

Comments and Controversies

Searchlight analysis: Promise, pitfalls, and potential

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ABSTRACT

Multivariate pattern analysis (MVPA) is an increasingly popular approach for characterizing the information present in neural activity as measured by fMRI. For neuroimaging researchers, the searchlight technique serves as the most intuitively appealing means of implementing MVPA with fMRI data. However, searchlight approaches carry with them a number of special concerns and limitations that can lead to serious interpretation errors in practice, such as misidentifying a cluster as informative, or failing to detect truly informative voxels. Here we describe how such distorted results can occur, using both schematic illustrations and examples from actual fMRI datasets. We recommend that confirmatory and sensitivity tests, such as the ones prescribed here, should be considered a necessary stage of searchlight analysis interpretation, and that their adoption will allow the full potential of searchlight analysis to be realized.

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Introduction

Multivariate pattern analysis (MVPA) of functional MRI (fMRI) data has grown steadily since its beginnings in 2001 (Haxby, 2012). Following Raizada and Kriegeskorte (2010), we illustrate the growth of the literature by showing the citation rate for several key MVPA papers in Fig. 1. Interest in MVPA spans disciplines. Advances have arisen from synergistic interactions with the machine learning community, which has developed new methods for addressing fMRI datasets and questions, as seen in the proliferation of relevant articles (e.g. Cuingnet et al., 2011; Mitchell et al., 2004; Van De Ville and Lee, 2012) and dedicated conference workshops (e.g. the International Conference on Pattern Recognition, NIPS, Cosyne, etc.). Interest in the cognitive neuroscience applications of MVPA is just as great (e.g. Heinzle et al., 2012; Tong and Pratte, 2012; Yang et al., 2012). The growing popularity of MVPA within neuroimaging has been driven by multiple factors, including: a) suggestions that it provides greater sensitivity and specificity than mass-univariate analyses with generally complementary results (Haynes and Rees, 2005; Jimura and Poldrack, 2012; Kamitani and Tong, 2005); b) the possibility of designing tests to address hypotheses which cannot be addressed with mass-univariate methods (e.g. Knops et al., 2009; Quadflieg et al., 2011; Stokes et al., 2009); and c) the intuitive appeal of a method which incorporates the signal from multiple voxels at once.

Searchlight analysis (also called information mapping) is an MVPA method introduced as a technique for identifying locally informative areas with greater power and flexibility than mass-univariate analyses (Kriegeskorte and Bandettini, 2007a; Kriegeskorte et al., 2006). Searchlight approaches are relatively unique, in that they were developed specifically for fMRI analysis, addressing both the common localization goal (many fMRI studies aim to identify small brain areas) and the spatial structure of the BOLD signal (adjacent voxels tend to have similar activation timecourses). Searchlight analysis produces maps by measuring the information in small spherical subsets ("searchlights") centered on every voxel; the map value for each voxel thus derives from the information present in its searchlight, not the voxel individually. Note that the word "information" is not used here in its formal sense (as in the field of information theory), but rather following its conventional use in the MVPA application literature. Specifically, we use the word "information" to indicate that the activity in a group of voxels varies consistently with experimental condition: a highly informative voxel cluster can be used to identify experimental condition more accurately than a weakly informative one.

Appealing aspects of searchlight analysis include its whole-brain approach (i.e., a priori region specification is not needed), the ability to pool over subject-specific activation patterns, and its minimization of the extremes of the curse of dimensionality associated with whole-brain MVPA (the "curse" refers to computational difficulties which can occur when there are more voxels than examples, see (Clarke et al., 2008; Jain et al., 2000); it is minimized in searchlight analysis since relatively few voxels are typically included in each searchlight). Additionally, searchlight analysis produces a whole-brain results map that is superficially similar in appearance to the whole-brain significance

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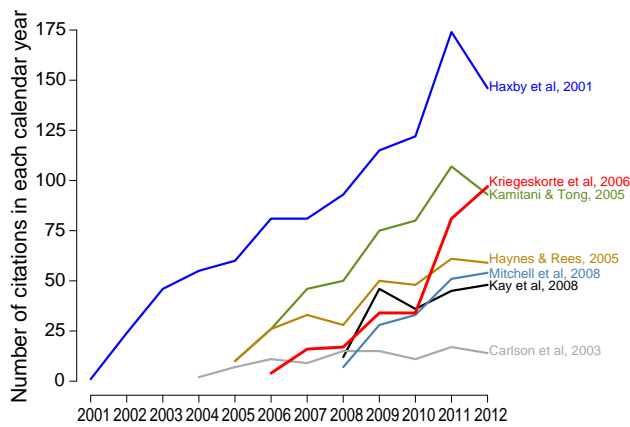


Fig. 1. Pattern-information fMRI is still a rapidly growing field, particularly searchlight analysis (note the rapid increase in papers citing Kriegeskorte et al., 2006). This figure follows Fig. 2 in Raizada and Kriegeskorte (2010), but uses the actual citation counts after 2008. The number of citations for each paper and year was obtained via Scopus (www.scopus.com) on 9 January 2013. (Carlson et al., 2003; Haxby et al., 2001; Haynes and Rees, 2005; Kamitani and Tong, 2006; Kay et al., 2008; Mitchell et al., 2008).

maps produced by more familiar mass-univariate analyses (based on the general linear model); thus, searchlight analysis results are potentially easier to interpret. These appealing aspects, plus promising early results, have led to a rapid increase in the number of studies using searchlight analyses (note the rapid rise in citations for Kriegeskorte et al., 2006 in Fig. 1, particularly in the last few years). Its acceptance as a standard approach is reflected in its inclusion in recent MVPA review and methodology articles (e.g. Bandettini, 2009; Mourao-Miranda et al., 2006; Raizada and Kriegeskorte, 2010; Tong and Pratte, 2012), as well as in the most prominent MVPA software packages (BrainVoyager QX 2.0, the Princeton MVPA Toolbox, PyMVPA).

