(N_{\text{out}}(i))} , \end{aligned}$$

making clear both assertions in question. □

Remark 5.6. A counterpart of Corollary 5.5 in the context of 2-Calabi-Yau categories was obtained in [13, Proposition 5.8].

We conclude this section by applying the above results for an explicit construction of a special class of QP-representations corresponding to cluster variables. Let T be a subset of vertices of $Q = Q(B)$ such that the induced subgraph on T is a tree; in particular, $b_{i,j} \in \{0, \pm 1\}$ for $i, j \in T$, so inside T there are no multiple arrows. Without loss of generality, we can assume that $T = [1, \ell] \subseteq [1, n] = Q_0$, and that each $i \in T$ is a leaf of the subtree of T on vertices $[i, \ell]$; in other words, for each $i \in [1, \ell - 1]$ there is a unique $j \in [i + 1, \ell]$ connected by an edge with i . Let $M = M_T$ be a Q -representation such that $M(i) = \mathbb{C}$ for $i \in T$, $M(i) = 0$ for $i \notin T$, and $a_M : M(t(a)) \rightarrow M(h(a))$ is an isomorphism whenever $h(a)$ and $t(a)$ belong to T . The condition that T is a tree implies that M is a $\mathcal{P}(Q, S)$ -module for any potential S (since every cyclic derivative $\partial_a S$ is a linear combination of paths from $h(a)$ to $t(a)$, and every such path acts as 0 in M).

Proposition 5.7. *Let $t_0 \xrightarrow{-1} t_1 \xrightarrow{-2} \dots \xrightarrow{-\ell} t_\ell = t$ be a path in \mathbb{T}_n . Then*

$$(5.19) \quad \mathcal{M}_{\ell;t}^{B;t_0} = (M_T, 0) .$$

Proof. We need to show that the sequence of mutations $\mu_\ell \circ \dots \circ \mu_1$ takes the QP-representation $(M_T, 0)$ to the negative simple representation \mathcal{S}_ℓ^- (see (1.15)). For $\ell = 1$, the representation M_T is just the (positive) simple module \mathcal{S}_ℓ ; using (4.17), we see that the mutation μ_ℓ turns it into \mathcal{S}_ℓ^- . For $\ell > 1$, again using (4.17), we see that the mutation μ_1 turns M_T into $M_{T'}$, where the tree T' is obtained from T by removing the leaf 1. The proof is finished by induction on ℓ . \square

Corollary 5.8. *In the situation of Proposition 5.7, the F -polynomial $F_{\ell;t}^{B;t_0}$ is given as follows:*

$$(5.20) \quad F_{\ell;t}^{B;t_0}(u_1, \dots, u_n) = \sum_Z \prod_{i \in Z} u_i ,$$

where Z runs over all subsets of $T = [1, \ell]$ with the property that if $j \in Z$, then $i \in Z$ for every arrow $j \rightarrow i$ in T . Furthermore, the denominator vector of the cluster variable $x_{\ell;t}$ is the indicator vector of $[1, \ell]$ (that is, $d_i(x_{\ell;t}) = 1$ for $i \in [1, \ell]$, and $d_i(x_{\ell;t}) = 0$ for $i \in [\ell + 1, n]$).

Proof. By Theorem 5.1 and Proposition 5.7, we have $F_{\ell;t}^{B;t_0} = F_{M_T}$. The equality (5.20) is then immediate from the definition (1.6): clearly, the quiver Grassmannian $\text{Gr}_e(M_T)$ consists of one point if e is the indicator vector of a subset Z as in (5.20); otherwise $\text{Gr}_e(M_T) = \emptyset$.

Turning to the denominator vector, in view of Corollary 5.5, it is enough to show that $d_i(x_{\ell;t}) = 1$ for $i \in T$. Fix a vertex $i \in T$, and let Z be the subset of all vertices $j \in T$ that can be reached from i by a directed path in T . Let $N = \bigoplus_{j \in Z} M_T(j)$. Then N is a quiver subrepresentation of M_T . The fact that T is a tree implies easily that N satisfies (5.17) (indeed, we have $\gamma_i = 0$, $N_{\text{out}}(i) = M_{\text{out}}(i)$, and $N_{\text{in}}(i) = 0$). Furthermore, N is the only element in its quiver Grassmannian, which makes (5.17) not only necessary but also a sufficient condition for the equality $d_i(x_{\ell;t}) = d_i(M) = 1$. \square

Remark 5.9. The computation of the \mathbf{g} -vector of M_T is more involved, since the map γ_i is not necessarily 0 if $i \notin T$. However, $\gamma_i = 0$ for $i \in T$; hence for $i \in T$ the component g_i of $\mathbf{g}_{\ell;t}^{B;t_0}$ is equal to $|\{j \in T : i \rightarrow j\}| - 1$.

6. MUTATIONS PRESERVE HOMOMORPHISMS MODULO CONFINED ONES

Let $\mathcal{M} = (M, V)$ and $\mathcal{N} = (N, W)$ be QP-representations of a reduced QP (Q, S) . We fix a vertex $k \in Q_0$ and assume that Q has no oriented 2-cycles through k . Thus, the mutated QP $(\overline{Q}, \overline{S}) = \mu_k(Q, S)$ is well-defined, as well as its QP-representations $\overline{\mathcal{M}} = (\overline{M}, \overline{V}) = \mu_k(\mathcal{M})$ and $\overline{\mathcal{N}} = (\overline{N}, \overline{W}) = \mu_k(\mathcal{N})$.

We abbreviate

$$M(\widehat{k}) = \bigoplus_{i \neq k} M(i)$$

and say that a homomorphism $\varphi \in \text{Hom}_Q(M, N)$ is *confined to k* if $\varphi(m) = 0$ for $m \in M(\widehat{k})$. Denote the space of such homomorphisms by $\text{Hom}_Q^{[k]}(M, N)$. Restricting φ to $M(\widehat{k})$ yields a vector space isomorphism

$$(6.1) \quad \text{Hom}_Q^{[k]}(M, N) = \text{Hom}_{\mathbb{C}}(\text{coker } \alpha_{k;M}, \text{ker } \beta_{k;N}).$$

The goal of this section is to prove the following proposition. It was already established in [3, Theorem 7.1] but the present proof seems to be much simpler.

Proposition 6.1. *The mutation μ_k induces an isomorphism*

$$\text{Hom}_Q(M, N) / \text{Hom}_Q^{[k]}(M, N) = \text{Hom}_{\overline{Q}}(\overline{M}, \overline{N}) / \text{Hom}_{\overline{Q}}^{[k]}(\overline{M}, \overline{N}).$$

Proof. We can view a $\mathcal{P}(Q, S)$ -module M as a module over the subalgebra

$$\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}} = \bigoplus_{i, j \neq k} \mathcal{P}(Q, S)_{i, j}.$$

Clearly, $M(\widehat{k})$ is a $\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}$ -submodule of M , and so we have the restriction map $\rho : \text{Hom}_Q(M, N) \rightarrow \text{Hom}_{\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}}(M(\widehat{k}), N(\widehat{k}))$. Denote

$$\begin{aligned} \text{Hom}_{Q(\widehat{k})}(M, N) = \{ \varphi \in \text{Hom}_{\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}}(M(\widehat{k}), N(\widehat{k})) : \\ \varphi(\text{ker } \alpha_{k;M}) \subseteq \text{ker } \alpha_{k;N}, \varphi(\text{im } \beta_{k;M}) \subseteq \text{im } \beta_{k;N} \}. \end{aligned}$$

As an easy consequence of the definitions, we have

$$\text{ker } \rho = \text{Hom}_Q^{[k]}(M, N), \quad \text{im } \rho = \text{Hom}_{Q(\widehat{k})}(M, N).$$

Thus, ρ induces an isomorphism

$$(6.2) \quad \text{Hom}_Q(M, N) / \text{Hom}_Q^{[k]}(M, N) = \text{Hom}_{Q(\widehat{k})}(M, N).$$

Now recall from [9, Proposition 6.1, Corollary 6.6] that the mutation μ_k induces an isomorphism between $\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}$ and $\mathcal{P}(\overline{Q}, \overline{S})_{\widehat{k}, \widehat{k}}$. This isomorphism is explicitly described in the proof of Proposition 6.1 in [9]: it preserves all arrows not incident to k , and it sends each product ba (for a an incoming, and b an outgoing arrow at k) to the “composite arrow” $[ba]$. Identifying $\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}$ and $\mathcal{P}(\overline{Q}, \overline{S})_{\widehat{k}, \widehat{k}}$ with the help of this isomorphism, and recalling the definition of \overline{M} in Section 4, we see that the $\mathcal{P}(\overline{Q}, \overline{S})_{\widehat{k}, \widehat{k}}$ -module structure on $\overline{M}(\widehat{k})$ becomes identical to the $\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}$ -module structure on $M(\widehat{k})$. Furthermore, by (4.22), we have $\text{ker } \overline{\alpha}_k = \text{im } \beta_k$, $\text{im } \overline{\beta}_k = \text{ker } \alpha_k$. Therefore, the subspace $\text{Hom}_{Q(\widehat{k})}(M, N) \subseteq \text{Hom}_{\mathcal{P}(Q, S)_{\widehat{k}, \widehat{k}}}(M(\widehat{k}), N(\widehat{k}))$ gets identified with $\text{Hom}_{\overline{Q}(\widehat{k})}(\overline{M}, \overline{N})$. This completes the proof of Proposition 6.1. \square

