Investigation of Spin-Based Phenomena in Candidate Spintronic Materials by Molecular Beam Epitaxy

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by

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ABSTRACT OF THE DISSERTATION

Investigation of Spin-Based Phenomena in Candidate Spintronic Materials by Molecular Beam Epitaxy

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Dr. Roland Kawakami, Chairperson

Spin-based electronics, or spintronics, seeks to utilize the electron degree of freedom in order to perform logic, computation, or information storage. Proximity based interactions between nearby systems (i.e. films, adsorbates, molecules) and candidate spintronic materials (i.e. GaAs, graphene) could lead to the realization of novel phenomena. Such effects, which rely on atomic orbital overlap, require highly controlled surfaces and interfaces which can be achieved using molecular beam epitaxy (MBE). Here, this dissertation examines the feasibility of integrating high quality single crystal ferromagnetic insulators with oxide interfaces, the semiconductor GaAs, and graphene. Graphene, a single atomic layer of $sp^2$ bonded carbon with conducting $\pi$ orbitals that extend out of the plane, is highly surface sensitive and can be considered an ideal material for investigating novel spin-based proximity related be-
behavior. In particular, the interactions of functional oxides or adsorbates with graphene could lead to induced exchange splitting, magnetism, and spin-orbit coupling.

High quality crystalline deposition of the ferromagnetic insulator EuO is investigated with the primary focus of realizing high quality abrupt interfaces between the functional oxide and the spintronic material of choice. In this dissertation, stoichiometric EuO films are investigated on a wide variety of substrates including the spintronic relevant materials GaAs, 2-D planes of TiO$_2$, and $sp^2$ bonded carbon. The integration of EuO on these materials is a key advance towards experimental observation of the exchange proximity effect.

The atomic scale control over deposition provided by MBE allows for the investigation of submonolayer adsorbates (adatoms) and their interactions with graphene. In order to understand the effect of the adsorbates on spin-based properties and phenomena, we have performed systematic in-situ deposition of adatoms onto graphene non-local spin valves. Atomic hydrogen induces magnetic moments in graphene that couple via exchange to the injected spin current. This coupling results in an exchange field which causes the spins to precess rapidly with an effectively enhanced electron g-factor. These results demonstrate the power of molecular beam epitaxy in realizing novel graphene properties and functionality through careful control over the key interfaces and proximity materials.
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Chapter 1

Spintronics

1.1 Introduction

Spin-based electronics, or spintronics, seeks to utilize the electron degree of freedom in order to perform logic operations or information storage. Over the years, many significant advances have been made in the field towards realizing commercial applications that can work either in place of or in conjunction with standard Si based electronics. In particular, the discovery of giant magnetoresistance (GMR) (Nobel Prize in Physics 2007) [1, 2] and tunneling magnetoresistance [3, 4, 5, 6] has revolutionized magnetic sensing technology and increased hard drive storage many orders of magnitude [7]. In practice, much of the current spintronics field is founded upon taking advantage of the built in spin polarized nature of ferromagnetic materials. In recent years there has been a push in trying to realize control over spins and spin dynamics in systems such as NV diamond centers [8, 9, 10] and quantum
Figure 1.1: DOS for Ferromagnetic and Non-magnetic Materials. a) Spin-dependent density of states (DOS) for a ferromagnetic material. b) Spin-dependent density of states for a non-magnetic materials. Number of spin up and spin down the below the fermi level and at the fermi level are equal for a non-magnetic material.

dots [11, 12, 13] to try to realize logic operation by coupling the spin degree of freedom with optical control [14]. There are several excellent reviews on the current status of spintronics [15, 16, 17, 18, 19, 20, 21, 22, 14, 23, 24, 25].

A simple model for a ferromagnetic material is displayed in Fig. 1.1 and compared with a non-magnetic material. In the Stoner-Wohlfarth picture [26], a ferromagnet can be understood in terms of the spin-dependent density of states (DOS), for which there is a spin imbalance when the number of up spins ($N_\uparrow$) exceeds the number of down spins ($N_\downarrow$). In this model, spontaneous magnetism arises from a spin imbalance in the net spin-dependent DOS ($N_\uparrow - N_\downarrow$) and the properties for spin injection are determined by the difference in the density of states at the Fermi level ($N_\uparrow(E_F) - N_\downarrow(E_F)$), where $E_F$ denotes the Fermi level in the material. This model works satisfactorily for understanding $d$-orbital based ferromagnets such as Co and Fe. In Rare earth based ferromagnetic materials, the magnetic moment lies in
VOLUME
to resistance
curves have
in FIG.
2.
This behavior can be understood in terms of RKKY coupling \[ J \sim \frac{1}{r^6} \] between the ferromagnetic layers. Itinerant electrons within the non-magnetic layer can couple the magnetizations of the adjacent ferromagnetic layers through exchange coupling, \[ H_{ex} = -J(\vec{M}_1 \cdot \vec{M}_2). \] The coupling favors parallel or antiparallel configurations depending on the sign of the exchange

Figure 1.2: Giant Magnetoresistance (GMR). **Left column:** Adapted from [1]. GMR effect in Fe/Cr superlattices. **Right column:** Cartoon schematic of GMR effect. a) Parallel configuration for two metallic ferromagnetic thin film layers with a non-magnetic metallic interlayer. Current path for resistance measurement is perpendicular to the plane (CPP). b) Antiparallel (AP) configuration of the GMR device generating a high resistance state.

the highly localized 4f shell. Rare earth magnetism and exchange will be discussed further in Chapter 3.