Reflecting its potential and appeal, variations of the searchlight technique have been developed. In the spatial domain, it has been extended to circular subsets on cortical surfaces (Chen et al., 2011; Oosterhof et al., 2010, 2011), rather than the original volumetric spheres. Efforts have also been made to extend the technique to incorporate the temporal domain (Fogelson et al., 2011; Rao et al., 2011). The first searchlight analyses used the Mahalanobis distance as the similarity measure for information mapping, but a widely adopted variation is to use machine learning algorithms, often support vector machines (SVMs), instead (Haynes et al., 2007; Kriegeskorte and Bandettini, 2007b). In these approaches, generalization accuracy of the classifier is used as a proxy for information content. Group analysis is usually performed by combining individual subject's maps with a binomial or *t*-test at each voxel (with the null hypothesis that the group classification accuracy is at chance level), creating maps of voxels with significant searchlights. Here we primarily consider classification-based searchlight analysis, but much of the discussion applies regardless of the precise implementation.

Searchlight analysis is a powerful and attractive tool for understanding neuroimaging data. However, it has particular characteristics and limitations that can lead to serious interpretation errors in practice, and so we recommend that straightforward confirmatory and sensitivity tests (analogous to post-hoc tests after an ANOVA), such as the ones described here, be considered a standard part of the searchlight analysis procedure. In the following sections we describe two assumptions that often implicitly underlie the interpretation of searchlight analysis results. Unfortunately, as we illustrate, these assumptions do not always hold, and so may lead to distorted results. We then describe how confirmatory follow-up tests can be used to guard against particularly harmful distortions, using two hypotheses common in cognitive studies as illustrations. This manuscript is accompanied by Supplemental Information containing examples (with code) and technical details.

Assumption 1. Information is detected consistently.

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A fundamental aspect of fMRI is that information is not distributed uniformly across voxels but rather has a three-dimensional structure: some groups of voxels (e.g. those corresponding to a specific anatomical region) are more informative for a particular task than other groups of the same size. Additionally, neuroimaging data contains information at multiple spatial frequencies (Kriegeskorte et al., 2010; Op de Beeck, 2010). For example, consider a cued finger-tapping task. The finger area of the primary motor cortex will be highly informative at a very small spatial frequency while the premotor and somatosensory cortices may be equally informative, but at a larger spatial frequency. The difference can be imagined as the size of box required to enclose the minimum set of voxels capable of task classification: a larger box is necessary to enclose the pattern in premotor or somatosensory cortices than to enclose the pattern in the primary motor cortex.

The distribution of information is relevant for searchlight analysis because interpretation of any particular map depends on whether the information can be detected equally across spatial frequencies. In a simulation designed with equal power in all spatial frequency bands, Kriegeskorte et al. (2006) showed that detection did not require a close match between the size of the searchlight and the informative area: a 4 mm radius consistently performed well. When this finding holds, it simplifies searchlight analysis interpretation: the peak areas of the map are the most informative voxels. However, if information is not present and detected equally at all spatial frequencies, then searchlight analysis results will depend fairly strongly upon the searchlight size; moreover, no single searchlight radius will be universally optimal or sufficient.

Additionally, although the Mahalanobis distance may be consistently sensitive to information across spatial frequency bands (Kriegeskorte et al., 2006), this property does not hold for all information measures used with searchlight analysis, especially the linear SVM. Training a linear SVM algorithm results in a set of weights; its decision function is a weighted linear combination of the voxels (Norman et al., 2006). Two properties of the linear SVM are particularly relevant when used in searchlight analysis: (1) It is sometimes able to correctly classify when the searchlight contains a small minority of highly informative voxels (intermixed with a majority of uninformative voxels), and conversely, (2) It is sometimes able to correctly classify when the searchlight contains a large number of weakly informative voxels.

Highly-informative voxels can be detected even when very rare

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Since, as described above, linear SVMs are relatively resistant to the curse of dimensionality (Jain et al., 2000), they can sometimes classify a dataset accurately even when only a tiny minority of the voxels are informative. The degree to which this occurs varies depending on dataset properties, but it happens often enough to be relevant in practice. For instance, Supplemental Example 4 shows that introducing just five informative voxels from an actual fMRI dataset into a group of two hundred random (uninformative) voxels is sufficient to shift the median accuracy of an SVM from chance to 0.6. For an extreme example, a dataset containing a single highly informative voxel and 200 random voxels is accurately classified in Supplemental Example 5. Searchlight analysis generally includes fewer than 200 voxels in each searchlight, increasing the likelihood that searchlights containing a single or only a few informative voxels will be detected (see the "Detection of rare informative voxels" section of the Supplemental Information for further discussion).

This behavior can cause distortions in a searchlight map. To illustrate, suppose that a cluster of five highly informative voxels (capable of significant classification whenever included in a searchlight) is surrounded by hundreds of truly uninformative voxels. Any searchlight

199 overlapping the five-voxel cluster will be significant, even if the major-
 200 ity of its voxels are uninformative. As a result, some voxels in the results
 201 map will be categorized as significant, not because they themselves are
 202 informative, but because they are at the center of a searchlight that con-
 203 tains the informative voxels. Fig. 2 (Supplemental Example 7) gives ex-
 204 amples of this occurrence in an actual fMRI dataset (see Supplemental
 205 Example 6 as well): for instance, the voxel in the lower-left corner (at
 206 coordinates 1, 1) changes its mapped classification accuracy from
 207 “uninformative” to “informative” when the starred (actually informa-
 208 tive) voxel is moved, despite there being no change the properties of
 209 the (lower-left) voxel itself.

210 A second issue is that the number of voxels marked as informative in
 211 a searchlight map will tend to grow as the searchlight radius increases,
 212 even when the size of the truly informative cluster stays fixed (Fig. 3),
 213 so long as the curse of dimensionality does not dominate; classifiers
 214 will vary in how many uninformative voxels can be added to the fixed
 215 informative cluster before performance declines. This phenomenon,
 216 which has been termed the “needle-in-the-haystack-effect”, was dem-
 217 onstrated as a formal proof in Viswanathan et al. (2012). As an extreme
 218 example, Viswanathan et al. (2012) showed how all 147,000 voxels of a
 219 simulated volume would be classified as “informative” in a 3 voxel radi-
 220 us searchlight map when the volume contained just 430 evenly distrib-
 221 uted informative voxels.