The isomorphism in Proposition 6.1 can be viewed as functorial in the following way. Let $\mathcal{C}(Q, S)$ be the category whose objects are QP-representations of a QP (Q, S) , and the morphisms are given by

$$\mathrm{Hom}_{\mathcal{C}(Q, S)}((M, V), (N, W)) = \mathrm{Hom}_Q(M, N) \oplus \mathrm{Hom}_R(V, W).$$

For a vertex $k \in Q_0$, let $\mathcal{C}^{[k]}(Q, S)$ be the quotient category of $\mathcal{C}(Q, S)$ with the same objects and the morphisms given by

$$\mathrm{Hom}_{\mathcal{C}^{[k]}(Q, S)}((M, V), (N, W)) = \frac{\mathrm{Hom}_{\mathcal{C}(Q, S)}((M, V), (N, W))}{\mathrm{Hom}_Q^{[k]}(M, N) \oplus \mathrm{Hom}_R(V, W)}.$$

Proposition 6.2. *The mutation μ_k induces an equivalence of categories*

$$\mu_k : \mathcal{C}^{[k]}(Q, S) \rightarrow \mathcal{C}^{[k]}(\overline{Q}, \overline{S}).$$

Proof. In view of (6.2), we have

$$\mathrm{Hom}_{\mathcal{C}^{[k]}(Q, S)}((M, V), (N, W)) = \mathrm{Hom}_{Q(\widehat{k})}(M, N).$$

It follows from the proof of Proposition 6.1 that the mutation at k gives rise to a functor from $\mathcal{C}^{[k]}(Q, S)$ to $\mathcal{C}^{[k]}(\overline{Q}, \overline{S})$. The fact that this functor is an equivalence of categories is a consequence of the following basic result in category theory (see [19, Proposition 16.3.2]).

Proposition 6.3. *Let \mathcal{C} and $\overline{\mathcal{C}}$ be categories, and suppose $\mathcal{F} : \mathcal{C} \rightarrow \overline{\mathcal{C}}$ is a functor with the following properties:*

- (1) *For every object $\overline{\mathcal{M}}$ of $\overline{\mathcal{C}}$ there is an object \mathcal{M} of \mathcal{C} such that $\mathcal{F}(\mathcal{M})$ is isomorphic to $\overline{\mathcal{M}}$.*
- (2) *For any pair of objects \mathcal{M}, \mathcal{N} of \mathcal{C} , the functor \mathcal{F} induces a bijection*

$$\mathrm{Hom}_{\mathcal{C}}(\mathcal{M}, \mathcal{N}) \cong \mathrm{Hom}_{\overline{\mathcal{C}}}(\mathcal{F}\mathcal{M}, \mathcal{F}\mathcal{N}).$$

Then \mathcal{F} is an equivalence of categories; i.e., there exists a functor $\mathcal{G} : \overline{\mathcal{C}} \rightarrow \mathcal{C}$ such that the composition functors $\mathcal{G} \circ \mathcal{F}$ and $\mathcal{F} \circ \mathcal{G}$ are naturally equivalent to the identity functors of \mathcal{C} and $\overline{\mathcal{C}}$, respectively. \square

Remark 6.4. The proof of Proposition 6.3 is based on a strong version of the axiom of choice (see [19, §3.1, Remark 16.3.3]): for any class of sets and any equivalence relation on this class we can choose a representative in every class.

7. THE E -INVARIANT

Let $\mathcal{M} = (M, V)$ and $\mathcal{N} = (N, W)$ be QP-representations of the same nondegenerate QP (Q, S) . We abbreviate

$$(7.1) \quad \langle M, N \rangle = \dim \mathrm{Hom}_Q(M, N)$$

and

$$(7.2) \quad d_i(\mathcal{M}) = d_i(M) = \dim M(i), \quad d_i^-(\mathcal{M}) = \dim V(i),$$

so that the components of the \mathbf{g} -vector $\mathbf{g}_{\mathcal{M}} = (g_1, \dots, g_n)$ are given by

$$(7.3) \quad g_i = g_i(\mathcal{M}) = \dim \ker \gamma_{i;M} - d_i(\mathcal{M}) + d_i^-(\mathcal{M}).$$

We now define the integer function

$$(7.4) \quad E^{\mathrm{inj}}(\mathcal{M}, \mathcal{N}) = \langle M, N \rangle + \sum_{i=1}^n d_i(\mathcal{M})g_i(\mathcal{N})$$

and its symmetrized version

$$(7.5) \quad E^{\text{sym}}(\mathcal{M}, \mathcal{N}) = E^{\text{inj}}(\mathcal{M}, \mathcal{N}) + E^{\text{inj}}(\mathcal{N}, \mathcal{M}).$$

In view of (1.17), the E -invariant of a QP-representation is given by

$$(7.6) \quad E(\mathcal{M}) = E^{\text{inj}}(\mathcal{M}, \mathcal{M}) = \frac{E^{\text{sym}}(\mathcal{M}, \mathcal{M})}{2}.$$

Now let $\mu_k(\mathcal{M}) = \overline{\mathcal{M}} = (\overline{M}, \overline{V})$ and $\mu_k(\mathcal{N}) = \overline{\mathcal{N}} = (\overline{N}, \overline{W})$ be QP-representations (of the QP $(\overline{Q}, \overline{S}) = \mu_k(Q, S)$) obtained from \mathcal{M} and \mathcal{N} by the mutation at a vertex k .

Theorem 7.1. *We have*

$$(7.7) \quad E^{\text{inj}}(\overline{\mathcal{M}}, \overline{\mathcal{N}}) - E^{\text{inj}}(\mathcal{M}, \mathcal{N}) = h_k(\overline{M})h_k(\overline{N}) - h_k(M)h_k(N).$$

In particular, $E^{\text{sym}}(\mathcal{M}, \mathcal{N})$ and $E(\mathcal{M})$ are invariant under QP-mutations; i.e.,

$$E^{\text{sym}}(\mu_k(\mathcal{M}), \mu_k(\mathcal{N})) = E^{\text{sym}}(\mathcal{M}, \mathcal{N}), \quad E(\mu_k(\mathcal{M})) = E(\mathcal{M})$$

for any vertex k .

Proof. Our starting point is the equality

$$(7.8) \quad \langle M, N \rangle + \dim(\text{coker } \alpha_{k;M}) \cdot h_k(N) = \langle \overline{M}, \overline{N} \rangle + \dim(\text{coker } \alpha_{k;\overline{M}}) \cdot h_K(\overline{N}),$$

obtained by combining Proposition 6.1 with (6.1) (and recalling the notation (3.2)). We claim that $\dim(\text{coker } \alpha_{k;M})$ and $\dim(\text{coker } \alpha_{k;\overline{M}})$ are given by

$$(7.9) \quad \dim(\text{coker } \alpha_{k;M}) = h_k(\overline{M}) + d_k(M) + d_k(\overline{M}) - \sum_i [-b_{i,k}]_+ d_i(M)$$

and

$$(7.10) \quad \dim(\text{coker } \alpha_{k;\overline{M}}) = h_k(M) + d_k(M) + d_k(\overline{M}) - \sum_i [b_{i,k}]_+ d_i(M).$$

Note that (7.10) follows from (7.9) by interchanging M with \overline{M} , so it is enough to prove (7.9). Using the equality $\ker \alpha_{k;M} = \text{im } \beta_{k;\overline{M}}$ in (4.22), we obtain

$$\begin{aligned} \dim(\text{coker } \alpha_{k;M}) &= d_k(M) - \text{rk } \alpha_{k;M} \\ &= d_k(M) - \dim M_{\text{in}}(k) + \dim(\ker \alpha_{k;M}) \\ &= d_k(M) - \sum_i [-b_{i,k}]_+ d_i(M) + \text{rk } \beta_{k;\overline{M}} \\ &= d_k(M) - \sum_i [-b_{i,k}]_+ d_i(M) + d_k(\overline{M}) - \dim(\ker \beta_{k;\overline{M}}), \end{aligned}$$

which implies (7.9) in view of (3.2).

Using (7.9) and (7.10), we can rewrite (7.8) as follows:

$$\begin{aligned} \langle \overline{M}, \overline{N} \rangle - \langle M, N \rangle &= \dim(\text{coker } \alpha_{k;M}) \cdot h_k(N) - \dim(\text{coker } \alpha_{k;\overline{M}}) \cdot h_K(\overline{N}) \\ &= (h_k(\overline{M}) + d_k(M) + d_k(\overline{M}) - \sum_i [-b_{i,k}]_+ d_i(M)) \cdot h_K(N) \\ &\quad - (h_k(M) + d_k(M) + d_k(\overline{M}) - \sum_i [b_{i,k}]_+ d_i(M)) \cdot h_K(\overline{N}). \end{aligned}$$

In view of Lemma 5.2, we have $h_k(\overline{N}) = h_k(N) - g_k(\mathcal{N})$, which allows us to rewrite (7.8) further as

$$(7.11) \quad \langle \overline{M}, \overline{N} \rangle - \langle M, N \rangle - (h_k(\overline{M})h_k(N) - h_k(M)h_k(\overline{N})) \\ = \left(\sum_i b_{i,k} d_i(M) \right) \cdot h_k(N) + (d_k(M) + d_k(\overline{M}) - \sum_i [b_{i,k}]_+ d_i(M)) \cdot g_k(\mathcal{N}) .$$

Comparing (7.11) with the desired equality (7.7), we see that it remains to show that the right-hand side of (7.11) is equal to

$$\sum_i (d_i(M)g_i(\mathcal{N}) - d_i(\overline{M})g_i(\overline{\mathcal{N}})) .$$