Fig. 1.2 (left column) displays the magnetoresistance effect in molecular beam epitaxy (MBE) grown Fe(001)/Cr(001) superlattices grown on GaAs(001). MBE is a materials growth technique that allows for high purity thin film materials with control at the atomic scale and is discussed in more detail in Chapter 2. The resistance is measured by driving a current perpendicular to the plane (CPP) of the superlattice. Focusing on the 9 Å thick Cr superlattice, the resistance is maximum at zero field and decreases as a magnetic field is applied.
coupling term, $J$. According to RKKY theory [26], $J$ depends strongly on the thickness ($d$) of the non-magnetic layer and can oscillate between positive and negative values depending on $d$, following the equation $J \propto \cos 2k_F d$. A cartoon schematic explaining the GMR effect in such exchange coupled FM/NM/FM superlattices is displayed in Fig. 1.2 (right column). Consider two channel (spin up or spin down) transport through this structure. A spin channel which is parallel (P) to the magnetization of a ferromagnetic layer will experience less scattering than a spin channel that is antiparallel (AP) to the magnetization. This can be modeled in a simple high (low) resistor circuit for AP (P) configuration. When the magnetizations, $M_1$ and $M_2$ are in an AP configuration there is an overall increase in the resistance compared to a P configuration for $M_1$ and $M_2$. Accordingly, the Fe/Cr superlattice with 9 Å of Cr has antiferromagnetically coupled Fe layers at zero applied field and therefore demonstrates a maximum in the resistance of the structure.

A related phenomena, known as tunneling magnetoresistance (TMR), occurs in similar structures for which the nonmagnetic metallic interlayer is replaced with an insulating material such as $\text{Al}_2\text{O}_3$ or MgO. For these heterostructures, transport is strongly dependent upon the spin-dependent tunneling probability between the two ferromagnetic layers [3]. This is schematically depicted in Fig. 1.3. The insertion of single crystal MgO(001) tunnel barriers into TMR devices proved to be a revolutionary advance in the field of magnetic head sensors. This is due to the special $\Delta_1$ spin filtering associated with Co/MgO, Fe/MgO, and CoFeB/MgO interfaces which can yield large TMR values above 400% [6, 5, 27]. Such large changes in the resistivity combined with advances in nanofabrication processes has made
Figure 1.3: Tunneling Magnetoresistance (TMR). a) Spin-dependent DOS for two adjacent ferromagnetic materials separated by an insulating layer in the parallel configuration. Tunneling probability is determined by spin-dependent DOS at the Fermi level. b) Spin-dependent DOS for two adjacent ferromagnetic materials separated by an insulating layer in antiparallel configuration. Tunneling probability is minimal due to the lack of available states to tunnel into at the Fermi level. *Note:* This is a simplified picture assuming 100% spin polarization at the Fermi level. Standard ferromagnetic materials (Co, Fe) have much lower polarization at the Fermi level when compared to half metallic materials such as EuO$_{1-x}$, LSMO, and Fe$_3$O$_4$.

TMR devices extremely important for the hard drive industry and have since replaced GMR as the industry mainstay.

As discussed above, spintronic devices are extremely important for data storage in industrial applications. However, spintronics for information processing is still being investigated from a fundamental point of view. The standard premise for a functional spin-based transistor consists of spin injection into a non-magnetic channel, transport along the chan-
nel, spin manipulation in transit, and spin detection. Such a device was first proposed by Datta and Das in 1990 [28] and is shown in Fig. 1.4. In this novel device, the proposed spin transport channel is the two dimensional electron gas (2DEG) that forms at InAlAs/InGaAs interfaces. One Fe contact provides a source for spin injection, while the other acts as an analyzer for spin detection in analogy to an electro-optic modulator. Due to inversion symmetry breaking, there exists Rashba type spin-orbit (SO) coupling at this interface. The Datta-Das spin field effect device (SpinFET or SFET) is based on the idea that spins in transit can be modulated through an applied gate voltage which can tune the Rashba SO coupling. Much of the work contained in this dissertation is aimed at trying to realize materials systems and routes for control over spin transport beyond the simple Datta-Das Rashba model.

First, spin injection from a ferromagnet into a non-magnetic material must be discussed. Fig. 1.5 shows the junction between a ferromagnetic metal and a non-magnetic metal. Spin-dependent properties within the FM and NM magnetic layers are best described by the spin-dependent chemical potentials ($\mu_{FM,\uparrow,\downarrow}$, $\mu_{NM,\uparrow,\downarrow}$) and average chemical potential ($\mu_{FM} = \frac{1}{2}(\mu_{FM,\uparrow} + \mu_{FM,\downarrow})$). Under an applied bias, the problem can be discussed in terms of Ohm’s
law and the continuity equation [16, 26]. At the interface, the average chemical potential is discontinuous due to a difference in the spin chemical potentials between the two materials caused by the presence of a polarization current. This potential drop at the interface is often called spin accumulation and relies on the principle of two different spin conduction channels and two materials with different electrical conductivities. Away from the interface, the spin-dependent chemical potentials decay exponentially with characteristic length-scales. This is the spin diffusion length, \( \lambda_{sf} = \sqrt{D\tau_s} \), where \( D \) is the diffusion constant of the respective materials and \( \tau_s \) is the spin lifetime. In figure 1.5, the spin diffusion length, \( \lambda_{sf} \), is denoted \( L_{sF} \) and \( L_{sN} \) for the ferromagnetic and non-magnetic materials, respectively. Away from the interface, \( \mu_{NM,\uparrow} \) and \( \mu_{NM,\downarrow} \) approach \( \mu_{NM} \) as the spin population relaxes with characteristic time that is \( \tau_s \) and characteristic length-scale, \( \lambda_{sf} \). It is of fundamental importance to better understand the nature of spin-relaxation in different materials in order to realize applicable SFET behavior.

Figure 1.5: Spin Injection at FM/NM Interface. **Top panel:** Schematic of the interface between a ferromagnetic metal (FM) and a non-magnetic metal (NM). **Bottom panel:** Adapted from [16]. Spin-dependent chemical potentials as a function of position at the interface of FM and NM materials.
Figure 1.6: The Johnson-Silsbee Experiment of Non-local Spin Transport. Adapted from [29].

In a pioneering experiment, Johnson and Silsbee [29] demonstrated a working lateral spin valve using permalloy (Py) electrical contacts to an Al channel in a non-local geometry (See Fig. 1.6). The picture of FM/NM spin injection based on spin-dependent chemical potentials discussed above is directly relevant for multiterminal electrical measurements. In particular, the CPP GMR measurements can also be understood in this way [26, 7]. The Johnson-Silsbee experiment for non-local spin transport was revisited in 2002 by Jedema (van Wees group) [30]. The non-local geometry has a particular advantage over other electrical measurements in that it separates out contributions from spin and charge transport and can probe the spin properties \((\lambda_{sf}, \tau_s)\) of the transport channel.