222 Weakly-informative voxels can be detected when sufficiently numerous

223 Another property of linear SVMs relevant for their use in search-
 224 light analysis is that they can pool weak biases across many voxels,
 225 with the result that it is possible for a group of voxels to be classified
 226 accurately while the individual voxels making up the group do not
 227 yield significant classification, either singly or as subsets. This infor-
 228 mation “pooling” is often a useful characteristic for fMRI data, which
 229 is sometimes structured as weak information present in a large num-
 230 ber of voxels. However, it can be troublesome for searchlight analysis
 231 interpretation. For example, suppose that there is a large cluster of
 232 voxels, each with the same small bias (i.e. a uniformly weakly infor-
 233 mative voxel cluster). Ten voxels from this cluster (a small search-
 234 light) may not yield significant classification, but thirty voxels (a
 235 larger searchlight) could produce a weakly significant classification,
 236 and fifty voxels, a highly significant classification (Fig. 4 and Supple-
 237 mentary Example 1). This can be thought of as a case of *discontinuous*
 238 *detection* of information: at the extreme, a voxel cluster can change
 239 from “uninformative” to “informative” upon the addition of a single
 240 voxel (Supplementary Examples 2 and 3).

241 Discontinuous detection makes it possible for groups of weakly in-
 242 formative voxels to be partially or entirely missed when mapping in-
 243 formation. Continuing the example, with a searchlight encompassing
 244 fewer than 30 voxels, the cluster will be classified as uninformative
 245 because no single searchlight can include enough voxels to enable ac-
 246 curate classification (Fig. 5a). Larger searchlights could detect the
 247 cluster, but only when the shape of the searchlight matches the
 248 shape of the cluster: a spherical searchlight could miss an elliptical

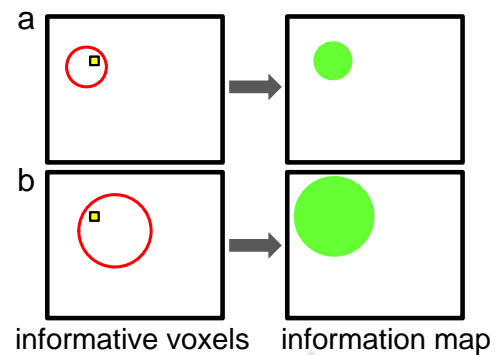


Fig. 3. Illustration of how the representation of a highly informative voxel (yellow square) increases in the information map of a single subject (right, green circle) with increasing searchlight radius (left, red circle). While the actual informative voxels are the same in a and b, the number of voxels marked informative in the map increases with the searchlight radius.

249 cluster (Fig. 5b). An additional complication comes from assigning 249
 250 each searchlight's accuracy to its center voxel: large, weakly infor- 250
 251 mative clusters will appear smaller in the information map if the search- 251
 252 light radius is less than the cluster diameter, since only searchlights 252
 253 fully overlapping the cluster will be significant (Fig. 5c).

254 Prior reports in the literature have documented the failure of 254
 255 weakly informative areas to be detected in searchlight analysis, 255
 256 mirroring our experience that widespread, weakly informative areas 256
 257 are common in fMRI datasets (see also Gonzalez-Castillo et al., in 257
 258 press). For example, Eger et al. (2009) found that searchlight analysis 258
 259 (linear SVM, 3-voxel radius) identified no ROI voxels as informative, 259
 260 despite significant classification when using the whole ROI. Likewise, 260
 261 Diedrichsen et al. (in press) report needing to expand their search- 261
 262 light size to achieve adequate sensitivity in one experimental condi- 262
 263 tion (increasing from 80 to 160 voxels, with regularized linear 263
 264 discriminant analysis as the classification algorithm).

265 **Assumption 2.** Spatial variation between subjects is small compared 265
 266 to the searchlight radius.

267 Most applications using searchlight analysis interpret results primar- 267
 268 ily based on group-level aggregation of single-subject information maps, 268
 269 even though strategies for constructing and interpreting these maps 269
 270 have not been fully explored. Methods for constructing group-level 270
 271 maps often parallel those used in mass-univariate analysis: a *t*-test (for 271
 272 average accuracy across individuals greater than chance) is conducted 272
 273 at every voxel independently, followed by multiple-comparisons correc- 273
 274 tion (Kriegeskorte and Bandettini, 2007a). Alternatively, the individual 274
 275 maps are statistically thresholded and the group-level map is reported 275
 276 in terms of the proportion of subjects with a significant searchlight at 276
 277 each voxel (Pereira and Botvinick, 2011). Permutation-based tests 277
 278 have also been proposed (Kriegeskorte et al., 2006), with new tech- 278
 279 niques increasing their interpretability and computational tractability 279

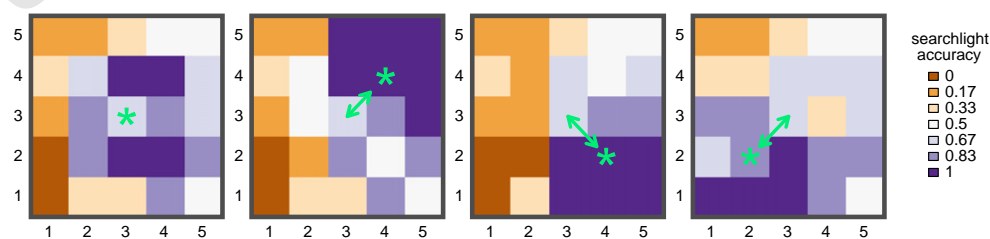


Fig. 2. Influence of a single highly informative voxel on the searchlight map of a single subject from an fMRI dataset (complete version is Supplemental Example 7). a: Original searchlight accuracy map. The center voxel (starred) is highly informative individually. b: Searchlight maps after moving the highly informative voxel to the indicated locations. The most informative cluster of voxels in the searchlight accuracy map shifts to match the location of this voxel: this single informative voxel causes multiple voxels to be marked informative in the searchlight map.