Using the equality $d_i(\overline{M}) = d_i(M)$ for $i \neq k$, and the assertion (proved in Lemma 5.2) that the transformation $\mathbf{g}_{\mathcal{N}} \mapsto \mathbf{g}_{\overline{\mathcal{N}}}$ is given by (2.11), we obtain

$$\sum_i (d_i(M)g_i(\mathcal{N}) - d_i(\overline{M})g_i(\overline{\mathcal{N}})) \\ = (d_k(M) + d_k(\overline{M})) \cdot g_k(\mathcal{N}) + \sum_{i \neq k} d_i(M)(b_{i,k}h_k(N) - [b_{i,k}]_+ g_k(\mathcal{N})) \\ = \left(\sum_i b_{i,k} d_i(M) \right) \cdot h_k(N) + (d_k(M) + d_k(\overline{M}) - \sum_i [b_{i,k}]_+ d_i(M)) \cdot g_k(\mathcal{N}) ,$$

finishing the proof of Theorem 7.1. □

Corollary 7.2. *If \mathcal{M} is obtained by a sequence of mutations from a negative QP-representation $(\{0\}, V)$, then $E(\mathcal{M}) = 0$. In particular, this is the case for any representation $\mathcal{M}_{\ell;t}^{B;t_0}$ given by (5.2).*

Proof. By the definition (1.17), we have $E(\{0\}, V) = 0$; hence $E(\mathcal{M}) = 0$ as well. □

We conclude this section by one more invariance property of $E(\mathcal{M})$. For a quiver $Q = (Q_0, Q_1, h, t)$, we denote by Q^{op} the *opposite* quiver (Q_0, Q_1, t, h) obtained from Q by reversing all arrows. To distinguish the arrows of Q^{op} from those of Q , we denote by a^{op} the arrow of Q^{op} corresponding to an arrow a of Q . The correspondence $a \mapsto a^{\text{op}}$ extends to an anti-isomorphism $u \mapsto u^{\text{op}}$ of completed path algebras $R\langle\langle A \rangle\rangle \rightarrow R\langle\langle A^{\text{op}} \rangle\rangle$ (identical on the vertex span R). In particular, every QP (Q, S) gives rise to the opposite QP $(Q^{\text{op}}, S^{\text{op}})$. By the definition (4.3) of a cyclic derivative, we have $\partial_{a^{\text{op}}} S^{\text{op}} = (\partial_a S)^{\text{op}}$ for any arrow a of Q . Thus, $J(S^{\text{op}}) = (J(S))^{\text{op}}$. This implies that every QP-representation $\mathcal{M} = (M, V)$ of (Q, S) gives rise to a QP-representation $\mathcal{M}^* = (M^*, V)$ of $(Q^{\text{op}}, S^{\text{op}})$ obtained from \mathcal{M} by replacing each space $M(k)$ with its dual $M(k)^*$, and setting $(a^{\text{op}})_{\mathcal{M}^*} = (a_M)^*$ for any arrow a of Q .

Proposition 7.3. *We have $E(\mathcal{M}^*) = E(\mathcal{M})$ for any QP-representation \mathcal{M} .*

Proof. Using the notation in (7.2) and (7.3), we can express $g_i(\mathcal{M})$ as

$$(7.12) \quad g_i(\mathcal{M}) = \dim M_{\text{out}}(i) - \text{rk } \gamma_{i;M} - d_i(M) + d_i^-(\mathcal{M}),$$

and $E(\mathcal{M})$ as

$$(7.13) \quad E(\mathcal{M}) = \langle M, M \rangle + \sum_{i=1}^n d_i(M)(d_i^-(\mathcal{M}) - \text{rk } \gamma_{i;M} - d_i(M)) + \sum_{a \in Q_1} d_{h(a)}(M)d_{t(a)}(M).$$

It remains to observe that passing from \mathcal{M} to \mathcal{M}^* does not change any of the terms in (7.13) (since $\text{Hom}_{Q^{\text{op}}}(M^*, M^*)$ is isomorphic to $\text{Hom}_Q(M, M)$, and $\gamma_{i;M^*} = (\gamma_{i;M})^*$). \square

8. LOWER BOUNDS FOR THE E -INVARIANT

Fix a QP-representation $\mathcal{M} = (M, V)$ of a reduced QP (Q, S) . The goal of this section is to prove the lower bound (1.18) for $E(\mathcal{M})$. Using the notation in (7.2), we can state the result as follows (since \mathcal{M} is fixed, we allow ourselves to skip references to it in most of the formulas below).

Theorem 8.1. *The E -invariant of a QP-representation satisfies*

$$(8.1) \quad E(\mathcal{M}) \geq \sum_{i \in Q_0} (\dim(\ker \beta_i) \cdot \dim(\ker \gamma_i / \text{im } \beta_i) + d_i(M) \cdot d_i^-(\mathcal{M})).$$

Proof. The desired lower bound for $E(\mathcal{M})$ follows from another one:

$$(8.2) \quad E(\mathcal{M}) \geq \sum_{i \in Q_0} (\dim(\text{coker } \alpha_i) \cdot \dim(\ker \alpha_i / \text{im } \gamma_i) + d_i(M) \cdot d_i^-(\mathcal{M}));$$

indeed, to deduce (8.1) from (8.2) it suffices to apply the latter bound to the dual QP-representation \mathcal{M}^* and use Proposition 7.3.

Substituting into (8.2) the expression (7.13) for $E(\mathcal{M})$, regrouping the terms and simplifying, we can rewrite it as follows:

$$(8.3) \quad \langle M, M \rangle + \sum_{a \in Q_1} d_{h(a)}(M) \cdot d_{t(a)}(M) - \sum_{i \in Q_0} (d_i(M)^2 + \dim(\text{coker } \alpha_i) \cdot \dim(\ker \alpha_i)) \geq \sum_{i \in Q_0} \text{rk } \gamma_i \cdot \text{rk } \alpha_i.$$

We abbreviate

$$U = \bigoplus_{i \in Q_0} \text{Hom}_{\mathbb{C}}(M_{\text{in}}(i), M(i))$$

and define the subspaces $U_1 \subseteq U_2$ in U by

$$(8.4) \quad U_1 = \{(\psi_i : M_{\text{in}}(i) \rightarrow M(i))_{i \in Q_0} : \text{im } \psi_i \subseteq \text{im } \alpha_i \text{ for all } i\}, \\ U_2 = \{(\psi_i : M_{\text{in}}(i) \rightarrow M(i))_{i \in Q_0} : \psi_i(\ker \alpha_i) \subseteq \text{im } \alpha_i \text{ for all } i\}.$$

Now we can state the key lemma.

Lemma 8.2. *There exist two linear maps*

$$\text{Hom}_R(M, M) \xrightarrow{\Phi} U \xrightarrow{\Psi} \bigoplus_{b \in Q_1} \text{Hom}_{\mathbb{C}}(M(h(b)), M(t(b)))$$

satisfying the following conditions:

- (1) $\ker \Phi = \text{Hom}_Q(M, M)$;
- (2) $\text{im } \Phi \subseteq U_2$;

- (3) $\text{im } \Phi \subseteq \ker \Psi$;
- (4) $\dim \Psi(U_1) \geq \sum_{i \in Q_0} \text{rk } \gamma_i \cdot \text{rk } \alpha_i$.

Before proving Lemma 8.2, we show that it implies (8.3). By the definition of U_2 , we have

$$\begin{aligned} \dim U_2 &= \dim U - \sum_{i \in Q_0} \dim(\text{coker } \alpha_i) \cdot \dim(\ker \alpha_i) \\ &= \sum_{a \in Q_1} d_{h(a)}(M) \cdot d_{t(a)}(M) - \sum_{i \in Q_0} \dim(\text{coker } \alpha_i) \cdot \dim(\ker \alpha_i). \end{aligned}$$

Note also that

$$\text{Hom}_R(M, M) = \bigoplus_{i \in Q_0} \text{End}_{\mathbb{C}}(M(i)).$$

By (1), we have

$$\text{rk } \Phi = \dim(\text{Hom}_R(M, M)) - \dim(\ker \Phi) = \sum_{i \in Q_0} d_i(M)^2 - \langle M, M \rangle.$$

In view of (2), the left-hand side of (8.3) is equal to $\dim(U_2/\text{im } \Phi)$. Now we use (3) and (4) to conclude that

$$\begin{aligned} \dim(U_2/\text{im } \Phi) &\geq \dim(U_2/(U_2 \cap \ker \Psi)) = \dim(\Psi(U_2)) \\ &\geq \dim(\Psi(U_1)) \geq \sum_{i \in Q_0} \text{rk } \gamma_i \cdot \text{rk } \alpha_i, \end{aligned}$$

finishing the proof of (8.3).

To complete the proof of Theorem 8.1 it remains to prove Lemma 8.2. We define the map Φ by setting, for $\xi \in \text{Hom}_R(M, M)$,

$$(8.5) \quad \Phi(\xi) = (\eta_i : M_{\text{in}}(i) \rightarrow M(i))_{i \in Q_0} \in U, \quad \eta_i = \xi \alpha_i - \alpha_i \xi.$$

Properties (1) and (2) from Lemma 8.2 are immediate from this definition.