Fig. 1.8 (left column) a) displays a scanning electron microscope (SEM) image from the Jedema experiment of a non-local spin valve fabricated using modern e-beam lithography techniques [30]. The device uses Co electrodes contacted to an aluminum channel with \(\text{Al}_2\text{O}_3\) tunnel barriers inserted between the Co and Al. The electrical schematic for the measurement is displayed in Fig. 1.8 (left column) b). In the four terminal non-local measurement, the current path is separated from the voltage detection points. As shown in
The figure, Current is injected through one of the inner ferromagnetic electrodes and flows to the left. Underneath the ferromagnetic contact, spins are injected into the Al channel. The picture for spin injection and accumulation is comparable to the one discussed previously. Below the contact there is a positional dependence of the spin-dependent chemical potential. For any quantity that exhibits a gradient in the concentration as a function of position, diffusion can be expected to occur. Thus, to the left, spins undergo drift and diffusion. To the right, pure spin diffusion occurs along the Al channel. Since the detection measurement is an
electrical probe, it is sensitive only to voltages underneath the contacts. By employing ferromagnetic contacts, the voltage measurement probes the spin-dependent chemical potential depending on the relative orientation of the dominant spin axis and the magnetization vector of the ferromagnetic electrode.

Fig. 1.8 (left column) c) displays the spin dependent chemical potential (dashed lines) and the averaged chemical potential (solid line) as a function of position in the Al channel. $x = 0$ corresponds with the location of the injector electrode. The detector is located a distance, $L$, away from the injector electrode. To the left of the injector electrode ($x < 0$), the chemical potential exhibits a linear slope due to presence of an applied bias in the current loop. To the right, the averaged chemical potential is a flat line because there is no net electrical current being driven. However, as discussed previously, there is a net non-zero imbalance in the spin dependent chemical potential due to spin injection at $x = 0$. This is characterized by the spin injection polarization in the Al at $x = 0$ and depends on the spin polarization and the injection efficiency across the junction.

Spins are transported along the channel in the positive $x$ direction due to diffusion. During transit, the spin population can relax with a characteristic time scale, $\tau_s$, and the spin-dependent chemical potential decays exponentially as $\mu_{\uparrow,\downarrow} \propto e^{-L/\lambda_s}$. Therefore, the ferromagnetic electrode at $x = L$ measures the spin voltage that has decayed relative to $x = 0$. Nevertheless, a voltage can be measured as long as the ration $L/\lambda_{sf}$ is not too large. The spin dependent chemical potential at $x = L$ is experimentally measured in reference to another voltage probe located at $x \to \infty$. If the magnetization of the injector electrode is parallel
(antiparallel) to the magnetization of the detector, then a positive (negative) voltage will be measured. Due to the shape anisotropy of the Co wires, the magnetization vector points in plane along the axis (y-axis) of the wire. An applied field along the wire axis (y-axis) can control the magnetization of the electrode, which switches its magnetization at the coercive field, \( H_C \). If the two ferromagnetic electrodes (injector and detector), are made to have different widths, then the will have different coercivity as the shape anisotropy has been altered. A narrower wire will have a larger coercivity than a wider wire. By engineering the coercivities of the injector and detector, there exists an applied field range in which there will be both parallel and antiparallel alignment of the magnetization vectors. Therefore, measurements of the non-local voltage as a function of in plane field (y-axis sweep) will generate characteristic two state spin voltage signals, \( V_P \) and \( V_{AP} \), for parallel and antiparallel, respectively. This is demonstrated in Fig. 1.6 and 1.8 (right column) a). A non-local resistance can be defined as \( \Delta R_{NL} = (V_P - V_{AP})/I \), where I is the injected current. In relatively thin films or 2D transport layers such as graphene, the non-local spin transport is well described by the Takahashi and Maekawa 1D equation [31],

\[
R_{NL}^{(P/AP)} = \pm 2 R_{SE} \left( \prod_{i=1}^{L} \left( \frac{P_i R_i}{1 - P_i^2} + \frac{P_F R_F}{R_S} \right) \right) \times \left[ \prod_{i=1}^{L} \left( 1 + \frac{2 R_i}{1 - P_i^2} + \frac{2 R_F}{R_S} \right) \right]^{-1}
\]

\(1.1\)

where \( R_S = \rho \lambda_{sf}/w \) is the spin resistance of transport layer, \( \rho \) is the channel resistivity, \( w \) is the channel width, \( R_F = \rho_F \lambda_F/A_J \) is the spin resistance of the ferromagnet, \( \rho_F \) is the ferromagnet resistivity, \( \lambda_F \) is the ferromagnet spin diffusion length, \( A_J \) is the junction area,
equation to zero spin signal. Such spin precession measurements can be fit using the non-local Hanle orientations. Therefore, at high applied fields, the entire spin population is dephased leading to zero spin signal. Such spin precession measurements can be fit using the non-local Hanle equation [32, 25, 33, 34],

\[ R_{NL} = S \int_0^\infty e^{-L^2/4Dt} \cos \left( \frac{g_e \mu_B B_{app,z}t}{\hbar} \right) e^{-t/\tau_s} dt \]  

(1.2)

Figure 1.8: Hanle Spin Precession in Non-local Metallic Spin Valves. Adapted from [30]. Hanle curve of non-local spin signal due to spin injection from Py into Al at T=4.2 K.

\[ P_F \] is the spin polarization of ferromagnet, \( P_J \) is the spin injection polarization, \( R_1 \) and \( R_2 \) are the contact resistances of the spin injector and detector.

Instead of sweeping an in-plane magnetic field, application of an out-of-plane field causes the injected spins to precess as they diffuse along the channel. This technique is called Hanle spin precession. Since the transport is a diffusive process, there is a distribution of arrival times at the detector electrode which gives a distribution of relative spin orientation at the detector. The voltage measurement is not sensitive to individual spins at each arrival time, but instead measures the entire packet distribution and essentially averages the net spin orientations. Therefore, at high applied fields, the entire spin population is dephased leading to zero spin signal. Such spin precession measurements can be fit using the non-local Hanle equation [32, 25, 33, 34],
provides values of spin lifetime ($\tau_s$), diffusion coefficient ($D$), Hanle amplitude ($S$), and spin diffusion length ($\lambda_{sf} = \sqrt{D\tau_s}$).