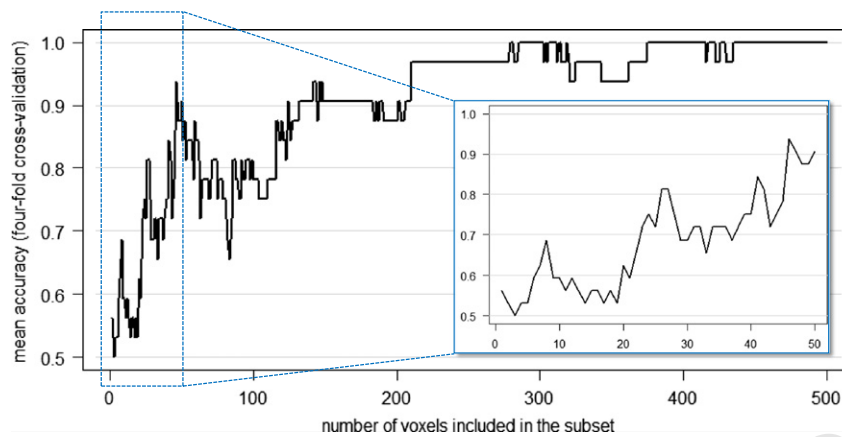


Fig. 4. Example of discontinuous information detection by a linear SVM, showing that accuracy increases from chance as the number of voxels increases; complete version is Supplemental Example 1. The simulated dataset has 500 voxels, all equally informative (constant bias), two classes, and four runs, with accuracies averaged over four leave-one-run-out cross-validation folds. Additional voxels are added to each successive subset, such that the two-voxel subset has voxels #1 and #2, the three-voxel subset has voxels #1, #2, and #3, etc. The inset shows the result of adding the first fifty voxels in greater detail. As the inset shows, at less than 20 voxels the SVM tends to suggest an absence of significant information (~50% classification accuracy); however, as the subsets increase past 20 voxels the classification accuracy rapidly increases in a discontinuous manner.

(Gaonkar and Davatzikos, 2012; Stelzer et al., 2013). Some authors perform the searchlight analysis in native space then normalize the individual maps to an atlas, while others normalize the images first and then perform the searchlight analysis in atlas space (both of which can introduce distortions). This proliferation of techniques reflects the importance placed on group information maps in cognitive neuroscience applications of MVPA, and also the lack of agreement regarding the best method for constructing them. All of these techniques rely on a common assumption, however: that spatial variation in the information maps between individuals is minimal compared to the searchlight radius. Group maps may be misleading if this does not hold.

Spatial variation between individuals is not a concern unique to searchlight analysis but a factor in all neuroimaging techniques. For example, smoothing is used during mass-univariate analysis to help reduce the impact of inter-individual variability. However, evaluating results when inter-individual variability is present is particularly

complex in searchlight analysis because of distortions that can occur when constructing individual information maps, particularly distortions causing a mismatch between the actual informative voxels and their appearance in the searchlight map (such as those shown in Figs. 3 and 5). Since all methods of constructing a group information map involve combining some version of the individual maps, distortions in the individual maps are carried to the group level, where their effects may be magnified.

For example, spatial variation in the location of an informative cluster between individuals may cause the cluster to be missed in the group-level map. In Fig. 6a, weakly informative clusters overlap in the individual maps, but since the individual searchlight mapping detects only a minority of the informative voxels (as in Fig. 5c), the individual information maps do not overlap at the group level (Fig. 6b green area), and so the cluster is missing from the group information map.

At the opposite extreme, voxels that are uninformative in each individual when examined separately can be identified as being informative at the group level. To illustrate that this can occur, suppose half of the individuals have a cluster of highly informative voxels towards the left side of a ROI while the rest of the individuals have the same cluster of informative voxels, but shifted towards the right side (Fig. 7a). The group-level information map will not identify the voxels corresponding to either cluster as informative but rather the voxels between the two clusters, because this is where the individual maps overlap (Fig. 7b). While Fig. 7 is a simple illustration contrived to show the problem, such an outcome can occur in many actual situations. Fig. 8 (Supplemental Example 9) shows an occurrence in real fMRI data: The most informative voxel in the group information map (starred voxel at left) has the lowest average accuracy when the voxels are tested for classification in a univariate manner (i.e. as single voxels; Fig. 8, right).

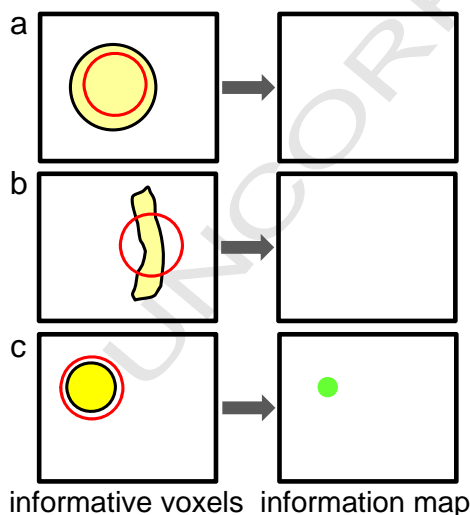


Fig. 5. Illustration of how informative voxels may be missed in a single-subject searchlight analysis when information is not detected with equal power in all spatial frequencies. The yellow areas represent informative voxels while the red circles represent the searchlight. Assume all cluster voxels are required for significant classification. a. The cluster will not be detected because the searchlight is too small. b. The cluster will be not detected because the searchlight shape does not match the cluster shape. c. The cluster appears smaller in the information map since only searchlights containing the entire cluster are significant.

Beyond the Searchlight: Some prescriptive guidelines for interpretation

In the previous sections we described how searchlight maps can be distorted at the single-subject level when information is not detected consistently (highly informative voxels can appear disproportionately large in the searchlight map while weakly informative voxels can be missed), and how, when these distortions are carried to the group level, their effects can be magnified by spatial variation between individuals. The severity of these distortions is intimately linked to both searchlight size (radius, shape) and classifier properties (such as how quickly accuracy is degraded by the presence of

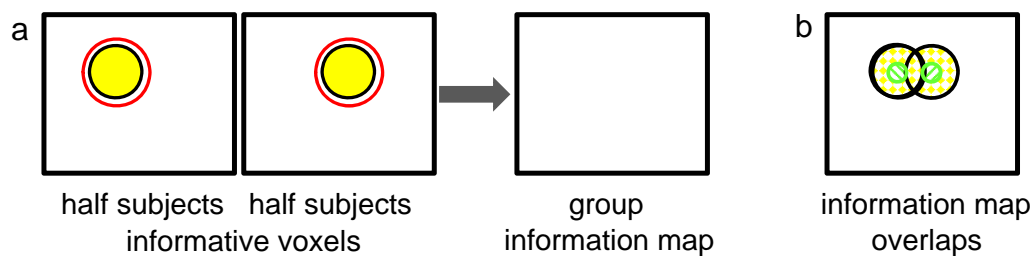


Fig. 6. Illustration of spatial variability between subjects causing informative clusters to be missed at the group level. a. Half of the subjects have the cluster of informative voxels (yellow) on the left side of the ROI while the other half have the cluster on the right side; all cluster voxels are required for significant classification. The searchlight (red circle) is large enough to encompass the informative voxels, but neither appears significant in the group information map. b. Information maps for each subject group (green) do not overlap despite overlapping informative clusters (yellow).

