



# The Impact of Age-Related Ovarian Hormone Loss on Cognitive and Neural Function

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**Abstract** On average, women now live one-third of their lives after menopause. Because menopause has been associated with an increased risk of dementia, an increasing body of research has studied the effects of reproductive senescence on cognitive function. Compelling evidence from humans, nonhuman primates, and rodents suggests that ovarian sex-steroid hormones can have rapid and profound effects on memory, attention, and executive function, and on regions of the brain that mediate these processes, such as the hippocampus and prefrontal cortex. This chapter will provide an overview of studies in humans, nonhuman primates, and rodents that examine the effects of ovarian hormone loss and hormone replacement on cognitive functions mediated by the hippocampus and prefrontal cortex. For humans and each animal model, we outline the effects of aging on reproductive function, describe how ovarian hormones (primarily estrogens) modulate hippocampal and prefrontal physiology, and discuss the effects of both reproductive aging and hormone treatment on cognitive function. Although this review will show that much has been learned about the effects of reproductive senescence on cognition, many critical questions remain for future investigation.

**Keywords** Estradiol · Hippocampus · Prefrontal cortex · Memory · Menopause · Aging

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31 The female reproductive system is a complex network in which interactions  
32 between ovarian and neural processes are crucial for the expression of behaviors  
33 related to sexual maturation, procreation, mood, and cognition. Like other organ  
34 systems in the body, the reproductive system is vulnerable to the ravages of aging.  
35 However, the impact of aging on the reproductive system of females is unique due  
36 to its inevitable failure during middle age. Understanding the influence of repro-  
37 ductive senescence in females on biological and psychological processes is of  
38 mounting importance given the ever-increasing amount of time that women now  
39 live beyond menopause. In 2006, the average life expectancy of women in the  
40 United States was 80.2 years and is estimated to increase to nearly 82 years in the  
41 next decade (United States Census Bureau 2008; United States National Center for  
42 Health Statistics 2009). Yet the average age of menopause onset has remained  
43 stable, and thus, women are spending significantly more of their lifetimes in a state  
44 of reproductive senescence.

45 The impact of this prolonged period of ovarian hormone deprivation on  
46 peripheral organs and tissues (e.g., heart, breast, uterus, and bone) has long been  
47 studied. However, research in the past two decades has revealed that ovarian  
48 sex-steroid hormones, such as estrogens and progestagens, can also rapidly and  
49 profoundly affect parts of the brain critical for cognitive function, such as the  
50 hippocampus and prefrontal cortex [for review, see (Sherwin and Henry 2008)]. As  
51 such, a growing literature has assessed the effects of ovarian hormone loss and  
52 replacement on cognitive processes such as learning and memory. Much of this  
53 literature stems from the two most common animal models of reproductive  
54 senescence, nonhuman primates and rodents (rats and mice). These species are  
55 attractive model systems because of their short lifespans, similarities to humans in  
56 the effects of aging and sex-steroid hormones on cognitive function, and the ability  
57 to conduct invasive studies that permit examination of neural function at the  
58 cellular and molecular levels. Therefore, the goal of this chapter is to review the  
59 literature on reproductive senescence as it pertains to humans, nonhuman primates,  
60 and rodents. Because extensive reviews have been published recently for these  
61 species (e.g., see (Dumitriu et al. 2010; Frick 2009; Lacreuse 2006; Sherwin and  
62 Henry 2008; Voytko et al. 2009), this chapter will provide a brief overview of the  
63 most seminal findings published in recent years. The chapter will conclude by  
64 discussing future avenues for research.

65

## 1 Background

66 Estrogens, such as estrone, estriol, and the potent  $17\beta$ -estradiol (termed “estra-  
67 diol” or “ $E_2$ ”), are synthesized and secreted in both males and females, albeit, at  
68 higher levels in females. In females, estrogen synthesis begins in the theca interna  
69 cells of the ovaries, where cholesterol is first converted into pregnenolone (Farkash  
70 et al. 1986). Acting mostly as a prohormone, pregnenolone is the precursor for  
71 both progesterone and androstenedione. The enzyme aromatase then converts  
72 androstenedione and testosterone into estrogens including estrone and  $E_2$  (Brodie  
73 et al. 1976). In addition to the ovaries, recent evidence demonstrates that estrogens  
74 and progesterone are also synthesized in small quantities in the brain (Hojo et al.  
75 2004; Kretz et al. 2004).