The definition of Ψ requires some preparation. First of all, we identify the space U with $\bigoplus_{a \in Q_1} \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a)))$, so view Ψ as a linear map

$$\Psi : \bigoplus_{a \in Q_1} \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a))) \rightarrow \bigoplus_{b \in Q_1} \text{Hom}_{\mathbb{C}}(M(h(b)), M(t(b))).$$

Now recall from [9, (3.2)] that each arrow $a \in Q_1$ gives rise to a continuous linear map

$$\Delta_a : R\langle\langle A \rangle\rangle \rightarrow R\langle\langle A \rangle\rangle \widehat{\otimes} R\langle\langle A \rangle\rangle,$$

such that for every path $a_1 \cdots a_d$ we have

$$(8.6) \quad \Delta_a(a_1 \cdots a_d) = \sum_{p: a_p = a} a_1 \cdots a_{p-1} \otimes a_{p+1} \cdots a_d;$$

here we use the notation

$$R\langle\langle A \rangle\rangle \widehat{\otimes} R\langle\langle A \rangle\rangle = \prod_{i, j \geq 0} (A^{\otimes R^i} \otimes A^{\otimes R^j}),$$

and the convention that if $a_1 = a$ (resp. $a_d = a$), then the corresponding term in (8.6) is $e_{h(a)} \otimes a_2 \cdots a_d$ (resp. $a_1 \cdots a_{d-1} \otimes e_{t(a)}$). In particular, for every $a, b \in Q_1$, we have

$$\Delta_a(\partial_b S) \in R\langle\langle A \rangle\rangle_{t(b), h(a)} \widehat{\otimes} R\langle\langle A \rangle\rangle_{t(a), h(b)};$$

accordingly, we express $\Delta_a(\partial_b S)$ as

$$(8.7) \quad \Delta_a(\partial_b S) = \sum_{\nu} u_{b,a}^{(\nu)} \otimes v_{a,b}^{(\nu)} \quad (u_{b,a}^{(\nu)} \in R\langle\langle A \rangle\rangle_{t(b),h(a)}, v_{a,b}^{(\nu)} \in R\langle\langle A \rangle\rangle_{t(a),h(b)}) .$$

Now we define the component $\Psi_{b,a} : \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a))) \rightarrow \text{Hom}_{\mathbb{C}}(M(h(b)), M(t(b)))$ of Ψ by setting

$$(8.8) \quad \Psi_{b,a}(\eta_a) = \sum_{\nu} (u_{b,a}^{(\nu)})_M \circ \eta_a \circ (v_{a,b}^{(\nu)})_M .$$

We postpone the proof of property (3) in Lemma 8.2, that is, of the equality $\Psi \circ \Phi = 0$, until Section 10; see Corollary 10.2 and Remark 10.3 below.

It remains to check property (4). We start with the following observation, which is a direct consequence of the definitions: for every pair of arrows a and b , we have

$$(8.9) \quad \Delta_a \partial_b S \equiv e_{t(b)} \otimes \delta_{h(a),t(b)} \partial_{ba} S \pmod{\mathfrak{m} \widehat{\otimes} R\langle\langle A \rangle\rangle} .$$

In view of (1.11) and (8.8), it follows that, for every $\eta_a \in \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a)))$, the morphism $\Psi_{b,a}(\eta_a) - \delta_{h(a),t(b)} \eta_a \circ \gamma_{a,b} \in \text{Hom}_{\mathbb{C}}(M(h(b)), M(t(b)))$ is a linear combination of morphisms of the form $u_M \circ \eta_a \circ v_M$ with $u \in \mathfrak{m}$, $v \in R\langle\langle A \rangle\rangle$.

Since \mathfrak{m} acts nilpotently on M , we have a descending filtration of R -modules:

$$M \supset \mathfrak{m}M \supset \dots \supset \mathfrak{m}^{\ell}M = 0 .$$

For $p = 0, \dots, \ell - 1$, choose an R -submodule $M^{(p)}$ in M such that

$$\mathfrak{m}^p M = M^{(p)} \oplus \mathfrak{m}^{p+1} M .$$

For $s \in \mathbb{C}^*$, define $\lambda(s) \in \text{End}_R(M)$ as the R -module automorphism of M acting on each $M^{(p)}$ as multiplication by s^p . This definition makes it clear that

$$(8.10) \quad \lim_{s \rightarrow 0} \lambda(s) \circ u_M \circ \lambda(s)^{-1} = 0$$

for each $u \in \mathfrak{m}$.

Now for each $s \in \mathbb{C}^*$, define the linear map

$$\Psi^{(s)} = (\Psi_{b,a}^{(s)}) : U = \bigoplus_a \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a))) \rightarrow \bigoplus_b \text{Hom}_{\mathbb{C}}(M(h(b)), M(t(b)))$$

by setting

$$\Psi_{b,a}^{(s)}(\eta_a) = \lambda(s) \circ \Psi_{b,a}(\lambda(s)^{-1} \circ \eta_a) .$$

Since $\Psi^{(s)}$ is obtained from Ψ by composing it with invertible linear maps on both sides, we have $\text{rank } \Psi^{(s)} = \text{rank } \Psi$ for all $s \in \mathbb{C}^*$, and more generally, $\dim \Psi^{(s)}(U') = \dim \Psi(U')$ for any subspace $U' \subseteq U$ invariant under the automorphism $(\eta_a) \mapsto (\lambda(s)^{-1} \circ \eta_a)$. Note that the subspace $U_1 \subseteq U$ given by (8.4) satisfies this condition; indeed, under the identification of U with $\bigoplus_a \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a)))$, U_1 identifies with $\bigoplus_a \text{Hom}_{\mathbb{C}}(M(t(a)), \mathfrak{m}M(h(a)))$.

Now consider the linear map $\Psi^{(0)} = \lim_{s \rightarrow 0} \Psi^{(s)}$. Since under the continuous deformation the rank of a linear map depends semi-continuously on the deformation parameter, we conclude that

$$\dim \Psi(U_1) \geq \dim \Psi^{(0)}(U_1);$$

to finish the proof of property (4) in Lemma 8.2, it suffices to show that

$$(8.11) \quad \dim \Psi^{(0)}(U_1) = \sum_i \text{rk } \gamma_i \cdot \text{rk } \alpha_i .$$

In view of (8.10), each component $\Psi_{b,a}^{(0)}$ of $\Psi^{(0)}$ acts by

$$\Psi_{b,a}^{(0)}(\eta_a) = \delta_{h(a),t(b)}\eta_a \circ \gamma_{a,b}.$$

Using natural identifications

$$\begin{aligned} \bigoplus_a \text{Hom}_{\mathbb{C}}(M(t(a)), M(h(a))) &= \bigoplus_i \text{Hom}_{\mathbb{C}}(M_{\text{in}}(i), M(i)) , \\ \bigoplus_b \text{Hom}_{\mathbb{C}}(M(h(b)), M(t(b))) &= \bigoplus_i \text{Hom}_{\mathbb{C}}(M_{\text{out}}(i), M(i)) , \end{aligned}$$

the operator $\Psi^{(0)}$ translates into the direct sum of operators

$$\Psi_i^{(0)} : \text{Hom}_{\mathbb{C}}(M_{\text{in}}(i), M(i)) \rightarrow \text{Hom}_{\mathbb{C}}(M_{\text{out}}(i), M(i))$$

acting by

$$\Psi_i^{(0)}(\eta_i) = \eta_i \circ \gamma_i .$$

This description makes (8.11) clear, finishing the proofs of Lemma 8.2 and Theorem 8.1. □

The following corollary is immediate from (8.1).

Corollary 8.3. *Suppose $\mathcal{M} = (M, V)$ is a QP-representation such that $E(\mathcal{M}) = 0$. Then for every vertex k we have:*

- (1) *either $M(k) = \{0\}$ or $V(k) = \{0\}$;*
- (2) *either $\ker \beta_k = \{0\}$ or $\text{im } \beta_k = \ker \gamma_k$.*

Since $E(\mathcal{M})$ is invariant under mutations, Corollary 8.3 implies that if $E(\mathcal{M}) = 0$, then every QP-representation obtained from \mathcal{M} by a sequence of mutations satisfies the properties (1) and (2). The following example shows that the converse is not true.

Let Q be the Kronecker quiver

$$1 \xrightarrow{a,b} 2 .$$

For every positive integer n , let $\mathcal{M}_n = (M_n, \{0\})$ be the indecomposable positive QP-representation of $(Q, 0)$ such that $M_n(1) = M_n(2) = \mathbb{C}^n$, and the linear maps a_{M_n} and b_{M_n} from $M_n(1)$ to $M_n(2)$ are as follows: $a_{M_n} = I$ is the identity map, while $b_{M_n} = J$ is the nilpotent Jordan n -block. Recalling (1.13), we see that the \mathbf{g} -vector of \mathcal{M}_n is equal to $(n, -n)$. Also $\text{Hom}_Q(M_n, M_n)$ is naturally isomorphic to the centralizer of J in $\text{End}(\mathbb{C}^n)$; hence we have $\langle M_n, M_n \rangle = n$. Recalling (7.4), we get

$$E(\mathcal{M}_n) = \langle M_n, M_n \rangle + d_1(M_n)g_1(\mathcal{M}_n) + d_2(M_n)g_2(\mathcal{M}_n) = n + n^2 - n^2 = n .$$

On the other hand, it is easy to see that \mathcal{M}_n as well as all representations obtained from it by mutations, satisfy properties (1) and (2) in Corollary 8.3 (in fact, every representation obtained from \mathcal{M}_n by mutations is either right-equivalent to \mathcal{M}_n , or differs from it just by interchanging vertices 1 and 2).

9. APPLICATIONS TO CLUSTER ALGEBRAS

Proof of Theorem 1.7. We fix $t_0, t \in \mathbb{T}_n$, a skew-symmetric integer $n \times n$ matrix B , and a nondegenerate potential S on the quiver $Q = Q(B)$. Recall that in Theorem 5.1 the \mathbf{g} -vector $\mathbf{g}_{\ell;t}^{B;t_0}$ and the F -polynomial $F_{\ell;t}^{B;t_0}$ from the theory of cluster algebras are interpreted as the \mathbf{g} -vector $\mathbf{g}_{\mathcal{M}}$ and the F -polynomial $F_{\mathcal{M}}$ associated with the QP-representation $\mathcal{M} = \mathcal{M}_{\ell;t}^{B;t_0}$ of (Q, S) given by (5.2).