338 noise voxels and its sensitivity to the curse of dimensionality). As a
 339 consequence, it is critical that searchlight results be described in
 340 terms of possible dependence on searchlight size and classifier
 341 parameters, and checked for distortions before being interpreted as
 342 locating the most informative voxels.

343 As a general guideline, when only a single searchlight analysis is
 344 conducted, interpretation must be cautious, restricted to the param-
 345 eters and choices used in the particular analysis. We do agree that a
 346 single-subject searchlight analysis indicates the amount of local infor-
 347 mation at each voxel, but only as measured by a particular classifier
 348 and given a searchlight of a particular size and shape. These caveats
 349 are necessary and relevant in practice. For example, in the demon-
 350 stration dataset included in the Supplemental Information (actual
 351 fMRI data), in subject 12, voxel #13 was assigned an accuracy of
 352 0.17 in the map made with a one-voxel radius searchlight, but an ac-
 353 curacy of 0.67 with a two-voxel radius searchlight (chance accuracy is
 354 0.5). The same voxel exhibited the opposite pattern in a different in-
 355 dividual (subject 19): informative in the one-voxel radius searchlight
 356 map, but uninformative in the two-voxel radius searchlight map (see
 357 Supplemental Figs. 6 and 14). Thus, it is not meaningful to describe
 358 the informativeness of this voxel in these individuals without specifi-
 359 ing a particular searchlight radius.

360 Precise descriptions are necessary to ensure that interpretation
 361 occurs within the correct context. For example, authors sometimes
 362 describe information maps in terms of informative brain regions,
 363 such as “searchlight analysis indicated that information about the ef-
 364 fect of interest was present in the inferior frontal gyrus.” While con-
 365 venient shorthand, such phrasing conflates spatial scales, implying
 366 that the region itself was shown to demonstrate the effect, when
 367 what was found was that significant voxels in the local information
 368 map were present within the region when using a particular search-
 369 light. It is more precise to convey the results by emphasizing the
 370 scale and type of information found, such as “analysis with a 6 mm
 371 radius searchlight found local information related to the effect of
 372 interest, with significant searchlight centers located in the inferior
 373 frontal gyrus.”

Moving beyond interpreting searchlight maps in isolation enables
 more general conclusions to be drawn, inferences about information
 at scales other than that of a searchlight (such as “information
 about the effect of interest was present in the inferior frontal gyrus”
 and “the anterior portion of the prefrontal cortex was more informa-
 tive than the posterior”). We suggest that conducting straightforward
 tests after a searchlight analysis (analogous to post-hoc tests after an
 ANOVA) can allow such inferences to be made with reasonable confi-
 dence. Two inferences particularly relevant in applications will be de-
 scribed: first, that a voxel cluster found in a group information map is
 itself informative, and, second, that a particular significant cluster
 contains the most informative voxels in the local anatomical region.
 This is not intended to be an exhaustive list of possible conclusions,
 but rather an illustration of the type of additional evidence that can
 be used to support interpretations drawn from searchlight analysis
 results, and why such evidence is necessary.

For convenience, in this section we will refer to the voxels identi-
 fied as significant by the searchlight analysis as the “cluster.” In some
 applications the cluster could be composed of the searchlight centers
 only (as typical in searchlight mapping), while in others the cluster
 could include surrounding voxels (all voxels included in the identi-
 fied searchlights). We refer to the anatomic region in which the clus-
 ter was found (and about which we want to infer), as the “area.”

*Testing the interpretation that a cluster of searchlight-detected voxels is
 itself informative*

A searchlight analysis gives the location of a cluster of informative
 searchlight centers, but additional tests are necessary to demonstrate
 that the voxels making up the cluster *are themselves* informative. The
 key issue is to infer across spatial scales: we wish to describe the clus-
 ter not only as the centers of informative searchlights of a particular
 radius (which is accurate without additional testing), but that the
 cluster voxels themselves (usually the searchlight centers) are infor-
 mative. This claim requires additional evidence because it refers to
 the group of centers rather than the searchlights, which were the

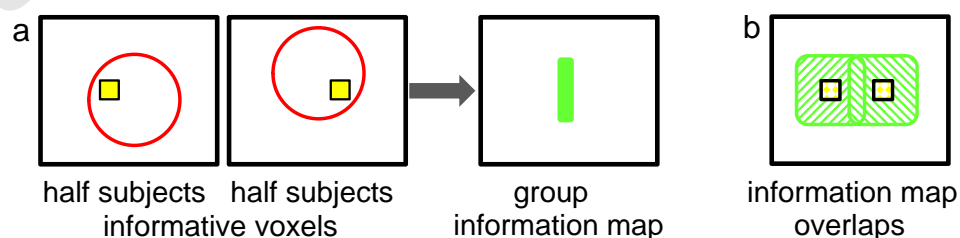


Fig. 7. Illustration of how searchlight analysis (red circle) can produce a group information map misaligned to the informative clusters when spatial variability across subjects is present. a. Suppose half of the subjects have the cluster of informative voxels on the left side of the ROI (yellow) while the other half has the cluster on the right side of the ROI. The group map will locate the informative voxels between the two clusters (green), where no subjects had informative voxels. b. Information maps for each subject group, showing how the overlap of the subjects' maps results in the distorted group map.