76 Once synthesized, estrogens are released into the bloodstream where they can  
77 bind to intracellular ligand-activated transcription factors, termed estrogen  
78 receptor  $\alpha$  ( $ER\alpha$ ) and  $\beta$  ( $ER\beta$ ) (Koike et al. 1987; Spreafico et al. 1992; Tremblay  
79 et al. 1997). Binding at or near the cell nucleus to  $ER\alpha$  and  $ER\beta$  initiates the  
80 traditional “genomic” actions of estrogens, whereby the hormone–receptor com-  
81 plex binds to an estrogen response element on the DNA and serves as a nuclear  
82 transcription factor. Both ERs are expressed in brain regions critical for cognitive  
83 function, thereby providing an opportunity for estrogens to modulate multiple  
84 cognitive processes. For example,  $ER\alpha$  and  $ER\beta$  are both expressed in the dorsal  
85 and ventral hippocampus, where they are primarily found in CA1 and CA3  
86 pyramidal neurons (Shughrue and Merchenthaler 2000). Both ERs are also  
87 expressed in the cerebral cortex, basal forebrain, and amygdala (Milner et al. 2005,  
88 2001; Osterlund et al. 2000; Shughrue et al. 1997). In the cortex,  $ER\beta$  is expressed  
89 in greater abundance than  $ER\alpha$ , especially within frontal, parietal, and entorhinal  
90 cortices (Osterlund et al. 2000; Shughrue et al. 1997). Basal forebrain cholinergic  
91 neurons projecting to the hippocampus and neocortex also express both  $ER\alpha$  and  
92  $ER\beta$ , although  $ER\alpha$  is predominant (Shughrue et al. 2000). Although their nuclear  
93 localization suggests a relatively slow genomic mechanism of action, both ERs  
94 have been identified at extranuclear sites within the hippocampus, including  
95 dendritic spines, axons and axon terminals (Milner et al. 2005, 2001), where they  
96 may be involved in rapid effects of estrogens on cell signaling and epigenetic  
97 mechanisms (Fernandez et al. 2008; Zhao et al. 2010).

98 The vulnerability of the hippocampus and prefrontal cortex to aging and Alz-  
99 heimer’s disease (deToledo-Morrell et al. 2007; Driscoll and Sutherland 2005) has  
100 driven the fledgling field of hormones and cognition to focus primarily on these  
101 brain regions. The hippocampus is a bilateral medial temporal lobe structure  
102 critical for memories involving spatial, relational, and contextual information, and  
103 is necessary only for consolidation of such memories, not their long-term storage  
104 (Eichenbaum 1997, 2002; Squire 1992). As detailed in the sections below,  
105  $E_2$ -induced alterations in the hippocampus have been most often observed in  
106 the CA1 subregion, the dentate gyrus, and to a lesser extent, the CA3 subregion.  
107 The prefrontal cortex, particularly the dorsolateral prefrontal cortex, is also

108 critically necessary for memory, particularly a form of short-term memory called  
109 working memory (Goldman-Rakic 1992). However, the prefrontal cortex is  
110 thought to subserve a broader array of cognitive processes than the hippocampus,  
111 including attention, executive function (e.g., planning, judgment, mental flexibil-  
112 ity, and verbal fluency), source memory, and episodic memory (Kandel et al.  
113 2000). Despite the prevalence of deficits in both memory and executive func-  
114 tioning in the elderly (Woodruff-Pak 1997), the majority of studies on the  
115 neurobiological effects of hormone loss in rodents have focused on the hippo-  
116 campus, in part because the preponderance of early studies were conducted in the  
117 hippocampus. As such, the rodent section below will focus primarily on hippo-  
118 campal morphology and hippocampal-dependent memory. However, prefrontal  
119 function has long been of interest to human and nonhuman primate researchers,  
120 and so considerably more information on prefrontal function is available for pri-  
121 mates than for rodents. Thus, hormonal effects on both prefrontal and hippocampal  
122 function will be discussed in the human and nonhuman primate sections.

## 123 2 Humans

124 As the human female ages, the reproductive system undergoes a plethora of changes  
125 that eventually lead to the cessation of reproductive abilities known as the meno-  
126 pause. The gradual transition to menopause occurs at approximately age 51 and can  
127 last anywhere from 2 to 7 years, with the most notable changes being amenorrhea,  
128 significant decreases in ovarian hormone levels, and ultimate ovarian failure  
129 (Bellantoni and Blackman 1996). The menopausal transition requires a highly  
130 orchestrated series of events within the Hypothalamic–Pituitary–Ovarian axis that  
131 include changes in both the brain (e.g., elevated follicle stimulating hormone (FSH)  
132 and luteinizing hormone (LH) levels secreted by the pituitary) and ovaries (e.g.,  
133 depletion of ovarian follicles and resultant drop in circulating ovarian estrogen  
134 concentrations) (Bellantoni and Blackman 1996). Because these events occur over  
135 the course of several years, the menopausal transition is generally divided into three  
136 phases based on the regularity and occurrence of the menses (Soules et al. 2001). The  
137 late reproductive years (often referred to as “premenopause”) are characterized by  
138 regular menstrual cycles and an enduring increase in FSH that lasts for the remainder  
139 of a woman’s life (Soules et al. 2001). Perimenopause, which can be divided into  
140 early and late stages, is defined by variable cycle lengths, skipped cycles, and at least  
141 one period of amenorrhea lasting over 60 days (Soules et al. 2001). Finally, post-  
142 menopause is characterized by the complete cessation of menses for at least  
143 12 months (Gold et al. 2000; Soules et al. 2001). The dramatic decline of circulating  
144 ovarian hormones, which is a defining characteristic of reproductive senescence, is  
145 theorized to be the driving factor behind the apparent decline in cognitive functioning  
146 that is observed in postmenopausal women.