Conjectures 1.1 and 1.2 are immediate from this interpretation; see Proposition 3.1.

Our next target is Conjecture 1.6. Comparing the desired formula (1.3) with (2.11), we see that it is enough to prove the equality

$$(9.1) \quad \min(0, g_k) = h_k ,$$

where g_k and h_k are given by (7.3) and (3.2), respectively. Substituting these expressions into (9.1) and adding $\dim \ker \beta_k$ to both sides, we arrive at the equality

$$(9.2) \quad \min(\dim(\ker \beta_k), \dim(\ker \gamma_k / \text{im } \beta_k) + d_k^-(\mathcal{M})) = 0 .$$

To finish the proof, it remains to observe that, in view of Corollary 7.2, the QP-representation \mathcal{M} satisfies properties (1) and (2) in Corollary 8.3, and that (9.2) clearly holds for any representation with these properties.

Now we are ready to prove Conjecture 1.3. The key observation is that the above argument proves the equality (9.1) not only for each representation $\mathcal{M}_{\ell;t}^{B;t_0}$ but also for the direct sum

$$\mathcal{M} = \mathcal{M}_{1;t}^{B;t_0} \oplus \dots \oplus \mathcal{M}_{n;t}^{B;t_0}$$

(since \mathcal{M} satisfies the assumption in Corollary 7.2). In view of Lemma 5.2, we have $g_k = h_k - h'_k$, where $h'_k = -\dim \ker \bar{\beta}_k$ is the k -th component of the vector $\mathbf{h}_{\overline{\mathcal{M}}}$ for the QP-representation $\overline{\mathcal{M}} = \mu_k(\mathcal{M})$. Thus, (9.1) is equivalent to

$$(9.3) \quad \max(h_k, h'_k) = 0 .$$

Suppose that $h_k = 0$, that is, $\ker \beta_k = 0$. Then the same property holds for each direct summand $\mathcal{M}_{\ell} = \mathcal{M}_{\ell;t}^{B;t_0}$, implying that

$$g_k(\mathcal{M}_{\ell}) = -h_k(\overline{\mathcal{M}}_{\ell}) \geq 0$$

for all $\ell = 1, \dots, n$. This shows that the k -th coordinates of the vectors $\mathbf{g}_{1;t}^{B;t_0}, \dots, \mathbf{g}_{n;t}^{B;t_0}$ are nonnegative. If $h'_k = 0$, then the same argument shows that the k -th coordinates of all these vectors are nonpositive, finishing the proof of Conjecture 1.3.

As for Conjecture 1.4, it is an easy consequence of the already proven Conjectures 1.6 and 1.3 combined with the following observation already made in [12, Remark 7.14]:

$$(9.4) \quad \text{if } \mathbf{g}_1, \dots, \mathbf{g}_n \text{ are sign-coherent vectors forming a } \mathbb{Z}\text{-basis in } \mathbb{Z}^n, \text{ then the transformation (1.3) sends them to a } \mathbb{Z}\text{-basis in } \mathbb{Z}^n.$$

Indeed, to show that the vectors $\mathbf{g}_{1;t}^{B;t_0}, \dots, \mathbf{g}_{n;t}^{B;t_0}$ form a \mathbb{Z} -basis of \mathbb{Z}^n , proceed by induction on the distance between t_0 and t in \mathbb{T}_n . The basic step $t = t_0$ is clear from (2.1), and the inductive step follows from (9.4).

To finish the proof of Theorem 1.7, it remains to prove Conjecture 1.5.

Lemma 9.1. *For a QP-representation $\mathcal{M} = (M, V)$, the following conditions are equivalent:*

- (1) \mathcal{M} is negative, i.e., $M = \{0\}$.
- (2) $E(\mathcal{M}) = 0$, and the \mathfrak{g} -vector $\mathfrak{g}_{\mathcal{M}} = (g_1, \dots, g_n)$ is nonnegative.

Under these conditions, we have $\dim V(i) = g_i$ for all i , so \mathcal{M} is uniquely determined by its \mathfrak{g} -vector.

Proof. The only nontrivial statement is the implication (2) \implies (1). We have already established that the equality $E(\mathcal{M}) = 0$ implies (9.1), so if $\mathfrak{g}_{\mathcal{M}}$ is nonnegative, then $h_k = 0$ for all k . Thus we have $\ker \beta_k = 0$ for all k . It remains to observe that the latter condition cannot hold for a nonzero nilpotent quiver representation M . Indeed, if $\mathfrak{m}^{\ell-1}M \neq 0$, and $\mathfrak{m}^{\ell}M = 0$ for some $\ell \geq 1$, then $0 \neq \mathfrak{m}^{\ell-1}M \subseteq \bigoplus_k \ker \beta_k$, finishing the proof. \square

Lemma 9.2. *Let \mathcal{M} and \mathcal{M}' be QP-representations of the same nondegenerate QP, and suppose that \mathcal{M}' is mutation-equivalent to a negative representation. The following conditions are equivalent:*

- (1) \mathcal{M} is right-equivalent to \mathcal{M}' .
- (2) $E(\mathcal{M}) = 0$, and $\mathfrak{g}_{\mathcal{M}} = \mathfrak{g}_{\mathcal{M}'}$.

Proof. Again only the implication (2) \implies (1) needs a proof. Since $E(\mathcal{M}) = E(\mathcal{M}') = 0$, the already established formula (1.3) shows that the \mathfrak{g} -vectors remain the same under applying to \mathcal{M} and \mathcal{M}' the same sequence of mutations. Since mutations also preserve right-equivalence, in proving that (2) \implies (1) we may assume that \mathcal{M}' is negative, in which case the statement follows from Lemma 9.1. \square

Under the assumptions of Conjecture 1.5, consider the QP-representations

$$\mathcal{M} = \bigoplus_{i \in I} (\mathcal{M}_{i;t}^{B;t_0})^{a_i}, \quad \mathcal{M}' = \bigoplus_{i \in I'} (\mathcal{M}_{i;t'}^{B;t_0})^{a'_i}.$$

In view of (5.1), we have

$$\mathfrak{g}_{\mathcal{M}} = \sum_{i \in I} a_i \mathfrak{g}_{i;t}^{B;t_0} = \sum_{i \in I'} a_i \mathfrak{g}_{i;t'}^{B;t_0} = \mathfrak{g}_{\mathcal{M}'}.$$

Also we have $E(\mathcal{M}) = E(\mathcal{M}') = 0$ since both \mathcal{M} and \mathcal{M}' are mutation-equivalent to negative QP-representations. By Lemma 9.2, \mathcal{M} is right-equivalent to \mathcal{M}' . Because of the uniqueness of the decomposition into indecomposables, there exists a bijection $\sigma : I \rightarrow I'$ such that $\mathcal{M}_{i;t}^{B;t_0}$ is right-equivalent to $\mathcal{M}_{\sigma(i);t'}^{B;t_0}$, and $a_i = a'_{\sigma(i)}$ for $i \in I$. Thus we have

$$\mathfrak{g}_{i;t}^{B;t_0} = \mathfrak{g}_{\sigma(i);t'}^{B;t_0}, \quad F_{i;t}^{B;t_0} = F_{\sigma(i);t'}^{B;t_0}$$

for all $i \in I$, finishing the proofs of Conjecture 1.5 and of Theorem 1.7. \square

10. HOMOLOGICAL INTERPRETATION OF THE E -INVARIANT

Throughout this section we fix a quiver Q without oriented 2-cycles and a QP (Q, S) . Let $\mathcal{M} = (M, V)$ and $\mathcal{N} = (N, W)$ be two QP-representations of (Q, S) . Our aim is to associate to \mathcal{M} and \mathcal{N} a vector space $\mathcal{E}^{\text{inj}}(\mathcal{M}, \mathcal{N})$ such that $\dim \mathcal{E}^{\text{inj}}(\mathcal{M}, \mathcal{N}) = E^{\text{inj}}(\mathcal{M}, \mathcal{N})$, the integer function defined in (7.4). It will be more convenient for us to work with the “twisted” function $E^{\text{proj}}(\mathcal{M}, \mathcal{N}) = E^{\text{inj}}(\mathcal{N}^*, \mathcal{M}^*)$, where \mathcal{M}^* and \mathcal{N}^* are QP-representations of the opposite QP

$(Q^{\text{op}}, S^{\text{op}})$ constructed before Proposition 7.3. Clearly, we have $\langle N^*, M^* \rangle = \langle M, N \rangle$, implying

$$(10.1) \quad E^{\text{proj}}(\mathcal{M}, \mathcal{N}) = \langle M, N \rangle + \sum_{k \in Q_0} g_k(\mathcal{M}^*) d_k(N).$$

We turn to the construction of a vector space $\mathcal{E}^{\text{proj}}(\mathcal{M}, \mathcal{N})$ such that

$$(10.2) \quad \dim \mathcal{E}^{\text{proj}}(\mathcal{M}, \mathcal{N}) = E^{\text{proj}}(\mathcal{M}, \mathcal{N}).$$

Let $\mathcal{P}(Q, S)$ be the Jacobian algebra of (Q, S) (see Section 2). In the rest of the section we assume that

$$(10.3) \quad \begin{aligned} &\text{the potential } S \text{ belongs to the path algebra } R\langle A \rangle, \text{ and} \\ &\text{the two-sided ideal } J_0 \text{ in } R\langle A \rangle \text{ generated by all cyclic} \\ &\text{derivatives } \partial_a S \text{ contains some power } \mathfrak{m}^N. \end{aligned}$$

(Recall that in our general setup, S belongs to the completed path algebra $R\langle\langle A \rangle\rangle$, and the Jacobian ideal J of S is the closure of J_0 in $R\langle\langle A \rangle\rangle$.) Under this assumption, the Jacobian algebra $\mathcal{P}(Q, S) = R\langle\langle A \rangle\rangle/J$ is identified with $R\langle A \rangle/J_0$, and it is finite-dimensional. In this situation, all the $\mathcal{P}(Q, S)$ -modules considered below will be finite-dimensional as well.