Using the non-local technique, the spin diffusion lengths have been determined for Al, Ag, Cu, Au, and Pt. The corresponding diffusion lengths at room temperature are $\lambda_{sf,Al} \sim 350$ nm [30], $\lambda_{sf,Ag} \sim 300$ nm [35, 36], $\lambda_{sf,Cu} \sim 350 - 400$ nm [37, 38], $\lambda_{sf,Au} \sim 100$ nm [39], and at $T=4$ K, $\lambda_{sf,Pt} \sim 10$ nm [40]. Typical spin lifetimes range between 1 and 10 ps [26, 30]. For ferromagnetic metals (Fe, Co, Ni, Py), the spin diffusion lengths are generally in the range of 5 to 50 nm [26].

1.2 Graphene for Spintronics

1.2.1 Introduction to Graphene

Graphene is a two dimensional sheet of carbon atoms arrayed in a honeycomb lattice and is based on the same sp$^2$ bonded layers that make up graphite. In 2004, graphene was first isolated by a mechanical exfoliation technique developed by Novoselov and Geim [41] and was subsequently shown to have excellent mechanical and electrical properties [42, 43, 41, 44, 45, 46]. For these advances in the field of 2D materials, Novoselov and Geim were awarded the 2010 Nobel Prize in Physics [47]. The mechanical exfoliation technique cleaves ultrathin graphite and graphene from highly oriented pyrolitic graphite (HOPG) or Kish graphite. Due to the weak interplane van der Waals force, sheets of graphene can be readily removed by a simple peeling technique with scotch tape and has since become known
as the ‘scotch-tape’ method. The graphene sheets can then be placed on SiO$_2$/Si substrate which due to special optical properties of the 300 nm SiO$_2$ layer, allows for the graphene flake to be viewed under an optical microscope, despite being 1 atom thick with weak absorption of light in the visible range [42]. This technique has the advantage of providing high quality single crystal and relatively clean flakes for device fabrication. In such FET devices, the SiO$_2$ acts as a dielectric layer for the heavily p-doped Si back gate. Alternative to mechanical exfoliation, there have been recent advances in production of large area graphene (LAG) through chemical vapor deposition (CVD) [48, 49], which has greatly increased graphene’s viability for commercial applications.

The graphene lattice is displayed in Fig. 1.9 (top center). Graphene made of carbon atoms arrayed in a triangular lattice, where each carbon atom in the A sublattice has a corresponding basis carbon atom in a triangular B sublattice. A carbon atom in the A (B) sublattice is covalently bonded to 3 nearest neighbor carbon atoms in the B (A) sublattice. The electronic properties of graphene are well described through tight-binding model [43]. In this model, electronic transport is determined by hopping energies, $t$ and $t'$, for nearest neighbor atoms and next-nearest neighbors (NNN), respectively. Solutions to the tight binding Hamiltonian [43] yield the electronic dispersion,

$$E(k) = \pm \hbar v \sqrt{3 + 2 \cos 3k_ya + 4 \cos \frac{3}{2}k_xa + O(t')}$$ (1.3)
where \( a = 1.42 \, \text{Å} \) is the magnitude of one of the real space lattice vectors. The NNN hoping term, \( t' \), is thought to be 1 to 2 orders of magnitude smaller than \( t \) and is generally ignored.

The dispersion is displayed in Fig. 1.9 (bottom) and vanishes at the six corners of the Brillouin zone. These points are the K and K' points, which arise due to the AB sublattice. Expanding equation 1.3 around the K or K' yields,

\[
E(k) = \pm \frac{3ta}{2} k = \pm \hbar v_F k
\]  

(1.4)

**Figure 1.9:** Graphene Lattice and Band Structure. Adapted from [43]. **Top left:** Graphene is the basic building block for other important sp\(^2\) bonded carbon materials. Stacking of graphene produces graphite, rolling graphene produces carbon nanotubes, and wrapping produces fullerenes. **Top center:** Real space diagram of the graphene in a triangular lattice. Yellow atoms represent the A sublattice and blue atoms represents the B sublattice. **Top right:** First Brillouin zone of graphene in reciprocal space. Dirac cones exist at the K and K' prime points that are related to the AB sublattice. **Bottom:** The band structure of graphene in reciprocal space. Around the K and K' points the band structure is characterized by cones with linear dispersion.
which is known as the Dirac equation for graphene. $v_F = 1 \times 10^6$ m/s is the Fermi velocity and is independent of both energy and momentum, which is a unique property of Dirac materials. Notably, the dispersion is linear and sweeps out a circular cone in k space around the K and K’ points as shown in Fig. 1.9. The top Dirac cone represents the conduction band, while the lower band is the valence band. There is no band gap, which suggests that graphene is best described as a semimetal. Under an applied gate voltage the Fermi level can be tuned from electron to hole type carriers. This effect is demonstrated in Fig. 1.10 a). Due to the large Fermi velocity, is reasonably expected that graphene should have relatively high mobility. Indeed, it has been found that typical graphene FET devices exfoliated on SiO$_2$ have mobilities in the range of 1000 to 20,000 cm$^2$/Vs [41, 50, 51]. Recently, the mobility of graphene devices has been dramatically improved by either suspending the graphene or by placing the graphene on hBN, which has yielded mobilities upwards of 500,000 cm$^2$/Vs [52, 53, 54, 55, 56].

Because the dispersion does not depend on mass, electron or hole type carriers are thought to behave as relativistic, massless Dirac fermions. Therefore, graphene serves as a platform for investigating ‘tabletop’ relativistic physics. In particular, there have been exciting reports of the integer quantized Hall effect (QHE) [44, 45], fractional QHE [52, 57], and Klein tunneling [58, 59, 60]. The observation of the quantum Hall sequence in graphene by the Geim and Kim groups in 2005 [44, 45] was the first conclusive evidence for massless Dirac fermions in graphene (see Fig. 1.10 b)). The Hall conductivity for graphene follows the
Figure 1.10: Ambipolar Field Effect Behavior and Quantum Hall Effect in Graphene. a) Resistance of a graphene field effect device as a function of back gate voltage. Maximum resistance corresponds to the Dirac point where the Fermi level is expected to cross from electron to hole type carriers. Adapted from [42]. b) Quantum Hall effect in graphene. Anomalous quantization of the Hall conductivity is evidence for 2D massless Dirac fermions in graphene. The inset shows the QHE sequence for bilayer graphene. Adapted from [44].

equation, $\sigma_{xy} = (n + \frac{1}{2})\frac{4e^2}{\hbar}$, with QHE sequence of $\pm 2, \pm 6, \pm 10$ in conductance units of $e^2/h$.

