NOTES AND CORRESPONDENCE

Comments on "The Southern Oscillation as an Example of a Simple, Ordered Subsystem of a Complex Chaotic System"

A. A. TSONIS

Department of Geosciences, University of Wisconsin-Milwaukee, Milwaukee, Wisconsin

J. B. ELSNER

Department of Meteorology, The Florida State University, Tallahassee, Florida
24 June 1997

As Dr. Steppeler states in the beginning of his paper (Steppeler 1997), infinite dimensional spatially extended systems that are described by a set of partial differential equations may often contain subsystems characterized by a much smaller dimensionality. Back in 1989, Tsonis and Elsner in an endeavor to explain the different estimated dimensions in weather and climate suggested the idea of subsystems in the climate system that operate at different space and/or time scales. Lorenz (1991) in his study of low dimensions in weather and climate concurred with the Tsonis and Elsner (1989) suggestion of subsystems. Moreover, the possibility that El Niño-Southern Oscillation (ENSO) exhibits nonlinear structure has been suggested by many in the past (Vallis 1986; Hense 1987; Tsonis and Elsner 1992; Bauer and Brown 1992; Elsner and Tsonis 1993). That ENSO may be a subsystem of the climate system has been explicitly stated in Tsonis (1996).

It appears that Dr. Steppeler is unaware of this pertinent literature as he makes no reference to any of the above studies. As such, his study misses a fundamental aspect of the general framework of the theory of subsystems: that of the connectivity of a particular subsystem to the rest of the system. As explained in Tsonis (1996) in a spatially extended system, subsystems "crystalize" at different time-space scales and each of the subsystems is connected to all other subsystems. However, the degree of connectivity that determines the degree of communication between subsystems may vary. This is a crucial aspect since if the connectivity of a subsystem to the rest of the subsystems is weak, then the subsystem may exhibit low-dimensional chaos

or even regular behavior. An example of such a subsystem is the one that describes the orbits of the planets in our solar system. If the connectivity is strong, the input of the other subsystems becomes important as it "contaminates" the low-dimensional or regular behavior, thus affecting the predictability of the subsystem in question. This is probably the category into which most subsystems of the climate system, including ENSO, fall. In fact, Tsonis and Elsner (1996) and Tsonis and Elsner (1997) have presented experimental evidence on how the predictability of the subsystem ENSO may be affected by signals from the "grand" climate system (such as global temperature). Finally, if the connectivity is strong, then the input from other subsystems affects the subsystem greatly. In such cases the behavior of the subsystem approaches the stochastic regime and, if an outside fluctuation is larger than some critical value, self-organization may ensue. The above general framework explains all the various processes observed in nature: regular behavior, low-dimensional chaos, contaminated chaos (signal plus noise), and self-organization.

In his study Dr. Steppeler ignored the aspect of connectivity between subsystems. As such he assumed that the connectivity is zero, which is likely unrealistic. Consequently, while Dr. Steppeler's study is in the right direction it fails to properly treat the problem. Possibly a modification of his equations to include the effect of connectivity will be more promising.

REFERENCES

Bauer, S. T., and M. B. Brown, 1992: Empirical low-order ENSO dynamics. Geophys. Res. Lett., 19, 2055-2058.

Elsner, J. B., and A. A. Tsonis, 1993: Nonlinear dynamics established in the ENSO. *Geophys. Res. Lett.*, 20, 213-216.

Hense, A., 1987: On the possible existence of a strange attractor for the southern oscillation. *Beitr. Phys. Atmos.* **60**, 34-47.

Lorenz, E. N., 1991: Dimension of weather and climate attractors.

Nature, 353, 241-244.

Corresponding author address: Dr. A. A. Tsonis, Department of Geosciences, University of Wisconsin, P.O. Box 413, Lapham Hall, Milwaukee, WI 53201.

- Steppeler, J., 1997: The Southern Oscillation as an example of a simple, ordered subsystem of a complex chaotic system. J. Climate. 10, 473-480.
- Tsonis, A., 1996: Dynamical systems as models for physical processes. *Complexity*, 1 (5), 23-33.
- —, and J. B. Elsner, 1989: Chaos, strange attractors, and weather. Bull. Amer. Meteor. Soc., 70, 16-23.
- —, and —, 1992: Nonlinear prediction as a way of distinguishing chaos from random fractal sequences. *Nature*, 358, 217-229.
- —, and —, 1996: Global temperature as a regulator of climate predictability. Abstracts, XXI General Assembly of the European Geophysical Society, European Geophysical Society, The Hague, the Netherlands, C522.
- —, and —, 1997: Global temperature as a regulator of climate predictability. *Physica D*, **108**, 191-196.
- Vallis, G. K., 1986: El Niño: A chaotic dynamical system? Science, 232, 243-245.

2460

JOURNAL OF CLIMATE

VOLUME 11

Reply to Steppeler's Reply

A. A. TSONIS

Department of Geosciences, University of Wisconsin-Milwaukee, Milwaukee, Wisconsin

J. B. ELSNER

Department of Meteorology, The Florida State University, Tallahassee, Florida

15 September 1997

Our comment was written in order to bring to the attention of the readers an important issue regarding subsystems of chaotic systems. Dr. Steppeler implies in the title of his original paper that he is going to consider El Niño as a subsystem of a "grand" chaotic system. In this case it appears proper to reference some of the work that has in the past provided evidence that El Niño is indeed chaotic and possibly a subsystem of a grand complex system. It is clear in our comment that we do

not claim to have invented the notion of subsystems. What we state is that we suggested the notion of subsystems in chaotic climate dynamics. That is the purpose of the first paragraph of our comment, not to elevate ourselves as Dr. Steppeler seems to suggest in his reply. In any case, the main point of our comment is clear: If one considers subsystems of a grand complex system then one should also consider the connectivities among them. Dr. Steppeler's rather evasive and long reply deals with a number of unrelated issues but avoids addressing this issue. If the words "dimension" and "subsystems" in his paper have a different meaning than those commonly used in the context of nonlinear dynamical systems, then the title and overture of the paper are incompatible with the rest of the paper.

Corresponding author address: Dr. A. A. Tsonis, Department of Geosciences, University of Wisconsin, P.O. Box 413, Lapham Hall, Milwaukee, WI 53201.