147 Anecdotally and in the laboratory, perimenopausal and postmenopausal women  
148 report more difficulties with memory and concentration than premenopausal

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149 women (Amore et al. 2007; Gold et al. 2000). Women are also three times more  
150 likely to develop Alzheimer's disease than men (Yaffe et al. 1998). Nevertheless,  
151 relatively few studies have examined the effects of reproductive senescence on  
152 brain and cognitive function; these studies are far outnumbered by those testing the  
153 effects of hormone replacement in postmenopausal women. The comparatively  
154 slim literature associating menopausal status or  $E_2$  levels with cognition and brain  
155 function in women may stem from methodological challenges, including diffi-  
156 culties in accurately assessing menopausal status and measuring circulating  $E_2$  in  
157 older women (Barrett-Connor and Laughlin 2009). With regard to brain function, a  
158 recent functional neuroimaging study reported that perimenopausal women (mean  
159 age 47.5 yrs) with moderate to severe menopausal symptoms (e.g., hot flashes)  
160 have reduced cerebral blood flow in the medial prefrontal cortex compared to age-  
161 matched asymptomatic controls (Abe et al. 2006). Also of note are post-mortem  
162 data showing that nuclear  $ER\alpha$  expression, aromatase expression, and neuronal  
163 metabolic activity in the hippocampus are significantly higher in postmenopausal  
164 women (mean age 72.8 yrs) relative to pre- and perimenopausal women (Ishunina  
165 and Swaab 2007). This increase could arise if the drop in ovarian hormone levels  
166 triggers an increase in de novo estrogen production that up-regulates nuclear  $ER\alpha$   
167 expression (Ishunina et al. 2007). The possibility that increased  $E_2$  levels nega-  
168 tively impact cognitive function in aging is supported by another study of post-  
169 menopausal women (mean age 70 yrs) in which higher total  $E_2$  levels were  
170 associated with smaller hippocampal volumes and worse verbal memory (den  
171 Heijer et al. 2003). This result suggests that in older women, an up-regulation of  $E_2$   
172 synthesis and  $ER\alpha$  expression may be detrimental to cognitive functioning.

173 Cross-sectional data on menopausal status suggest detrimental effects of men-  
174opause on executive functioning tasks such as mental flexibility, planning and  
175 reaction time (Elsabagh et al. 2007; Halbreich et al. 1995). However, no effects on  
176 verbal fluency, spatial ability, and episodic memory were reported in these studies  
177 (Elsabagh et al. 2007; Halbreich et al. 1995; Herlitz et al. 2007; Thilers et al.  
178 2010). In contrast, longitudinal studies indicate that perimenopause is associated  
179 with reduced verbal fluency (Fuh et al. 2006) and postmenopause with impaired  
180 verbal fluency and visuospatial abilities (Thilers et al. 2010). Interestingly, this last  
181 study reported a significant interaction between menopausal status and body mass  
182 index (BMI), such that overweight postmenopausal women exhibited less cog-  
183 nitive decline than those with normal BMIs (Thilers et al. 2010). Serum  $E_2$  levels  
184 were also positively correlated with BMI (Thilers et al. 2010), suggesting an  
185 association between lower  $E_2$  levels and a faster rate of verbal and visuospatial  
186 decline. This relationship is consistent with findings showing that women with  
187 higher total or bioavailable  $E_2$  levels exhibit better global cognitive function,  
188 verbal memory, executive function, and a lower risk of mild cognitive impairment  
189 and Alzheimer's disease than those with lower levels [(Drake et al. 2000; Lebrun  
190 et al. 2005; Wolf and Kirschbaum 2002; Yaffe et al. 2000; Zandi et al. 2002); but  
191 see (Barrett-Connor et al. 1999; den Heijer et al. 2003; Herlitz et al. 2007;  
192 Laughlin et al. 2010)]. Notably, the only study to examine a substantially biracial  
193 population found that both African-American and Caucasian women with low

194 bioavailable  $E_2$  levels were 2–3 times more likely to exhibit verbal memory  
195 impairments and global cognitive decline than those with high  $E_2$  levels (Yaffe  
196 et al. 2007). Collectively, these findings provide some support for the notion that  
197 the menopausal transition is associated with cognitive dysfunction.