For every vertex $k \in Q_0$ let P_k denote the indecomposable projective $\mathcal{P}(Q, S)$ -module corresponding to k . Recall that P_k is given by

$$(10.4) \quad P_k = \bigoplus_{i \in Q_0} \mathcal{P}(Q, S)_{i,k},$$

where the double Q_0 -grading on $\mathcal{P}(Q, S)$ comes from the R -bimodule structure (see Section 4). In particular, each P_k is finite-dimensional in view of (10.3).

To every (finite-dimensional) $\mathcal{P}(Q, S)$ -module M , we associate the sequence of $\mathcal{P}(Q, S)$ -module homomorphisms

$$(10.5) \quad \bigoplus_{b \in Q_1} (P_{t(b)} \otimes M(h(b))) \xrightarrow{\psi} \bigoplus_{a \in Q_1} (P_{h(a)} \otimes M(t(a))) \xrightarrow{\varphi} \bigoplus_{k \in Q_0} (P_k \otimes M(k)) \xrightarrow{\text{ev}} M \rightarrow 0$$

defined as follows. The $\mathcal{P}(Q, S)$ -module homomorphisms ev and φ are given by

$$(10.6) \quad \text{ev}(p \otimes m) = pm \quad (p \in P_k, m \in M(k))$$

and

$$(10.7) \quad \varphi(p \otimes m) = pa \otimes m - p \otimes a_M(m) \quad (p \in P_{h(a)}, m \in M(t(a))),$$

while the component $\psi_{a,b} : P_{t(b)} \otimes M(h(b)) \rightarrow P_{h(a)} \otimes M(t(a))$ of ψ is given by (in the notation of (8.7) and (8.8))

$$(10.8) \quad \psi_{a,b}(p \otimes m) = \sum_{\nu} p u_{b,a}^{(\nu)} \otimes (v_{a,b}^{(\nu)})_M \cdot m.$$

Proposition 10.1. *The sequence (10.5) is exact.*

Proof. As pointed out by the referee, this proposition follows from the results of [5]. For the convenience of the reader we present some details (also kindly provided by the referee). To make our notation closer to that of [5], in the following argument we denote the Jacobian algebra $R\langle A \rangle/J_0$ by Λ and rename J_0 into I .

The ring Λ is a bimodule over itself. If we splice the exact sequence [5, (1.4)] for $n = 0$ and $n = 1$ together, we get a bimodule resolution of Λ as follows:

$$(10.9) \quad \Lambda \otimes \frac{I}{I\mathfrak{m} + \mathfrak{m}I} \otimes \Lambda \xrightarrow{d_2} \Lambda \otimes A \otimes \Lambda \xrightarrow{d_1} \Lambda \otimes \Lambda \xrightarrow{d_0} \Lambda \longrightarrow 0.$$

Here the tensor products are over R , and we have identified A with $\mathfrak{m}/\mathfrak{m}^2$. Note that $I/(I\mathfrak{m} + \mathfrak{m}I)$ is spanned by all partial derivatives of the potential. The differentials d_2 and d_1 are given after (1.3) in [5]. Define

$$\mu : R\langle A \rangle \rightarrow R\langle A \rangle \otimes A \otimes R\langle A \rangle$$

by $\mu(a_1 a_2 \cdots a_s) = \sum_{i=1}^s a_1 \cdots a_{i-1} \otimes a_i \otimes a_{i+1} \cdots a_s$ (this map is denoted by Δ in [5]). Then d_2 sends the residue class of an element $1 \otimes u \otimes 1$ to $\mu(u)$. The partial derivative ∂_ξ was defined for $\xi \in A^*$ in [9, (3.1)]. By identifying A with A^* using a basis of arrows, we have defined ∂_b for an arrow $b \in A$. We have a surjection

$$(10.10) \quad A^* \rightarrow \frac{I}{I\mathfrak{m} + \mathfrak{m}I}$$

defined by $\xi \mapsto \partial_\xi S + I\mathfrak{m} + \mathfrak{m}I$. We can replace the module on the left in (10.9) by $\Lambda \otimes A^* \otimes \Lambda$ using (10.10). Therefore, we have an exact sequence:

$$(10.11) \quad \Lambda \otimes A^* \otimes \Lambda \longrightarrow \Lambda \otimes A \otimes \Lambda \longrightarrow \Lambda \otimes \Lambda \longrightarrow \Lambda \longrightarrow 0.$$

If we apply the functor $\bullet \otimes_\Lambda M$ to (10.11), we obtain (10.5). Note that the sequence remains exact after applying the functor, because (10.11) splits as a sequence of right Λ -modules. □

Corollary 10.2. *The maps Φ and Ψ given by (8.5) and (8.8) satisfy the condition $\Psi \circ \Phi = 0$.*

Proof. Note that, for every $\mathcal{P}(Q, S)$ -module M , a vector space U , and a vertex $k \in Q_0$, there is a natural isomorphism

$$(10.12) \quad \text{Hom}_{\mathbb{C}}(U, M(k)) \rightarrow \text{Hom}_{\mathcal{P}(Q, S)}(P_k \otimes U, M)$$

sending $\sigma \in \text{Hom}_{\mathbb{C}}(U, M(k))$ to the composed morphism

$$P_k \otimes U \xrightarrow{\text{id} \otimes \sigma} P_k \otimes M(k) \xrightarrow{\text{ev}} M.$$

An easy check shows that the maps Φ and Ψ are obtained from the maps φ and ψ given by (10.7) and (10.8) by applying the contravariant functor $\text{Hom}_{\mathcal{P}(Q, S)}(-, M)$ and using the isomorphism in (10.12). So the statement in question follows from the exactness of (10.5). □

Remark 10.3. Corollary 10.2 is still true without the assumption (10.3). In the more general case, the modules P_i may be infinite-dimensional, but the composition $\varphi \circ \psi$ is still equal to 0 in (10.5).

The sequence (10.5) produces a *presentation* of the $\mathcal{P}(Q, S)$ -module M , which can be rewritten as

$$(10.13) \quad \bigoplus_{k \in Q_0} (P_k \otimes M_{\text{in}}(k)) \xrightarrow{\varphi} \bigoplus_{k \in Q_0} (P_k \otimes M(k)) \xrightarrow{\text{ev}} M \rightarrow 0,$$

where with some abuse of notation we use the same symbol φ for the leftmost map: this map is now given by

$$(10.14) \quad \varphi(p \otimes m) = \sum_{h(a)=k} (pa \otimes \text{pr}_{t(a)} m) - p \otimes \alpha_k(m) \quad (p \in P_k, m \in M_{\text{in}}(k))$$

(here $\text{pr}_{t(a)}$ stands for the projection $M_{\text{in}}(k) = \bigoplus_{h(a)=k} M(t(a)) \rightarrow M(t(a))$).

We claim that the presentation (10.13) can be truncated as follows. For every $k \in Q_0$, choose subspaces $U'_k, U''_k \subseteq M_{\text{in}}(k)$ and $M^{(0)}(k) \subseteq M(k)$ such that

$$(10.15) \quad \ker(\alpha_k) = \text{im}(\gamma_k) \oplus U'_k, \quad M_{\text{in}}(k) = \ker(\alpha_k) \oplus U''_k, \quad M(k) = M^{(0)}(k) \oplus \text{im}(\alpha_k),$$

and consider the projective $\mathcal{P}(Q, S)$ -modules

$$(10.16) \quad \begin{aligned} P' &= \bigoplus_{k \in Q_0} (P_k \otimes \ker(\alpha_k)), & P'' &= \bigoplus_{k \in Q_0} (P_k \otimes U''_k), \\ P^{(1)} &= \bigoplus_{k \in Q_0} (P_k \otimes U'_k), & P^{(0)} &= \bigoplus_{k \in Q_0} (P_k \otimes M^{(0)}(k)). \end{aligned}$$

Proposition 10.4.

- (1) For every $p' \in P'$, there exists a unique $p'' \in P''$ such that $\varphi(p' - p'') \in P^{(0)}$. The map $\bar{\varphi} : P' \rightarrow P^{(0)}$ given by $\bar{\varphi}(p') = \varphi(p' - p'')$ is a $\mathcal{P}(Q, S)$ -module homomorphism.
- (2) The restrictions of $\bar{\varphi}$ to $P^{(1)}$ and of ev to $P^{(0)}$ make the sequence

$$(10.17) \quad P^{(1)} \xrightarrow{\bar{\varphi}} P^{(0)} \xrightarrow{\text{ev}} M \rightarrow 0$$

- exact, thus giving a presentation of M .
- (3) The presentation (10.17) is minimal; that is, the map $\bar{\varphi} : P^{(1)} \rightarrow P^{(0)}$ induces an isomorphism $P^{(1)}/\mathfrak{m}P^{(1)} \rightarrow \text{im}(\bar{\varphi})/\mathfrak{m} \text{im}(\bar{\varphi})$, where \mathfrak{m} is the maximal ideal in $\mathcal{P}(Q, S)$.