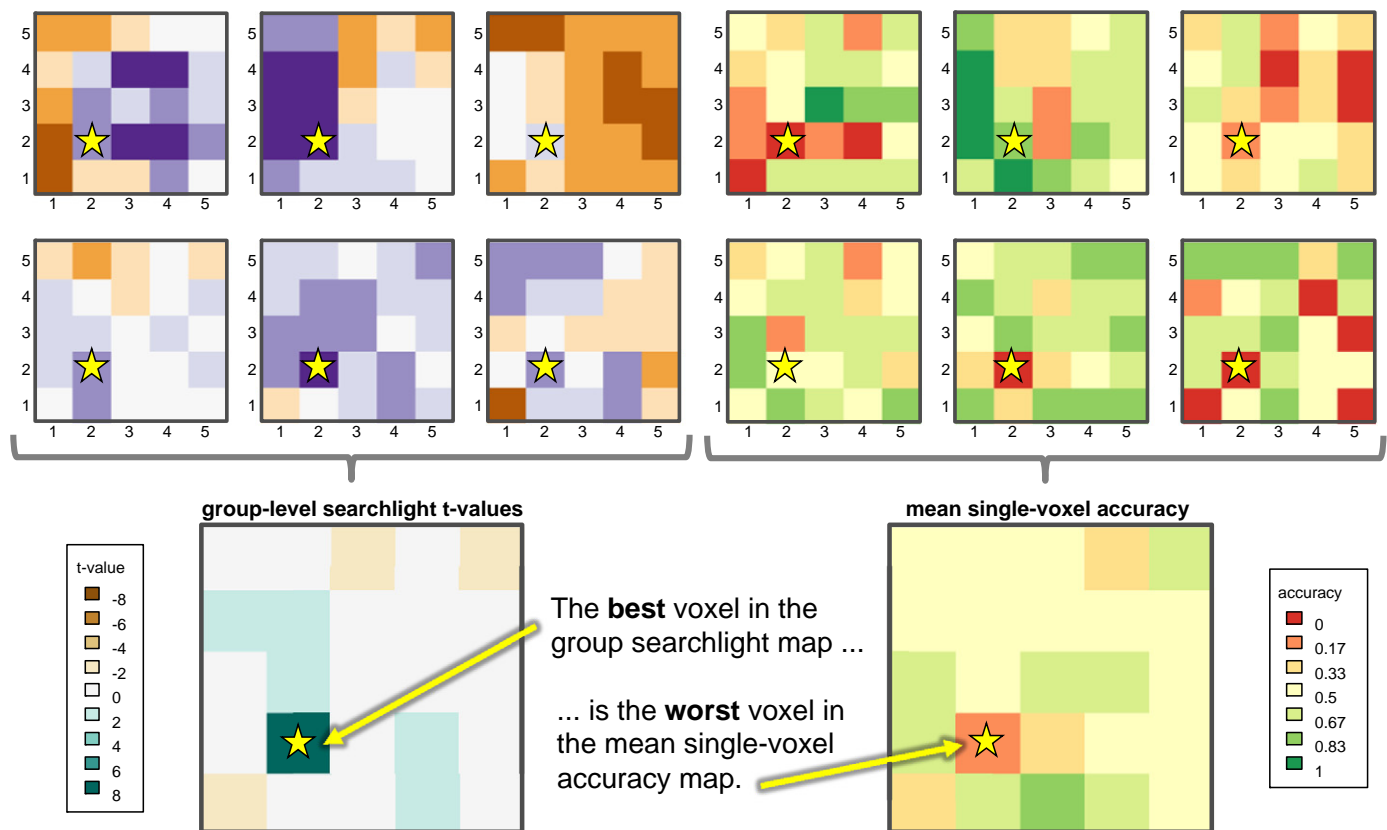


Fig. 8. Instance of group map distortions in fMRI data; complete version is Supplemental Example 9. The most informative voxel (starred) in the group information map (left) has the lowest mean accuracy, as determined by single-voxel classification (right). Maps for each of the six subjects making up the group are shown at the top of the figure.

unit of analysis. In other words, we wish to change from making inferences about *the searchlights* to making inferences about the particular *group of voxels* we identified in the searchlight analysis. We propose that a general strategy for demonstrating that a cluster is informative is to explicitly create a region of interest (ROI) from the cluster and then characterize the properties of that ROI.¹ If the ROI made from the cluster is informative, then there is justification for concluding that the cluster is itself informative.

This analysis is deliberately circular: the ROI is tested using the same data as the original searchlight analysis. Despite the circularity, it is not guaranteed that the ROI will be informative. For example, the cluster in the group searchlight map in Fig. 7 is composed entirely of uninformative voxels (see also Fig. 8). Since the ROI may not be informative, even in a circular analysis (which should be the most favorable), the cluster should always be tested for information, as a ROI, before describing it in any sense other than that of the centers of searchlights. Stronger evidence for an informative cluster can be provided by a noncircular analysis (constructing the information map from different data than those used to test the resulting ROI).

While in many (perhaps most) cases the cluster will itself be informative in a circular analysis, the severity of the interpretation error in the exceptions, combined with the ease with which exceptions can be found (particularly in group analyses), leads us to recommend that clusters identified in a searchlight analysis always be directly checked

¹ For concreteness, suppose that the searchlight analysis used a linear SVM to distinguish two types of stimuli, and that each searchlight contained 50 voxels. A particular cluster of interest containing 100 voxels is found in the resulting information map. These 100 voxels could then be grouped together as a ROI, and evaluated with another linear SVM trained to distinguish the stimuli. Thus, the second analysis involves linear SVM on the single group of 100 voxels corresponding to the ROI, rather than 100 different 50-voxel searchlights.

for informativeness (as a ROI) before being described as informative themselves.

Conducting additional, complementary, analyses may allow confidence in the interpretation to be strengthened even further. The most appropriate analyses will vary with dataset and hypothesis, but *sensitivity analyses* are likely generally useful: how much does the cluster change when the analytical choices are varied (e.g. searchlight shape, classification algorithm)? Equivalently, how much does the information map change? For example, does the particular highly informative cluster have a similar appearance across a range of searchlight radii and shapes? If so, it is less likely to be a simple artifact. Nestor et al. (2011) followed this strategy, providing group information maps at three different radii, which show that the t-values increase with increasing radius without greatly shifting the location of the highest values.

In the case of group analysis, sensitivity analyses can also evaluate whether the cluster depends on the inclusion of particular subjects. For example, group-level maps can be made after leaving out each subject individually (Supplemental Example 8); the cluster's appearance should not rely on the inclusion of particular subjects. Similarly, providing individual subject information maps (e.g. Diedrichsen et al., *in press*) is also useful, allowing the reader to evaluate the degree to which the group-level clusters are also found in the individuals. Sensitivity to statistical technique can also be important: a robust cluster should be similar over several methods of creating the group-level map (e.g. *t*-test, permutation test).

Testing the hypothesis that a cluster contains the area's informative voxels

If it has been demonstrated that a particular cluster of voxels is itself informative (as a ROI), the researchers may wish to investigate

whether those voxels are more informative than neighboring ones, that is, that the cluster encompasses the most informative voxels in a particular anatomical location. This type of claim is most relevant and tractable in cases where the cluster is in a specific anatomic region of interest. For example, searchlight analysis could identify a cluster in the left dorsolateral prefrontal cortex, and the researchers want to investigate whether it contains all the informative voxels in the left dorsolateral prefrontal cortex. This will of course not be proof that the most informative possible cluster was found, as that would require exhaustive testing of all possible configurations; conclusions will necessarily be restricted to a particular analysis protocol and dataset.