1.2.2 Spin Transport in Graphene

As discussed above, the linear dispersion of graphene produces interesting and unique properties that are attractive for electronic devices including ambipolar FET behavior and high mobility. From a spin transport point of view, graphene, which is made up of a light element material, is expected to have weak spin orbit coupling [61, 62, 63]. Further, there is low abundance of $^{13}$C nuclear carbon [64], which would suggest weak hyperfine coupling as well. The low intrinsic spin-orbit coupling and weak hyperfine coupling, combined with
precession measurements. It was found that graphene had a relatively long (compared to
from the van Wees group [32].

Figure 1.11: Demonstration of Spin Transport in Graphene. Adapted from [32]. Left column: a) SEM image of an exfoliated graphene flake contacted with Co ferromagnetic electrodes. Electrodes fabricated using e-beam lithography. b) Cross section schematic indicating the SiO$_2$/Si substrate, graphene flake, Al$_2$O$_3$ layer, Co contacts, and electrical connections for the non-local measurement. Right column: Non-local magnetoresistance demonstrating spin transport in single layer graphene.

high mobility, gives graphene great potential for long spin lifetimes and long spin diffusion
lengths.

The foundational work in the field of graphene spintronics is the Tombros 2007 paper
from the van Wees group [32]. Around this time, there were three other reports suggesting
spin transport in graphene in two terminal (local) spin valves [65, 66, 67]. However, in
the local geometry, it is difficult to distinguish a real graphene signal from an artifact such
as anisotropic magnetoresistance (AMR). The big success of the Tombros paper, was the
demonstration of room temperature local and non-local spin transport along with Hanle spin
precession measurements. It was found that graphene had a relatively long (compared to
metals and semiconductors at room temperature) spin diffusion length, $\lambda_{sf} = 1 - 2 \mu$m.
Further, the spin-lifetime was determined to be in the range of 100 to 170 ps. In the same
time period, the Fuhrer group demonstrated non-local spin transport at low temperatures in
narrow graphene channels for which the data was interpreted in terms of quantum transport behavior [68]. These five works constitute the beginning of the field of graphene spintronics, while the Tombros paper [32] is generally considered to represent the best evidence for spin transport.

Since the original work by the van Wees group, there have been several experimental milestones in the field over the past 5 years. This includes studies of spin relaxation mechanisms in single layer graphene (SLG) on SiO₂ [69, 70, 71, 72, 73], electron hole asymmetry [74], tunneling spin injection [71], spin transport in bilayer [72, 75] and multilayer graphene [67, 76], spin transport in CVD grown graphene [75] and epitaxial graphene [77, 78, 79], as well as spin transport and relaxation in suspended graphene [80, 81] and graphene on hBN [82].

Much of the focus has been on trying to understand why the spin lifetimes are so short. While the spin diffusion length at room temperature is the longest in any material, it is still much shorter than expected and owes it’s large value mainly to graphene’s high mobility, which yields a relatively high diffusion constant, \( D \). Following the Tombros paper, spin
relaxation mechanisms in graphene on SiO$_2$ were investigated by taking advantage of the FET behavior [69, 70, 72, 73]. Control over the back gate allows for the carrier density in graphene to be tuned. At higher carrier concentrations, the conductivity increases. The conductivity, $\sigma$, is directly related to the diffusion constant, $D$, through the Einstein relation,

$$D = \frac{\sigma}{e^2 \nu}$$

(1.5)

where $e$ is the electron charge and $\nu$ is the graphene two dimensional DOS. Further, $D$ is directly related to momentum scattering time. Therefore, by simply sweeping the back gate it is possible to realize different charge scattering conditions in the graphene layer. Measuring the spin lifetime at different gate voltages allows for momentum scattering to be compared with what happens in the spin properties of the graphene. Indeed, as shown in Fig. 1.12, several experiments have investigated the relationship between the spin lifetime and the diffusion constant using the FET properties of graphene [69, 70, 72]. The data of Han [72] and Popinciuc [69] clearly demonstrate a linear relationship between $\tau_s$ and $D$, while the data of Jozsa [70] indicates a sublinear dependence of $\tau_s$ on $D$. Regardless, the FET technique clearly demonstrates a positive correlation between the spin lifetime and the momentum scattering.

There are two mechanisms for spin relaxation that are thought to be important for graphene [75, 72, 83, 84, 85, 86, 87, 88, 70, 69, 89]. They are the Elliot-Yafet (EY) [90, 91] and D’yakonov-Perel (DP) mechanisms [92]. The EY mechanism can be understood in terms of a finite probability for spin-flip scattering due to the presence of some scattering source
There are many possible sources of spin relaxation including charged impurity (CI) scatterers [83, 84], Rashba SO coupling due to adatoms [85, 93, 86], ripples [87, 88], and edge effects [84]. For EY, each scattering event will cause momentum scattering and some probability for the spin to flip. Therefore, the more scattering sources that exist in the sample (i.e. increased defects), there will be increased momentum scattering and spin-flip events, which generates a linear relationship between the spin lifetime and the momentum scattering.

The DP mechanism stems from the presence of a spin-orbit field [92, 20] as opposed to relying on spin flipping during a scattering event. In this picture, a spin is continuously precessing due to the spin orbit field and the precession depends on the electron $k$ vector. Such a spin-orbit field tends to cause dephasing of an ensemble spin polarization. Scattering events which do not conserve momentum, cause the spin to precess around a different spin-orbit field axis. As the scattering events are increased and due to the randomness of the momentum scattering process, the spin polarization can be conserved. Thus, the momentum scattering can be related to the spin lifetime by the relation, $\tau_s \propto 1/\tau_p$.