Before proving Proposition 10.4, we use it to construct the space $\mathcal{E}^{\text{proj}}(\mathcal{M}, \mathcal{N})$ (for any QP-representations $\mathcal{M} = (M, V)$ and $\mathcal{N} = (N, W)$ of (Q, S)) satisfying (10.2). Note that the $\mathcal{P}(Q, S)$ -module homomorphism $\bar{\varphi} : P^{(1)} \rightarrow P^{(0)}$ in Proposition 10.4 induces a \mathbb{C} -linear map

$$\bar{\varphi}^* : \text{Hom}_{\mathcal{P}(Q, S)}(P^{(0)}, N) \rightarrow \text{Hom}_{\mathcal{P}(Q, S)}(P^{(1)}, N).$$

We now define the space $\mathcal{E}^{\text{proj}}(M, N)$ as the cokernel of $\bar{\varphi}^*$, that is, from an exact sequence

$$(10.18) \quad \text{Hom}_{\mathcal{P}(Q, S)}(P^{(0)}, N) \xrightarrow{\bar{\varphi}^*} \text{Hom}_{\mathcal{P}(Q, S)}(P^{(1)}, N) \rightarrow \mathcal{E}^{\text{proj}}(M, N) \rightarrow 0.$$

Finally, we set

$$(10.19) \quad \mathcal{E}^{\text{proj}}(\mathcal{M}, \mathcal{N}) = \mathcal{E}^{\text{proj}}(M, N) \oplus \text{Hom}_R(V, N).$$

Theorem 10.5. *The space $\mathcal{E}^{\text{proj}}(\mathcal{M}, \mathcal{N})$ satisfies (10.2); i.e., its dimension is given by (10.1).*

Proof. Using the presentation (10.17), we include (10.18) into a longer exact sequence

$$(10.20) \quad \begin{aligned} 0 \rightarrow \text{Hom}_{\mathcal{P}(Q, S)}(M, N) &\rightarrow \text{Hom}_{\mathcal{P}(Q, S)}(P^{(0)}(M), N) \\ &\rightarrow \text{Hom}_{\mathcal{P}(Q, S)}(P^{(1)}(M), N) \rightarrow \mathcal{E}^{\text{proj}}(M, N) \rightarrow 0. \end{aligned}$$

Computing the dimensions of the terms in (10.20), we get

$$\begin{aligned}
 & \dim \mathcal{E}^{\text{proj}}(M, N) \\
 &= \langle M, N \rangle - \dim \text{Hom}_{\mathcal{P}(Q, S)}(P^{(0)}(M), N) + \dim \text{Hom}_{\mathcal{P}(Q, S)}(P^{(1)}(M), N) \\
 &= \langle M, N \rangle - \sum_{k \in Q_0} \dim \text{coker}(\alpha_{k; M}) \cdot d_k(N) + \sum_{k \in Q_0} \dim \frac{\ker(\alpha_{k; M})}{\text{im}(\gamma_{k; M})} \cdot d_k(N) \\
 &= \langle M, N \rangle + \sum_{k \in Q_0} (\dim M_{\text{in}}(k) - d_k(M) + \text{rk}(\gamma_{k; M})) \cdot d_k(N) \\
 &= \langle M, N \rangle + \sum_{k \in Q_0} (g_k(\mathcal{M}^*) - d_k^-(\mathcal{M})) \cdot d_k(N)
 \end{aligned}$$

(for the last equality, see (7.12)). Note that in view of (10.12), $\text{Hom}_{\mathcal{P}(Q, S)}(P_k, N)$ is naturally isomorphic to $N(k)$; hence $\dim \text{Hom}_{\mathcal{P}(Q, S)}(P_k, N) = d_k(N)$.

To finish the proof of (10.2), it remains to note that

$$\text{Hom}_R(V, N) = \bigoplus_{k \in Q_0} \text{Hom}_{\mathbb{C}}(V(k), N(k)),$$

implying

$$\dim \text{Hom}_R(V, N) = \sum_{k \in Q_0} d_k^-(\mathcal{M}) d_k(N).$$

Thus,

$$\begin{aligned}
 \dim \mathcal{E}^{\text{proj}}(\mathcal{M}, \mathcal{N}) &= \dim \mathcal{E}^{\text{proj}}(M, N) + \sum_{k \in Q_0} d_k^-(\mathcal{M}) d_k(N) \\
 &= \langle M, N \rangle + \sum_{k \in Q_0} g_k(\mathcal{M}^*) d_k(N) = E^{\text{proj}}(\mathcal{M}, \mathcal{N})
 \end{aligned}$$

by (10.1). □

Proof of Proposition 10.4. We start by showing that the map $\text{ev} : P^{(0)} \rightarrow M$ is surjective. This is a special case of the following lemma.

Lemma 10.6. *Suppose $\eta : K \rightarrow L$ is a surjection of finite-dimensional $\mathcal{P}(Q, S)$ -modules. Suppose that $K = K' \oplus K''$ is the direct sum of two submodules, and that $\eta(K'') \subseteq \mathfrak{m}L$. Then $\eta(K') = L$.*

Proof. Choose the direct complement $L^{(0)}$ to $\mathfrak{m}L$ in L . Then $L^{(0)}$ generates L as a $\mathcal{P}(Q, S)$ -module. Indeed, we have

$$L = \mathfrak{m}L + L^{(0)} = \mathfrak{m}^2L + \mathfrak{m}L^{(0)} + L^{(0)} = \dots = \mathfrak{m}^{N+1}L + \mathfrak{m}^N L^{(0)} + \dots + L^{(0)}$$

for each $N \geq 0$; choosing N big enough so that $\mathfrak{m}^{N+1}L = \{0\}$, we see that $L = \mathcal{P}(Q, S)L^{(0)}$. This argument also shows that $\mathfrak{m}L = \mathfrak{m}L^{(0)}$.

Since η is a homomorphism of $\mathcal{P}(Q, S)$ -modules, to prove that $\eta(K') = L$, it suffices to show that $L^{(0)} \subseteq \eta(K')$. Using the surjectivity of $\eta : K \rightarrow L$ and the inclusion $\eta(K'') \subseteq \mathfrak{m}L^{(0)}$, we get

$$\begin{aligned}
 L^{(0)} &\subseteq \eta(K') + \mathfrak{m}L^{(0)} \subseteq \eta(K') + \mathfrak{m}\eta(K') + \mathfrak{m}^2L^{(0)} \subseteq \dots \\
 &\subseteq \eta(K') + \mathfrak{m}\eta(K') + \dots + \mathfrak{m}^N \eta(K') + \mathfrak{m}^{N+1}L^{(0)} = \eta(K') + \mathfrak{m}^{N+1}L^{(0)}
 \end{aligned}$$

for each $N \geq 0$, implying as above that $L^{(0)} \subseteq \eta(K')$. □

Now the fact that $\text{ev}(P^{(0)}) = M$ follows by applying Lemma 10.6 to the map $\text{ev} : \bigoplus_{k \in Q_0} (P_k \otimes M(k)) \rightarrow M$ in place of $\eta : K \rightarrow L$, and to the submodules K' and K'' given by

$$(10.21) \quad K' = P^{(0)}, \quad K'' = \bigoplus_{k \in Q_0} (P_k \otimes \text{im}(\alpha_k)).$$

Continuing the proof of Proposition 10.4, we adopt the notation in (10.16) and (10.21), thus viewing φ as a homomorphism of $\mathcal{P}(Q, S)$ -modules $P' \oplus P'' \rightarrow K' \oplus K''$. We write φ as $\varphi^{(1)} + \varphi^{(0)}$ in accordance with the decomposition in (10.14). The following properties are immediate from (10.14):

$$(10.22) \quad \varphi^{(0)}|_{P'} = 0, \text{ while the restriction of } \varphi^{(0)} \text{ to } P'' \text{ is an isomorphism between } P'' \text{ and } K'';$$

$$(10.23) \quad \text{im}(\varphi^{(1)}) \subseteq \mathfrak{m}(K' \oplus K'').$$

We claim that these properties imply the following:

$$(10.24) \quad K'' \subseteq \mathfrak{m}K' + \varphi(P'');$$

$$(10.25) \quad K' \cap \varphi(P'') = \{0\};$$

$$(10.26) \quad \text{the restriction of } \varphi \text{ to } P'' \text{ is injective.}$$

Note that these facts imply Part (1) of Proposition 10.4. Indeed, by (10.24) and (10.25), we have

$$(10.27) \quad K' \oplus K'' = K' \oplus \varphi(P'').$$

This allows us to define the map $\bar{\varphi} : P' \rightarrow K' = P^{(0)}$ as the composition $\text{pr}_1 \circ \varphi$, where pr_1 is the projection of $K' \oplus K''$ onto K' along $\varphi(P'')$. Using (10.26), we see that $\bar{\varphi}$ is exactly the map in Part (1) of Proposition 10.4 (the fact that $\bar{\varphi}$ is a $\mathcal{P}(Q, S)$ -module homomorphism is obvious since so are φ and pr_1).

To prove (10.24), we use (10.22) and (10.23) to get

$$\begin{aligned} K'' &= \varphi^{(0)}(P'') \subseteq \varphi^{(1)}(P'') + \varphi(P'') \subseteq \mathfrak{m}K' + \varphi(P'') + \mathfrak{m}K'' \\ &\subseteq \mathfrak{m}K' + \varphi(P'') + \mathfrak{m}(\mathfrak{m}K' + \varphi(P'')) + \mathfrak{m}K'' \subseteq \mathfrak{m}K' + \varphi(P'') + \mathfrak{m}^2K''. \end{aligned}$$

Iterating, we see that $K'' \subseteq \mathfrak{m}K' + \varphi(P'') + \mathfrak{m}^N K''$ for all $N \geq 1$, implying the desired inclusion (10.24).