198 Perhaps the most convincing argument for the role of ovarian hormones in  
199 maintaining cognitive function (particularly verbal memory) in women comes  
200 from studies of women who underwent bilateral oophorectomy for benign disease  
201 prior to menopause. In an influential series of studies, women who were treated  
202 with  $E_2$  immediately after surgery maintained performance on tests of verbal  
203 memory, whereas those receiving placebo experienced significant verbal memory  
204 decline (Phillips and Sherwin 1992; Sherwin 1988). In contrast, visuospatial  
205 abilities were not affected by treatment, suggesting an effect specific to verbal  
206 learning and memory. Despite this specificity, these findings, as well as data from  
207 animal models demonstrating potent effects of  $E_2$  on hippocampal synaptic plas-  
208 ticity, neuroproliferation, and neuroprotection [reviewed in (Spencer et al. 2008;  
209 Wise et al. 2001)], initially provided robust support for the notion that the loss of  
210 ovarian hormones at menopause renders cognitive regions of the brain more  
211 vulnerable to the detrimental effects of aging. This work subsequently stimulated  
212 numerous investigations into the effects of hormone therapy (both estrogens alone  
213 or estrogens plus a progestin) on cognitive function in menopausal women.

214 Observational and longitudinal studies in postmenopausal women generally  
215 suggest that estrogen use after surgical or natural menopause is beneficial for cog-  
216 nitive function. In observational studies, estrogen use has been associated with better  
217 verbal fluency (Hogervorst et al. 1999), verbal memory (Kampen and Sherwin 1994;  
218 Maki et al. 2001), working memory (Duff and Hampson 2000), and visuospatial  
219 function (Duka et al. 2000). Similar findings have been reported in longitudinal  
220 studies (Grodstein et al. 2000; Matthews et al. 1999; Steffens et al. 1999). Hormone  
221 therapy has also been associated with a lower risk of dementia (LeBlanc et al. 2001),  
222 although this decreased risk is most evident among women who initiated hormone  
223 therapy during or soon after the menopause (Yaffe et al. 1998).

224 Data from randomized clinical trials have been mixed, with those testing the  
225 effects of  $E_2$  in recently menopausal women reporting beneficial effects of treat-  
226 ment on verbal and working memory [e.g., (Joffe et al. 2006; Phillips and Sherwin  
227 1992; Viscoli et al. 2005; Wolf et al. 1999)], and those testing effects of conjugated  
228 equine estrogens in older postmenopausal women generally reporting no effect or  
229 detrimental effects of treatment on global cognitive decline and verbal memory  
230 [e.g. (Barrett-Connor and Kritz-Silverstein 1993; Binder et al. 2001; Grady et al.  
231 2002; Janowsky et al. 2000; LeBlanc et al. 2007); reviewed in (Maki 2005; Sherwin  
232 and Henry 2008)]. In particular, data from the Women’s Health Initiative Memory  
233 Study (WHIMS), the largest randomized clinical trial of the commonly prescribed  
234 conjugated equine estrogens, demonstrate that estrogen (with or without a synthetic  
235 progestin) significantly increases the risk of global cognitive decline and dementia  
236 in postmenopausal women over age 65 (Espeland et al. 2004; Rapp et al. 2003b;  
237 Shumaker et al. 2004). A follow-up study from the WHI Study of Cognitive Aging  
238 (WHISCA) reported that treatment impaired verbal memory and had no effects on



239 tests of attention, working memory, spatial ability, affect, or depression (Resnick  
240 et al. 2009a; Resnick et al. 2006). A subsequent neuroimaging study of WHIMS  
241 subjects found that estrogen treatment was associated with smaller hippocampal,  
242 frontal cortex, and total brain volumes (Resnick et al. 2009b). This finding is  
243 inconsistent with several smaller studies that show a positive association between  
244 estrogen use and volumes of the hippocampus and cortical regions (Berent-Spillon  
245 et al. 2010; Boccardi et al. 2006; Eberling et al. 2003; Lord et al. 2008), but are in  
246 keeping with findings that postmenopausal women with naturally higher levels of  
247 E<sub>2</sub> had smaller hippocampi and worse verbal memory (den Heijer et al. 2003).  
248 Although the large sample size of the WHI provides greater statistical power than  
249 the smaller studies demonstrating a positive relationship between estrogen use and  
250 hippocampal volumes, a number of design flaws limit the generalizability of the  
251 WHI findings as has been discussed elsewhere (Maki 2006; Sherwin and Henry  
252 2008), including the type of hormone treatment used and an older subject popu-  
253 lation at high risk for cardiovascular and cerebrovascular disease.

254 Despite the apparent inconsistencies in the clinical literature, several important  
255 principles about hormone treatment have begun to emerge from these studies. First,  
256 treatment is most effective for younger women. For both cognitive and neural  
257 function, the data support a limited window of opportunity in which treatment during  
258 a “critical period” at or near the onset of menopause protects against cognitive  
259 decline, whereas treatment several years after menopause is ineffective or detri-  
260 mental to cognitive health (Erickson et al. 2010; Maki 2006; Sherwin and Henry  
261 2008). Data from nonhuman primates and rodents also support the existence of a  
262 critical period for estrogen treatment (Frick 2009; Sherwin and Henry 2008). Sec-  
263 ond, E<sub>2</sub> may be a more effective treatment than conjugated equine estrogens, as  
264 suggested by several randomized clinical trials (Joffe et al. 2006; Phillips and  
265 Sherwin 1992; Viscoli et al. 2005; Wolf et al. 1999). Third, clinical trials of hormone  
266 therapies are susceptible to a “healthy user bias” due to the fact that women who  
267 initiate hormone therapy are generally healthier and more educated than women who  
268 do not elect treatment (Keating et al. 1999; Matthews et al. 1996). As such, this bias  
269 must be considered when interpreting data and generalizing to a broader population.  
270 Finally, too little is known about how factors like timing of treatment (cyclic vs.  
271 continuous) and addition of progestagens influence the effectiveness of hormone  
272 therapy, so addressing these issues will be critical in future clinical studies.