Therefore, the FET experiments discussed above [70, 69, 72], which demonstrate a positive correlation between $\tau_s$ and $D$ suggest that the EY mechanism is important for graphene. However, it has been shown by Ochoa et al. [84] that $\tau_s = (E_F)^2\tau_p/(\Delta_{SO})^2$, where $E_F$ is the Fermi level, $\Delta_{SO}$ is the spin orbit coupling. $\tau_p$ is the momentum scattering time and represents the time between scattering collisions, which is related to the diffusion constant. Thus, there is a fundamental issue with the principle of tuning momentum scattering through the
back gate voltage and this is because the fermi level is also being modified. Further, several recent theoretical reports have called the FET technique into question and suggest that the DP mechanism should dominate over EY in graphene [88, 89, 84]. The issue of spin relaxation in graphene is taken up in Chapter 9.

A milestone in the field of graphene spintronics was the development of smooth MgO films for tunneling spin injection into SLG [67, 71, 34]. As shown in Fig. 1.13 b), MgO deposited onto graphene results in rough films with many pinholes. On the other hand, by depositing a 0.5 ML Ti seed layer (Fig. 1.13 a)), the subsequent MgO film becomes atomically smooth as shown in the AFM image in Fig. 1.13. It was also demonstrated that the post oxidation of such Ti seed layers also results in atomically smooth MgO with the added advantage of insulating behavior, which is crucial for application in a tunnel barrier. Transport measurements conclusively demonstrated that submololayers of oxidized Ti do not introduce significant charge transfer (doping) or a large decrease in the mobility [94]. Oxide growth on graphene is a challenging field due to graphene’s chemically inert nature and low surface en-
Figure 1.14: Tunneling Spin Injection into SLG at RT. **Left panel:** Adapted from [71]. a) Schematic of the fabrication of high quality tunnel barriers and Co ferromagnetic electrode. Angle evaporation into the undercut using a thick MgO masking layer grown at normal incidence allows for decreased tunneling spin injection area. The final grown structure for the tunnel barrier is shown in b). **Right panel:** b) 2 probe I-V curve using tunneling contacts to graphene. Non-linear I-V is characteristic of non-ohmic behavior. c) 3 terminal contact resistance measurement demonstrates sharp tunneling behavior with a junction resistance at low bias above 100 kΩ. d) Demonstration of non-local spin transport with tunneling spin injection at room temperature. The non-local signal, $\Delta R_{NL}$, is 130 Ω, the largest of any material.

The issue of oxides on graphene is critical to trying to realize new phenomena through spin based proximity interactions and is taken up in Chapters 4 and 7.

In 2010, Wei Han demonstrated tunneling spin injection into single layer graphene [71]. Fig. 1.14 (left panel) shows the special growth using angle evaporation and the TiO$_2$ seed layer. In order to achieve high materials quality and control over thicknesses in the sub-monolayer range, MBE is employed as it allows excellent control over materials at the atomic scale. Angle evaporation of a thin MgO layer (0.9 nm) at an angle of 9° into the undercut of the MMA/PMMA bilayer and a second evaporation step of 3 nm MgO at normal incidence creates an MgO tunnel barrier structure that will be dominated by tunneling only in a small junction area near the undercut. Evaporation of the ferromagnetic electrode, in this case Co, into the undercut at 7° ensures that spin will be injected through the thin 0.9 nm MgO...
layer but keeps the Co from direct contacting the graphene. The I-V characteristics of such contacts are shown in Fig. 1.14 (right panel) b) and c). Two probe I-V shows non-linear behavior indicating that the contacts are non-ohmic. A three terminal measurement can shed light on the contact resistance of the contacts. Following the schematic in the inset of Fig. 1.14 d), the three terminal configuration consists of passing current from electrode $E_2$ to $E_1$, with $E_1$ acting as electrical ground. A voltage can be measured between $E_2$ and $E_4$. Thus, Only the Co wire and tunnel barrier share the current path and voltage detection, which yields a resistance measurement that is sensitive to the contact resistance of $E_2$. Applying an AC current and sweeping a DC current bias while measuring the AC voltage response using lock-in techniques, is a differential measurement and can be plotted as $\frac{dV}{dI}$ against the DC current bias, $I_{DC}$. Fig. 1.14 (right panel) c) displays the $\frac{dV}{dI}$ contact resistance measurement typical for a tunneling contact using the special angle evaporation, TiO$_2$ seed layer, and MBE growth. Subsequently, devices with such contacts were shown to have the largest non-local magnetoresistance at room temperature of any material, $\Delta R_{NL}$, is 130 $\Omega$. Accordingly, the spin injection efficiency was greatly improved. Previously, typical devices were characterized by $P_J < 0.15$, whereas the tunneling contacts demonstrated $P_J = 0.3$, which is approaching the intrinsic polarization value in Co ($P_F = 0.35$). At low temperatures, both local and non-local spin transport can be observed.

Tunneling, or high resistance contacts, have important implications in spin relaxation measurements [71, 72]. Fig. 1.15 shows the Hanle spin precession measurements performed on devices with ohmic, pinhole, and tunneling contacts. Ohmic and pinhole contacts gener-
ally yield spin lifetimes in the range of 80 - 200 ps. On the other hand, long spin lifetimes up to 1 ns can be realized with the tunneling contacts developed by Wei Han [71]. This indicates that for ohmic and pinhole contacts that there exists extra contact induced spin relaxation which appears to generate low spin lifetimes in Hanle measurements. For Co directly in contact with the graphene layer, injected spins can readily flow back into the Co contacts due to the lower spin resistance of the ferromagnet compared to the graphene [71, 25]. This is often discussed in terms of an escape time such that the total spin lifetime, $\tau_{\text{total}}$, is given by, $(\tau_{\text{total}})^{-1} = (\tau_{sf})^{-1} + (\tau_{\text{escape}})^{-1}$. There is also the possibility of additions spin-flip scattering underneath the ferromagnetic contact. Insertion of a tunnel barrier eliminates the back flow of spins into the ferromagnet and yields longer spin lifetimes [71, 95] and should also reduce spin-flip scattering due to Co at the interface. There is also the possibility that stray magnetic fields caused by roughness of the bottom Co interface introduces additional dephasing under the contacts. This issue is known to be important for semiconductors [96].