To prove (10.25) and (10.26), suppose that $\varphi(p'') = k'$ for some $k' \in K'$ and $p'' \in P''$. Using (10.22) and (10.23), we see that

$$k' - \varphi^{(0)}(p'') = \varphi^{(1)}(p'') \in \mathfrak{m}(K' \oplus K''),$$

implying that $k' \in \mathfrak{m}K'$ and $\varphi^{(0)}(p'') \in \mathfrak{m}K''$. Once again applying (10.22), we conclude that $p'' \in \mathfrak{m}P''$. Iterating this argument, we conclude that $k' \in \mathfrak{m}^N K'$ and $p'' \in \mathfrak{m}^N P''$ for all $N \geq 1$; hence $k' = p'' = 0$, implying both (10.25) and (10.26).

Turning to the proof of Part 2 of Proposition 10.4, we first show that the sequence

$$(10.28) \quad P' \xrightarrow{\bar{\varphi}} K' \xrightarrow{\text{ev}} M \rightarrow 0$$

is exact. The surjectivity of $\text{ev} : K' \rightarrow M$ is already proved above, so (using the exactness of (10.13)) it remains to show that $\bar{\varphi}(P') = \varphi(P' \oplus P'') \cap K'$; but this is immediate from the definition of $\bar{\varphi}$.

To prove Part 2, it remains to show that the restriction of the map $\bar{\varphi} : P' \rightarrow K'$ to the submodule $P^{(1)} \subseteq P'$ has the same image as $\bar{\varphi}$. Note that $P' = P^{(1)} \oplus P'_1$, where

$$P'_1 = \bigoplus_{k \in Q_0} (P_k \otimes \text{im}(\gamma_k)).$$

By Lemma 10.6, it is enough to show that

$$(10.29) \quad \bar{\varphi}(P'_1) \subseteq \mathfrak{m}\bar{\varphi}(P').$$

It follows easily from (10.27) that

$$\mathfrak{m}\bar{\varphi}(P') = \mathfrak{m}(\varphi(P' \oplus P'') \cap K') = \mathfrak{m}\varphi(P' \oplus P'') \cap K'$$

and also that

$$\varphi(P') = \varphi^{(1)}(P') \subseteq \mathfrak{m}(K' \oplus K'') = \mathfrak{m}K' \oplus \mathfrak{m}\varphi(P''),$$

implying the inclusion $\bar{\varphi}(P'_1) \subseteq \varphi(P'_1 \oplus \mathfrak{m}P'')$. We see that the desired inclusion (10.29) is a consequence of the following:

$$(10.30) \quad \varphi(P'_1) \subseteq \mathfrak{m}\varphi(P' \oplus P'').$$

To prove (10.30), it suffices to show that $\varphi(e_k \otimes \gamma_k(m)) \in \mathfrak{m}\varphi(P' \oplus P'')$ for every $m \in M(h(b))$, where b is an arrow with $t(b) = k$. But this follows from the exactness of the sequence (10.5) (more precisely, from the fact that $\text{im}(\psi) \subseteq \ker(\varphi)$), since in view of (8.9) we have

$$(10.31) \quad e_k \otimes \gamma_k(m) \equiv \psi(e_k \otimes m) \pmod{\mathfrak{m}(P' \oplus P'')}.$$

This concludes the proof of Part 2 of Proposition 10.4.

To prove Part 3, note that (10.31) implies the inclusion

$$\ker(\varphi) = \text{im}(\psi) \subseteq P'_1 + \mathfrak{m}(P' \oplus P'').$$

Now suppose that $p \in P^{(1)}$ is such that $\bar{\varphi}(p) \in \bar{\varphi}(\mathfrak{m}P^{(1)})$. Remembering the definition of $\bar{\varphi}$, we conclude that

$$p \in \mathfrak{m}P^{(1)} + P'' + \ker(\varphi) \subseteq \mathfrak{m}P^{(1)} \oplus P'_1 \oplus P''.$$

Therefore, $p \in \mathfrak{m}P^{(1)}$, finishing the proof of Proposition 10.4. \square

Remark 10.7. The presentation (10.17) is minimal by part (3) of Proposition 10.4. Minimal presentations are unique up to isomorphism. One can show that, up to an isomorphism, the presentation (10.17) does not depend on the choice of splitting subspaces in (10.15).

Remark 10.8. To emphasize the dependence of indecomposable projective modules P_k (for $k \in Q_0$) on the underlying QP (Q, S) , we will denote them by $P_k = P_k(Q, S)$. The indecomposable injective $\mathcal{P}(Q, S)$ -modules $I_k = I_k(Q, S)$ can be defined by going to the opposite QP:

$$(10.32) \quad I_k(Q, S) = (P_k(Q^{\text{op}}, S^{\text{op}}))^*.$$

By this definition, there is a duality between projective and injective $\mathcal{P}(Q, S)$ -modules: every exact sequence involving the modules P_k gives rise to the exact sequence (with the arrows reversed) involving the I_k . In particular, the presentation

(10.17) gives rise to a “co-presentation”

$$0 \rightarrow M \rightarrow \bigoplus_{k \in Q_0} (I_k \otimes \ker(\beta_k)^*) \rightarrow \bigoplus_{k \in Q_0} (I_k \otimes U_k^*),$$

where U_k is a direct complement of $\text{im}(\beta_k)$ in $\ker(\gamma_k)$.

Recall that $\mathcal{E}^{\text{proj}}(M, N)$ is defined in (10.18). We also define $\mathcal{E}^{\text{inj}}(M, N) = \mathcal{E}^{\text{proj}}(N^*, M^*)$.

Corollary 10.9. *We have the following isomorphisms:*

$$(10.33) \quad \mathcal{E}^{\text{proj}}(M, N) = \text{Hom}_{\mathcal{P}(Q,S)}(N, \tau(M))^*,$$

$$(10.34) \quad \mathcal{E}^{\text{inj}}(M, N) = \text{Hom}_{\mathcal{P}(Q,S)}(\tau^{-1}(N), M)^*,$$

where τ is the Auslander-Reiten translation functor (see, e.g., [1, Section IV.2]).

Proof. For this proof we will rely on the book [1]. We should point out that the authors of [1] use the convention that all modules are right modules unless stated otherwise, where we assume modules to be left modules by default. Let ν be the Nakayama functor (see [1, Section III, Definition 2.8]) from $\mathcal{P}(Q, S)$ -modules to $\mathcal{P}(Q, S)$ -modules defined by

$$\nu(M) = \text{Hom}_{\mathcal{P}(Q,S)}(M, \mathcal{P}(Q, S))^*.$$

This functor has the property that

$$\nu(P_k) = I_k$$

for every vertex k . In particular, we have an isomorphism

$$(10.35) \quad \text{Hom}_{\mathcal{P}(Q,S)}(P, M) = \text{Hom}_{\mathcal{P}(Q,S)}(M, \nu(P))^*$$

for every projective module P (see [1, Lemma 2.1]). Consider the minimal presentation (10.17). It follows from [1, Section IV, Proposition 2.4] that the sequence

$$(10.36) \quad 0 \rightarrow \tau(M) \rightarrow \nu(P^{(1)}) \rightarrow \nu(P^{(0)})$$

is exact. If we apply $\text{Hom}_{\mathcal{P}(Q,S)}(N, \cdot)^*$ to (10.36), then it follows from (10.35) that

$$(10.37) \quad \text{Hom}_{\mathcal{P}(Q,S)}(P^{(0)}, N) \rightarrow \text{Hom}_{\mathcal{P}(Q,S)}(P^{(1)}, N) \rightarrow \text{Hom}_{\mathcal{P}(Q,S)}(N, \tau(M))^* \rightarrow 0$$

is exact. It follows from (10.20) that $\mathcal{E}^{\text{proj}}(M, N) = \text{Hom}_{\mathcal{P}(Q,S)}(N, \tau(M))^*$.

We have $\tau(N^*) = \tau^{-1}(N)^*$. So it follows that

$$\begin{aligned} \mathcal{E}^{\text{inj}}(M, N) &= \mathcal{E}^{\text{proj}}(N^*, M^*) = \text{Hom}_{\mathcal{P}(Q,S)^{\text{op}}}(M^*, \tau(N^*))^* \\ &= \text{Hom}_{\mathcal{P}(Q,S)^{\text{op}}}(M^*, \tau^{-1}(N)^*)^* = \text{Hom}_{\mathcal{P}(Q,S)}(\tau^{-1}(N), M)^*. \end{aligned}$$

□

Remark 10.10. Auslander-Reiten duality states that $\text{Ext}_{\mathcal{P}(Q,S)}^1(M, N)$ is isomorphic to $\overline{\text{Hom}}_{\mathcal{P}(Q,S)}(M, N)^*$, where $\overline{\text{Hom}}_{\mathcal{P}(Q,S)}(M, N)$ is equal to $\text{Hom}_{\mathcal{P}(Q,S)}(M, N)$ modulo the morphisms that factor through injective modules (see [1, IV.2, Theorem 2.13]). We may view $\text{Ext}_{\mathcal{P}(Q,S)}^1(M, N)$ as a subspace of $\mathcal{E}^{\text{proj}}(M, N)$. If M has projective dimension ≤ 1 , then we have equality by [1, IV.2, Corollary 2.14]. Similarly, $\text{Ext}_{\mathcal{P}(Q,S)}^1(M, N)$ can be viewed as a subspace of $\mathcal{E}^{\text{inj}}(M, N)$, with equality when N has injective dimension ≤ 1 .

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