### 273 3 Nonhuman Primates

274 Nonhuman primates, such as rhesus monkeys (*Macaca mulatta*) and cynomolgus  
275 monkeys (*Macaca fascicularis*), are the most common model systems for the study  
276 of reproductive aging. Similar to humans, female macaques exhibit a 28 day  
277 menstrual cycle and experience ovarian hormone fluctuations comparable to  
278 human women (Gilardi et al. 1997; Goodman et al. 1977; Knobil and Neill 1988).  
279 Further, menopause in macaque females is very similar to that of women

280 (Gilardi et al. 1997), although the post-menopausal life of these monkeys is much  
281 shorter, given that the onset of menopause occurs after age 25 in species with  
282 lifespans of around 30 (Tigges et al. 1988). As in humans, the transition to  
283 reproductive senescence involves multiple parallel processes, including increasing  
284 irregularity of the menstrual cycle, depletion of the follicular reserves, decreased  
285 levels of circulating estrogen, and elevated levels of FSH, LH, and gonadotrophin  
286 releasing hormone (GnRH) (Downs and Urbanski 2006; Gore et al. 2004). Given  
287 these multiple commonalities, macaque females are an excellent model system to  
288 examine the effects of reproductive senescence and hormone therapy on cognitive  
289 and neural function. However, monkey research is limited by the high cost and low  
290 availability of animals, particularly postmenopausal females (Bellino and Wise  
291 2003). Thus, much of the work examining effects of reproductive aging on the  
292 brain has been conducted in younger ovariectomized monkeys. Nevertheless,  
293 studies in young and aging macaque monkeys provide a valuable glimpse into how  
294 reproductive senescence may affect human women, and serve to bridge the gap  
295 between rodent models and human clinical studies.

296  $E_2$  can profoundly affect the hippocampus and prefrontal cortex of both young  
297 and aging female macaques. Cyclic  $E_2$  treatment increased the number of CA1  
298 dendritic spines by over 1 billion in both young (6–8 yrs) and aged (19–23 yrs)  
299 ovariectomized rhesus monkeys (Hao et al. 2003), suggesting a similar respon-  
300 siveness to  $E_2$  in the young and aged primate brain. In 7–15 year-old ovariec-  
301 tomized rhesus monkeys, chronic  $E_2$  treatment increased the expression in CA1 of  
302 pre- and post-synaptic proteins such as syntaxin, synaptophysin, and spinophilin  
303 (Choi et al. 2003). However, progesterone blocked these changes, suggesting that  
304 progesterone interferes with the positive effects of  $E_2$  on hippocampal synapses.  
305 Interestingly, progesterone alone increased the expression of synaptophysin only  
306 (Choi et al. 2003), indicating that each hormone may be beneficial to synaptic  
307 spine morphology alone, but detrimental in combination. However, according to a  
308 recent study in adult (7–14.5 yrs) ovariectomized rhesus monkeys, the combina-  
309 tion of both hormones may be beneficial for hippocampal neurogenesis because  
310 chronic treatment with  $E_2$  alone or  $E_2$  plus progesterone tended to increase neu-  
311 rogenesis in the dentate gyrus (Kordower et al. 2010). Together, these findings  
312 support the notion that  $E_2$  can positively regulate hippocampal morphology in both  
313 young and aging female monkeys.

314 An increasing body of work has shown similar effects of  $E_2$  on the prefrontal  
315 cortex. Indeed, long-term cyclic treatment of young and aged ovariectomized  
316 rhesus monkeys significantly increases relative to vehicle both apical and basal  
317 dendritic spine density, number and morphology within layer III pyramidal cells of  
318 area 46 in the prefrontal cortex (Hao et al. 2007; Hao et al. 2006; Tang et al. 2004).  
319 This effect has been demonstrated in behaviorally characterized aged monkeys  
320 who exhibited improved performance in a prefrontal cortex-dependent spatial-  
321 delayed response task (Rapp et al. 2003a), suggesting that  $E_2$ -induced increases in  
322 prefrontal spine density may lead to enhanced prefrontal-dependent memory in  
323 aged females. This conclusion is supported by a recent paper demonstrating a  
324 positive correlation in these same monkeys between  $ER\alpha$  expression in the



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325 postsynaptic densities of prefrontal excitatory synapses and memory in the delayed  
326 response task (Wang et al. 2008).