Figure 1.15: Comparison of Hanle Spin Precession Measurements for Tunneling, Ohmic, and Pinhole Contacts to Graphene.
but it’s relevance for graphene is unclear and likely depends on the Co/MgO interface. In the case of the TiO$_2$ seeded MgO, the MgO surface is atomically smooth which should limit the stray field dephasing. Contact induced spin-relaxation is still remains a highly debated topic [71, 72, 34, 25, 97, 76, 77, 80, 82, 95]. Tunneling spin valves as developed by Wei Han [34] are used exclusively for this thesis in chapters Chapters 4 and 7.

### 1.3 Spin Transport in Candidate Materials

Beyond metals and semimetals, there is extensive interest in understanding spin injection and transport in other candidate materials including semiconductors [15, 19, 20, 98, 99, 100, 101, 102], carbon nanotubes, organics, and oxide interfaces. In particular, semiconductor spintronics has been a highly active field partly due to their importance in modern information processing, which makes them ideal for integrating spintronics with charge based processing. Further, semiconducting materials have demonstrated long spin lifetimes [15, 103, 19, 20]. From a fundamental point of view, semiconducting materials exhibit a wide variety of spin-related properties which motivate new and exciting physics including optical orientation [104], polarization of nuclear spins [104, 105], interfacial states, band bending, and spin-orbit coupling [100]. In particular, GaAs has a direct band gap which allows for optical probes of the spin state [104, 103, 98, 99, 106, 101], has long spin lifetimes despite the presence of a strong nuclear bath and large spin-orbit coupling, and was also the first material to demonstrate the spin hall effect [100]. Further, spin injection can be achieved both
electrically [98, 99, 101, 102] and through orientation of photoexcited carriers due to the optical selection rules [104, 103]. Spin injection into GaAs has been detected through optical probes using the Faraday or Kerr effect [103, 101], electrically [102, 107], and through spin polarized emission using a quantum well structure (spin LED) [98, 99, 108].

Semiconductor spintronics is a rich field for which spin injection and detection have been intensively studied, but control over spin properties during transit remains a difficult problem. While graphene readily exhibits FET behavior due to it’s 2D nature, electric field control over spin transport in semiconducting channels is generally discussed in terms of Rashba SO coupling. Indeed, this is the fundamental idea proposed by Datta and Das in 1990 [28]. Since that time there has been an exciting experimental report demonstrating a functioning spin-FET [109]. In this dissertation, it is proposed that control over spin transport can be achieved through exchange coupling between the GaAs transport channel and a ferromagnetic insulator. Use of the ferromagnetic insulator as a gate dielectric could potentially lead to control over either the carrier density at the interface or wavefunction overlap. Thus, it is important to develop FMI/SC materials systems. Few ferromagnetic insulators exist, particularly when compared with the abundance of metallic ferromagnets and oxide antiferromagnets. Here it is proposed to use the ferromagnetic insulator EuO as the gate dielectric. Chapter 3 discusses the properties of EuO and in Chapter 5 the growth of high quality single crystal EuO on GaAs is presented.

2D oxide interfaces are an emerging system of interest for spintronics and spin transport [110, 111, 112, 113]. In 2004, Ohtomo and Hwang realized charge transport with high
mobility at the interface of LaAlO$_3$ and SrTiO$_3$ which has been attributed to the polar catastrophe mechanism [110]. Since then the LaAlO$_3$/SrTiO$_3$ quasi-2D system has demonstrated many interesting phenomena including superconductivity [114, 115]; gate-tunable transport properties including superconductivity [114], mobility [116], confinement [116], and spin-orbit coupling [117, 118]; and the coexistence of magnetism and superconductivity [119]. Low dimensional conductive channels have been realized in other oxide systems including the Mg$_x$ZnO$_{1-x}$/ZnO [120] and $\delta$-doped SrTiO$_3$ [121, 122]. To date few spin-based studies in these systems exist. There have been predictions for low spin-orbit coupling and long spin lifetimes [123] as well as interesting predictions for a 100% spin-polarized 2DEG at the interface of LaAlO$_3$ and EuO [124, 125]. Experimentally, there exists a single study of three terminal Hanle spin injection from Co into localized states inside the LaAlO$_3$ layer of the LaAlO$_3$/SrTiO$_3$ system. Chapter 6 discusses the growth of high quality EuO on TiO$_2$ perovskite planes which is of direct relevance to the field of spintronics and opens up many possibilities for future spintronics applications in oxide systems.

1.4 Conclusion

Here I present my dissertation on trying to realize novel spin-based phenomena in candidate spintronic materials including graphene, oxide systems, and graphene by taking advantage of the atomic scale control provided by MBE. In Chapter 1, I have introduced the field of spintronics with a focus on the topics that are directly relevant to the chapters that follow.
In particular I have discussed non-local spin transport and Hanle spin precession with a focus on graphene spintronics. I have also introduced GaAs as an important spin transport material and discussed routes towards trying to realize spin manipulation. Spin-based phenomena in low dimensional oxide interfaces is an emerging field with few theoretical and experimental results but shows great promise as a future materials system for studies of spins. Spin-based phenomena and magnetism depend fundamentally on interactions driven by quantum mechanics, leading to fascinating new behavior as the size of designed heterostructures and devices approaches the length scale of the relevant interactions.