We propose that virtual lesion and feature perturbation techniques provide a framework for evaluating this type of claim: If the cluster contains the informative voxels, then the area should be less informative when the cluster voxels are removed. Such a test can begin by determining the accuracy of the entire area, including the cluster (i.e. a ROI-based analysis of the whole area). The area should be found informative, since the cluster known to be informative is present within it (although if the area is very large, or the classifier highly sensitive to noise, this test may fail, necessitating a different approach). Then the cluster should be removed and the classification of the area repeated (i.e. perform the ROI-based analysis after “lesioning” the cluster). In some cases it may be appropriate to “lesion” after dilating the cluster by the searchlight radius, to include all voxels participating in the targeted searchlights.

Strong evidence that the cluster contains the most informative voxels is provided if the area without the cluster contains little information, but the area with the cluster and the cluster alone contain similar amounts of information (Fig. 9a). If the area is still informative after the cluster has been lesioned, it is improper to describe the cluster as the sole informative location, despite the appearance of the searchlight map. Instead, the information could be described in

terms of the area as a whole (e.g. “weak information is widespread throughout the dorsolateral prefrontal cortex, with fine-scale information (as measured by a 8 mm-radius searchlight) found in a cluster centered at -38, 30, 30”), or additional analyses conducted to clarify the spatial distribution of informative voxels.

Evaluating the accuracy of the cluster and area can be done at the either the individual or group level, as relevant to the particular interpretation being drawn. In the case of group analyses, the strongest evidence that a highly informative cluster had been detected would occur if the cluster is more informative than the rest of the area not only at the group level but also in a majority of the subjects individually.

This virtual lesion test is most stringent when the initial searchlight analysis and the follow-up cluster and area analyses are carried out in independent datasets (such as from different scanning days or groups of subjects). If the lesion analysis is performed using the same dataset as the searchlight mapping the analysis will be circular (Kriegeskorte et al., 2009), and so biased towards finding that the cluster is highly informative. However, even in a circular analysis it is not guaranteed that the cluster will contain most of the information in the area. In other words, removing (“lesioning”) the cluster identified in a searchlight analysis from an area does not always reduce the area’s accuracy to chance, and will not necessarily reduce the area’s accuracy at all.

For example, consider the small illustration summarized in Fig. 9 and presented as Supplemental Example 10. The same fMRI dataset was used for the searchlight mapping and cluster-based analysis, so it is a circular analysis, biased towards supporting the claim that the area’s information is contained within the cluster. At the most lenient threshold ($t > 0$, 68% of the area’s voxels in the ROI made from the informative cluster) we find support for the claim that the most informative voxels in the area are in the cluster: the ROI classifies more accurately than the ROI made from the non-cluster voxels (which are near chance), and slightly more accurately than the area as a whole.

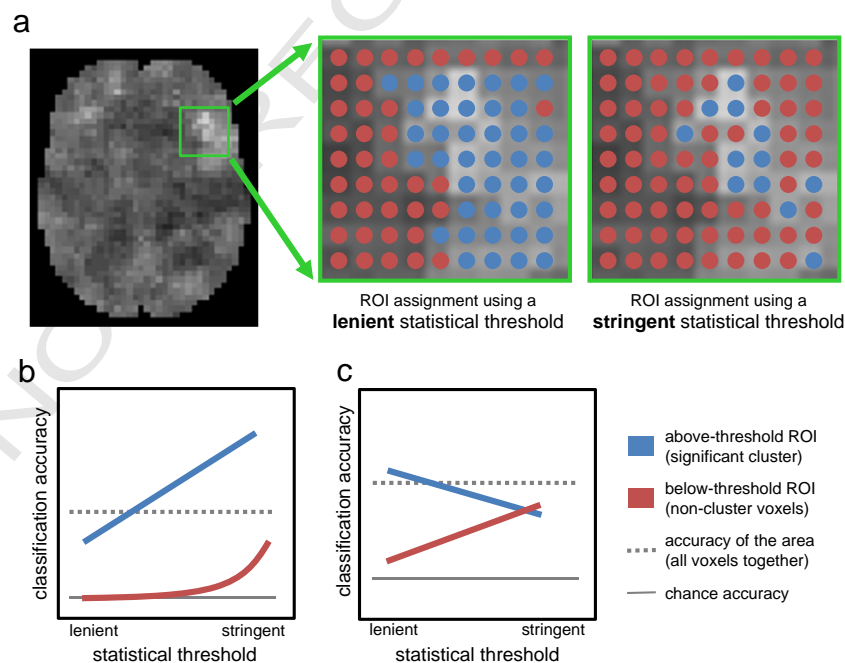


Fig. 9. Relationships between ROI accuracy and the statistical threshold applied to the searchlight map. a. Hypothetical information map resulting from a searchlight analysis (lighter shades indicate more accurate classification), with the area of interest outlined in green. Many voxels are considered part of the informative cluster at a lenient statistical threshold (left, marked by blue dots). Only the most significant voxels are included in the informative threshold at a stringent statistical threshold (right). b. Possible relationship when the above-threshold ROI contains the area’s informative voxels. The ROI’s accuracy increases as the statistical threshold becomes more stringent, since only the most informative voxels are retained in the cluster. The accuracy of the below-threshold ROI (i.e. the voxels not in the cluster) is near chance at lenient thresholds, but may increase at stringent thresholds if some moderately-informative voxels are no longer included in the above-threshold ROI. c. Schematic of an actual relationship observed in a circular analysis of an fMRI dataset, see Supplemental Example 10. The above-threshold ROI’s accuracy is slightly below that of the non-cluster voxels at the stringent statistical threshold, indicating that the voxels outside the cluster are approximately as informative as the cluster voxels.

528 But this does not hold when the thresholds increase in stringency: at a
529 higher threshold ($t > 1$, 44% of the area's voxels in the ROI) the cluster
530 classifies marginally less accurately than both the non-cluster voxels
531 and the area as a whole. Thus, at this threshold, the above-threshold
532 voxel cluster, when treated as a ROI, does not classify more accurately
533 than the less-significant voxels: the above-threshold voxels are only
534 more informative in the context of the searchlight analysis.