327 Other studies in aged rhesus females have also revealed positive effects of  
328 ovarian hormones on cognitive processes mediated by the prefrontal cortex. The  
329 only study to examine gonadally intact females found that peri-/post-menopausal  
330 monkeys (20–27 years) performed significantly worse on the spatial-delayed  
331 response task than age-matched premenopausal monkeys and young monkeys  
332 (Roberts et al. 1997). Interestingly, different investigators using a battery of spatial  
333 and non-spatial memory tasks found that aged rhesus females (19–27 years) who  
334 had been ovariectomized for 12 years were better than age-matched intact females  
335 on spatial delayed response, but impaired on a delayed non-match to sample task  
336 (Lacreuse et al. 2000). The effects of E<sub>2</sub> treatment on naturally menopausal  
337 monkeys have not yet been tested, but several studies have examined the effects of  
338 E<sub>2</sub> treatment on surgically menopausal monkeys and report beneficial effects of E<sub>2</sub>  
339 on tasks mediated by the prefrontal cortex. For example, in aged rhesus monkeys  
340 ovariectomized 7–13 years prior to treatment, E<sub>2</sub> improved working memory in  
341 the spatial-delayed response task (Rapp et al. 2003a) and spatial-delayed recog-  
342 nition span test (Lacreuse et al. 2002), in some cases to the level of young  
343 monkeys (Rapp et al. 2003a). The benefits of E<sub>2</sub> treatment on working memory  
344 tasks, even 13 years after ovariectomy, suggests that the aged prefrontal cortex  
345 remains sufficiently responsive to E<sub>2</sub> that memory function can be enhanced even  
346 after periods of prolonged ovarian hormone deprivation (Lacreuse 2006). How-  
347 ever, these studies found that the E<sub>2</sub>-induced improvement did not extend to other  
348 processes mediated by the prefrontal cortex, as delayed non-matching-to-sample,  
349 object discrimination, and Wisconsin Card Sort tests showed no or moderate  
350 improvement after treatment (Lacreuse et al. 2004, 2002; Rapp et al. 2003a).  
351 Interestingly, studies by Voytko and colleagues of aged cynomolgous monkeys  
352 have shown that E<sub>2</sub> can enhance several of these prefrontal-dependent abilities  
353 including visuospatial attention, visual recognition memory, and executive func-  
354 tion (Voytko 2000, 2002, 2008). The Voytko (2002) laboratory has also shown that  
355 E<sub>2</sub> interacts with the basal forebrain cholinergic system to affect attention, but not  
356 memory. The discrepancies among the macaque studies in the effects of E<sub>2</sub> on  
357 specific prefrontal-dependent tasks may be due to methodological issues or dif-  
358 ferences between rhesus and cynomolgous monkeys. Nevertheless, the monkey  
359 data collectively demonstrate that E<sub>2</sub> can reverse ovariectomy-induced cognitive  
360 dysfunction in aged females, and may benefit several cognitive domains including  
361 memory, attention, and executive functioning.

## 362 4 Rodents

363 Rats (*Rattus norvegicus*) and mice (*Mus musculus*) are the most common animal  
364 models used to study the effects of hormones on cognition because of their  
365 compact size, short life spans, and abundant supply. For studies of cognitive aging,

366 rats and mice are typically considered “aged” at approximately 2 years, “middle-  
367 aged” at approximately 16–18 months, and “young” at approximately  
368 3–4 months (Frick 2009). Rodents do not exhibit a true menstrual cycle, as they  
369 lack a luteal phase and uterine wall sloughing (Wise 2000). Instead, they undergo  
370 4–5 day-long estrous cycles that feature surges of estradiol and progesterone just  
371 prior to ovulation (McCarthy and Becker 2002). Further, rodents experience  
372 significant changes in their regular reproductive cycle with aging. Although they  
373 do not experience complete follicle loss (Wise 2000) and maintain relatively  
374 normal gonadotrophin levels (Wise 2000), reproductive senescence in rodents is  
375 similar to menopause in several respects, including increases in FSH, LH, and  
376 estradiol levels, variability of cycle length prior to acyclicity, and ultimate ces-  
377 sation of hormone cycling (LeFevre and McClintock 1988; Nelson et al. 1995). In  
378 rats, reproductive decline begins at 9–12 months of age, with 70% of 12-month-  
379 olds exhibiting irregular cycles or acyclicity, and nearly 75% of females acyclic by  
380 24 months (Markowska 1999). In mice, alterations begin at 13–14 months of age  
381 (Nelson et al. 1995), with 80% of 17-month-olds exhibiting irregular cycles or  
382 acyclicity, and all females acyclic by 25 months (Frick et al. 2000).