535 In a more extensive analysis of this type (also circular) conducted
536 in Etzel et al. (2012) a similar pattern was observed: a cluster identi-
537 fied as significant via searchlight analysis achieved an accuracy of
538 0.74 when tested as a ROI ($p < 0.001$), but when the cluster was re-
539 moved the remaining (putatively non-informative voxels) in the
540 area classified at 0.69 ($p < 0.01$) as a ROI, not a significant difference.
541 The 0.05 reduction in accuracy after lesioning lends supports to the
542 inference that the cluster is informative, but does not support the in-
543 ference that the cluster encompasses all of the area's informative
544 voxels; many voxels outside the cluster must have also been informa-
545 tive for the area to classify significantly after lesioning.

546 Discussion

547 Searchlight analysis is a powerful tool for neuroimaging data anal-
548 ysis, but has characteristics that must be kept in mind for accurate in-
549 terpretation, since it has the potential to produce distorted results,
550 including misidentifying a cluster as informative or failing to detect
551 truly informative voxels. We described why such errors are particu-
552 larly troublesome when information detection is discontinuous, espe-
553 cially when weak information is distributed over a large number of
554 voxels with spatial variability between subjects, as is common in
555 high-level cognitive tasks.

556 We suggest that the natural role for searchlight analysis is to be
557 part of an analysis protocol, not used in isolation. Searchlight analysis
558 not accompanied by additional evidence supports inferences about
559 the collections of searchlights analyzed, but not about the regions de-
560 fined by clusters of voxels defined by searchlight centers. Clusters of
561 significant searchlight centers are frequently described as defining a
562 region of the brain that contains information, but that inference is
563 not warranted based solely on the searchlight analysis.

564 As a concrete example, consider a hypothetical article (but repre-
565 sentative of many currently published in *NeuroImage* and other
566 journals) in which a searchlight analysis classifying a task was run at
567 the individual level, after which a group-level results map was statis-
568 tically generated. In the results section the authors write that they
569 used “multivariate pattern analysis to determine the voxel clusters
570 that contain significant information about the task” and present both
571 “the resulting map of second-level analysis t -values” and a table listing
572 the coordinates and sizes of four significant voxel clusters. The discus-
573 sion and interpretation focuses on the anatomical regions in which the
574 four clusters were found, beginning with an explanation that they
575 “used MVPA to identify brain regions that predict participant task per-
576 formance,” and followed by discussion of the potential task-related
577 processing taking place in the regions.

578 We would consider the presented evidence insufficient to support
579 the conclusions being drawn in the article, as it does not show that the
580 brain regions predicted task performance, but rather that, at the group
581 level, the centers of searchlights capable of such prediction fell inside
582 those brain regions. While this may seem a fine distinction, it is a cru-
583 cial one: it is possible that the voxels falling within the brain regions
584 would not actually predict participant task performance if tested di-
585 rectly, outside of the searchlight analysis. Confirmatory analyses are
586 necessary to demonstrate that the brain regions can indeed predict
587 task performance. At minimum, a circular ROI-based analysis of each
588 cluster would, if capable of classification, demonstrate that the cluster
589 voxels themselves are informative. More convincingly, ROIs could be
590 defined anatomically or in independent data (such as by holding
591 each subject out of the searchlight analysis in turn, performing the

ROI-based analysis on that subject using clusters defined on the 592
other subjects). If the confirmatory tests fail, the conclusion that the 593
regions predict participant task performance should not be made. 594
We would recommend that an article making claims like this should 595
not be accepted until confirmatory tests like the ones described 596
above have been conducted. 597

598 While no set of confirmatory and sensitivity tests will be universally 598
applicable, we propose that following a searchlight mapping with 599
ROI-based analyses on detected voxels is straightforward and will iden- 600
tify the most serious distortions. Here we focused on issues that arise 601
when linear SVMs are used with volumetric searchlights, as this combi- 602
nation is currently in wide use. Yet, similar issues stemming from dis- 603
continuous information detection are likely to apply to other linear 604
classifiers as well; the detection characteristics of any metric should 605
be explored before it is used in searchlight analysis. Nor are the issues 606
unique to a particular searchlight shape; any technique (including 607
surface-based) that assigns the searchlight's accuracy to its center 608
voxel is susceptible to map distortions (see Björnsdotter et al., 2011; 609
Tianhao and Davatzikos, 2011; Zhang et al., 2012 for possible 610
alternatives). 611

612 Searchlight approaches are often thought to be the preferred MVPA 612
technique when conducting group analyses, because they provides a 613
degree of spatial abstraction by combining local information maps 614
across individuals at the level of the searchlight, rather than of single 615
voxels (Kriegeskorte and Bandettini, 2007a). However, any distortions 616
that occur in the individual information maps can lead to misleading 617
or incomplete group-level maps, particularly in cases when large varia- 618
tion is expected between subjects, and/or when information is diffusely 619
distributed and weak, such as with high-level cognitive tasks. This prob- 620
lem is not unique to searchlight analysis, as spatial variation between 621
individuals causes difficulties in nearly all fMRI techniques, including 622
the mass-univariate GLM. While smoothing mitigates some of the ef- 623
fects of misalignment in mass-univariate analyses, the distortions in 624
searchlight analysis are discontinuous, harder to predict and control, 625
and so present a special challenge. One possible outcome is that search- 626
light analysis in individuals can detect highly informative clusters 627
of voxels matching the searchlight size much more readily than 628
mismatched or less informative clusters. Carried to the group level, 629
only areas with consistently-located, highly informative clusters of 630
that particular size will survive statistical thresholding, leading to an 631
impression that the information is distributed much more focally than 632
it is in actuality. This parallels the distortions that occur in univariate 633
group analyses when there is low statistical power (Yarkoni, 2009), in 634
the sense that many informative areas are missed, but those that are 635
found appear (artificially) to be extremely strong and focal. The great- 636
er sensitivity of searchlight analysis to focal information is compatible 637
with the tendency in fMRI research to describe small brain areas with 638
specific properties; the “localizationist view” (Gonzalez-Castillo et al., 639
in press). Expanding our search space beyond focal information, such 640
as by using the strategies described in this paper, will provide a more 641
complete picture of the brain activity that is measured by fMRI BOLD 642
signals, hopefully leading to a more accurate and powerful understand- 643
ing of brain function. 644

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Appendix A. Supplementary data 649

650 Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.neuroimage.2013.03.041>. 651

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