383 As in humans and nonhuman primates, memory decline has been associated  
384 with the loss of reproductive cycling in both rats and mice. This relationship has  
385 been particularly well described for spatial memory tested in the Morris water  
386 maze, which declines at an earlier age in females than in males. Significant deficits  
387 in females are observed by 12 months in rats and 17 months in mice, whereas such  
388 deficits are not apparent in male rats until 18 months and in male mice until  
389 25 months (Frick et al. 2000; Markowska 1999). Moreover, the onset of this  
390 premature spatial memory decline in females coincides with the cessation of  
391 ovarian hormone cycling, as illustrated by the fact that the age at which spatial  
392 memory deficits first appear in both species is marked by a sharp decline in regular  
393 estrous cycling (Frick et al. 2000; Markowska 1999). Further, performance among  
394 12–24-month-old rats in a daily probe trial was best in regularly cycling females,  
395 intermediate in irregularly cycling females, and worst in acyclic females  
396 (Markowska 1999), suggesting that the disruption of estrous cycling is detrimental  
397 to spatial memory throughout the aging process.

398 The age-related cognitive decline accompanying the loss of estrous cycling in  
399 rodents has most often been attributed to reduced estradiol levels in the hippo-  
400 campus. In the hippocampus of young rodents, E<sub>2</sub> increases CA1 dendritic spine  
401 density (Woolley and McEwen, 1992; Woolley and McEwen, 1993), enhances  
402 long-term potentiation (Warren et al., 1995; Foy et al., 1999), increases neuro-  
403 genesis (Tanapat et al., 1999), and rapidly activates cell signaling cascades  
404 including extracellular signal-regulated kinase/mitogen activated protein kinase  
405 (ERK/MAPK) and protein kinase A (PKA) (Fernandez et al. 2008; Lewis et al.  
406 2008a). E<sub>2</sub> also enhances the function of hippocampal- and cortically-projecting  
407 cholinergic neurons (e.g. Gibbs and Aggarwal, 1998a; Wu et al., 1999; Gibbs  
408 2000), which are involved in attention and cortical information processing, as well  
409 as some aspects of learning and memory (e.g. Bartus et al., 1985; Baxter and  
410 Chiba, 1999; Berger-Sweeney et al., 2000). However, the effects of E<sub>2</sub> in the aging

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411 hippocampus are not identical to that of young females, perhaps due to reduced  
412 hippocampal expression of ER $\alpha$  and ER $\beta$  in aged females (Yamaguchi-Shima and  
413 Yuri 2007). For example, E<sub>2</sub> in aged females does not increase dendritic spine  
414 density in hippocampal CA1, but does increase density in the dentate gyrus  
415 (Adams et al. 2001; Miranda et al. 1999). Other studies have shown that the  
416 hippocampus of aging female rodents remains generally responsive to E<sub>2</sub>, which  
417 can increase hippocampal levels of synaptophysin and nerve growth factor, aug-  
418 ment dentate gyrus dendritic spine density, activate protein kinases, normalize  
419 intracellular calcium homeostasis, and phosphorylate NMDA receptors in aging  
420 females (Bi et al. 2003; Fernandez and Frick 2004; Foster 2005; Frick et al. 2002;  
421 Miranda et al. 1999). Of these changes, E<sub>2</sub>-induced increases in hippocampal  
422 synaptophysin protein levels in aged female mice have been associated with  
423 improved spatial memory (Frick et al. 2002).

424 A growing literature has examined the effects of E<sub>2</sub>, and to a lesser extent  
425 progesterone, on hippocampal-dependent memory in aging rats and mice. To date,  
426 E<sub>2</sub> treatments of varying dose, duration, route of administration, and timing re-  
427 lative to testing have improved memory in middle-aged or aged rodents tested in  
428 tasks of spatial reference memory, spatial working memory, and object recognition  
429 [reviewed in (Frick 2009)]. However, several key factors appear to contribute to  
430 treatment effectiveness in rodents. Age at treatment may be a key variable, as  
431 several studies that compared the effects of E<sub>2</sub> in rodents of multiple ages report  
432 memory improvements in middle-aged, but not aged, ovariectomized females  
433 (Gresack et al. 2007; Savonenko and Markowska 2003; Talboom et al. 2008). The  
434 duration of hormone loss prior to treatment also appears to be a particularly critical  
435 factor; long delays in treatment after ovariectomy in aging females impair basal  
436 forebrain cholinergic functioning (Gibbs 1998) and reduce E<sub>2</sub>'s ability to improve  
437 spatial memory (Daniel et al. 2006; Gibbs 2000; Markowska and Savonenko  
438 2002). Collectively, these data support the "critical period hypothesis" of estrogen  
439 action originally proposed to explain why hormone therapy in women appears to  
440 work best when initiated near menopause (Maki 2006). The origins of this critical  
441 period may lie in biochemical alterations in the aged brain, as suggested by a  
442 recent study from our laboratory which found that the ability of E<sub>2</sub> to enhance  
443 object memory consolidation in young and middle-aged ovariectomized mice was  
444 associated with its ability to activate cell signaling cascades that are critical for  
445 long-term memory formation; in aged mice, E<sub>2</sub> had no effect on memory or cell  
446 signaling (Fan et al. 2010).

447 Beyond the critical period, the rodent literature has begun to shed light on other  
448 important issues, like whether cyclic or continuous hormone administration is most  
449 effective, whether treatment should include a progestogen, and whether certain  
450 populations might benefit more from treatment than others (Frick 2009). With  
451 regard to this last point, we recently showed that exposure to a cognitively and  
452 physically enriching environment can reduce the mnemonic benefits of E<sub>2</sub> in  
453 young and middle-aged female mice (Gresack and Frick 2004; Gresack et al.  
454 2007). Such data are consistent with clinical data suggesting that estrogen therapy  
455 may be most effective in women with less education (Matthews et al. 1999). Data

456 on the effects of progesterone are inconsistent, with several studies reporting that it  
457 blocks the beneficial effects of  $E_2$  on spatial memory in aging females (Bimonte-  
458 Nelson et al. 2006; Harburger et al. 2007), and others reporting no such inter-  
459 ference (Gibbs 2000; Markham et al. 2002). When given alone, acute progesterone  
460 treatment can improve spatial and object recognition memory in aged female mice  
461 (Lewis et al. 2008b).

462 The relative ease and flexibility of the rodent model has also allowed for the  
463 development and testing of alternatives to traditional hormone therapy, such as  
464 selective estrogen receptor modulators (SERMs). SERMs are non-steroidal com-  
465 pounds that act as estrogen agonists in some tissues and antagonists in others. The  
466 most commonly tested SERMs include tamoxifen, raloxifene, phytoestrogens, and  
467 ICI 182,780. Although these SERMs exhibit neuroprotective properties in vitro,  
468 none have consistently improved memory in women or rodent models (Frick 2009;  
469 Zhao et al. 2005). Drugs selective for  $ER\alpha$  or  $ER\beta$  have been developed and are  
470 currently being tested in rodents; thus far,  $ER\beta$  agonism appears to most consis-  
471 tently improve hippocampal memory in young rats and mice (Frick et al. 2010;  
472 Walf et al. 2006), but none of these agonist compounds have yet been tested in  
473 aging females. An alternative to further refining SERMs is to elucidate the  
474 molecular mechanisms underlying memory-enhancing effects of hormones and  
475 then develop drugs that target those mechanisms. Our laboratory has published a  
476 series of studies in this regard, demonstrating that  $E_2$ -induced alterations of cell  
477 signaling, epigenetic mechanisms, and gene expression are necessary for this  
478 hormone to enhance object memory consolidation in young and middle-aged  
479 ovariectomized mice (Fan et al. 2010; Fernandez et al. 2008; Frick 2009; Zhao  
480 et al. 2010). In particular,  $E_2$ -induced activation of ERK/MAPK signaling, histone  
481 acetylation, and DNA methylation are especially critical. As such, these mecha-  
482 nisms may be useful targets to which non-steroidal drugs can be designed that  
483 mimic the beneficial effects of  $E_2$ . Because these drugs would modulate the  
484 downstream effectors of estrogen receptors, rather than the receptors themselves,  
485 this approach may prove useful in providing treatments that can safely and  
486 effectively reduce age-related memory decline in women.

## 487 5 Conclusions

488 The past 20 years has seen an explosion of research on the roles of hormones on  
489 cognition, and much progress has been made. As the studies discussed above  
490 illustrate, there is considerable evidence in humans, nonhuman primates, and  
491 rodents that the age-related loss of ovarian hormones, particularly estrogens, is  
492 detrimental for cognitive function. Although this work has yielded many important  
493 insights, much more needs to be done to gain a more complete understanding of  
494 how these hormones affect cognition in aging females. For example, research on  
495 naturally reproductively senescent women and animals is sorely lacking, thereby  
496 limiting conclusions about the impact of reproductive aging on cognition. Further,

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497 clinical trials such as the WHI have raised critical questions about how and when  
498 hormone therapy should be administered, and who should receive treatment.  
499 Future research on hormone therapy should be directed toward better assessing the  
500 efficacy of various treatments on specific cognitive functions in women, pin-  
501 pointing the best age to begin and length of time to conduct treatment, under-  
502 standing the role of progestagens in modulating cognitive functions, and  
503 identifying specific populations of women (e.g., less well educated) that might  
504 benefit the most from treatment. Many of these issues can be addressed relatively  
505 easily in rodent and primate models as a first step.

506 As the numbers of menopausal and postmenopausal women skyrocket in the  
507 coming years, addressing these issues will become of paramount importance. The  
508 health risks associated with commonly prescribed conjugated equine estrogens,  
509 such as increases in breast cancer, heart disease, and stroke (Rossouw et al. 2002),  
510 also warrant the accelerated development of alternative approaches to hormone  
511 replacement, including SERMs and other treatments that target the molecular  
512 mechanisms underlying hormonal modulation of cognitive function (Frick 2009;  
513 Frick et al. 2010). The groundbreaking empirical research of the past 20 years has  
514 laid the foundation for the next generation of promising breakthroughs in the  
515 science of hormones and cognition, which will hopefully lead to a better under-  
516 standing of the impact of reproductive senescence on cognition and more effective  
517 hormone treatments for the prevention of age-related cognitive decline.

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