To achieve these new and exciting behaviors, it cannot be emphasized enough that atomic scale control over materials synthesis is absolutely critical. Molecular beam epitaxy (MBE) is a materials growth technique which renders growth quality and control on this scale. In Chapter 2, I introduce MBE and discuss basic experimental details as well as thin film characterization techniques and probes of graphene properties through adatom deposition, which will serve as necessary background information for the subsequent chapters. Chapter 3 introduces the ferromagnetic insulator EuO. Chapter 4 discusses spin-based proximity induced phenomena. My work on realizing high quality growth of EuO on GaAs is presented in Chapter 5. Next, I present in Chapter 6, a progressive materials achievement towards realizing interesting spin-based behavior at low dimensional oxide interfaces by templated growth of EuO on TiO₂ perovskite planes. Next, in Chapter 7, I demonstrate epitaxial and atomically smooth growth of the ferromagnetic insulator EuO on HOPG and graphene. After examining the potential for realizing high quality materials growth and integrating EuO onto candidate
spintronics materials, the focus shifts towards investigating novel spin behavior in graphene through submonolayer MBE deposition. In these studies non-local spin valves are used to probe the spin properties of the graphene layers. Chapter 8 presents groundbreaking work on magnetic moment formation in graphene through hydrogen adsorbates and lattice vacancies. Amazingly, these systems exhibit exchange coupling to the conduction electron spins and for the first time, demonstrates exchange fields in graphene. Last, spin relaxation mechanism in graphene are discussed in Chapter 9.
Chapter 2

Molecular Beam Epitaxy

2.1 Introduction to Thin Film MBE

Molecular Beam Epitaxy (MBE) is a materials synthesis technique that allows for excellent control over atomic scale growth. It can be thought of as spray painting atom by atom and can therefore be used to create high quality crystalline films that are easily controlled at the most basic level. Epitaxy is concerned with achieving the ordering of deposited overlayers relative to a crystalline substrate. This technique, developed in the 1960’s and 1970’s, began by investigating ways of growing semiconducting films for the fast growing electronics industry [126, 127, 128, 129, 130, 131, 132, 133]. One of the key foundational works by Arthur in 1969 investigated the growth of GaAs films and found that flux matching between Ga and As, when the substrate is held at elevated temperatures, does not determine the growth process [129, 126]. In fact, above \( \sim 400 \, ^\circ C \), As readily desorbs from the GaAs
surface, allowing for a regime in which by simply overpressuring with As, the entire Ga flux would bond and form GaAs. This technique, now called distillation, was one of the first works in the field of MBE, before that term had even been coined and before in-situ diffraction techniques had been developed that could monitor the crystal surface during growth. Key achievements in the following time period included graded doping and the development of GaAs/Al$_x$Ga$_{1-x}$As heterostructures which eventually led to the realization of quantized electronic structures [132]. In particular, such developments were directly responsible for many scientific advances in condensed matter physics and nanoscience including the samples which demonstrated the fractional quantum Hall effect [134, 132, 133].

At the time, the combination of growth process with surface characterization such as Auger spectroscopy and reflection high energy electron diffraction (RHEED), which can investigate thin film properties, was a major breakthrough in the field. Since then, serious advances have been made particularly by the development of atomic scale microscopy techniques such as scanning tunneling microscopy (STM) along with scanning tunneling spectroscopy (STS) and its relatives atomic force microscopy (AFM), conductive tip AFM, and magnetic force microscopy (MFM). These techniques have scaled down the range of study from the thin film layer-by-layer growth to investigating the growth process within a single film from nucleation of islands to adsorbates as well as offering direct information on adsorbates, passivation, defects, and reconstructions. Further, STM is a powerful tool capable of detecting the electronic structure at the atomic scale and evidence of its capability is demonstrated in the 1992 discovery of the quantum corral [135] which was able to directly
image electronic wavefunctions on surfaces with atomic scale spatial resolution. MBE began as an experimental technique to investigate the potential for semiconductor transistors and has since blossomed into a diverse and important tool for oxides, organics, metals, semiconductors. This is especially crucial in light of downward scaling in size of electronic devices calling for the need to engineer and understand novel heterostructures and two dimensional systems. In particular, the field of oxide growth and heterostructures has blossomed in the last 15 years [136, 137, 112]. Understanding growth at the atomic scale in these materials systems and engineered heterostructures is important for many applications including electronics, spintronics, opto-electronics, plasmonics, chemical self-assembly, and the integration of these diverse fields.

2.2 MBE Basics

2.2.1 Introduction to Vacuum Chambers

Molecular Beam Epitaxy relies on several key ideas: Ultra high vacuum (UHV), vapor phase ‘beams’ of material to deposit, a substrate mount capable of heating, and in-situ characterization techniques. UHV refers to pressures less than \(1 \times 10^{-9}\) Torr. MBE is mainly concerned with realizing epitaxial growth of ordered films on top of crystalline substrates. A typical MBE system is shown in Fig. 2.1. A standard MBE system should consist of three separate chambers, two of which should be considered UHV. The ‘main chamber’ contains the growth evaporators, gas sources, mechanical shutters to block the beams, substrate
mount (‘manipulator’) capable of positioning the sample and heating the substrate. The sub-
strate should be able to face the evaporators and rotate (90 ° or 180 °) for sample transfer.
The main chamber in the Kawakami group also has a cryo-panel behind the manipulator
to allow for the beams to condense. Also, the chamber has a deposition monitor mounted
on a UHV linear stage that can be placed in front of the sample to take the deposition rate
of the evaporated material. Lastly, the main chamber is equipped with a characterization
tool capable of monitoring the growth in real time such as RHEED. An adjacent chamber,
sometimes called the buffer, characterization, or analysis chamber, exists to isolate the main
chamber from the ‘load lock’ as well as house any desired surface analysis techniques such as
Auger electron spectroscopy (AES), low energy electron diffraction (LEED), $\mu$LEED, x-ray
photoemission spectroscopy (XPS), and possibly x-ray diffraction (XRD) or angle-resolved
photoemission spectroscopy (ARPES). Also, the buffer chamber may be equipped with a
heater for degassing of sample holders and substrates, and possibly a sample storage stage.
The buffer chamber should be UHV or nearly UHV. Lastly, the load lock is a high vacuum
system capable of pumping to $1 \times 10^{-8}$ Torr for which samples can be easily loaded or re-
moved by venting the chamber. When pumped down, the load lock should be well evacuated
to minimize the introduction of water vapor and other undesirable residual gases to the buffer
chamber, which could then subsequently enter the main growth chamber. Important compa-
nies for UHV related parts and equipment are: MDC vacuum, Kurt J Lesker, Thermionics,
Nor-Cal Products, Gamma Vacuum, Varian, Pfeiffer (Germany), VST, Heat Wave Labs, Alfa
Aesar, American Elements, HEFA Rare Earth (China, Canada), Lakeshore, Omega, Hos-
Figure 2.1: Typical MBE Chambers. a) Schematic of a typical MBE chamber. Adapted from [126]. b) The MBE chamber in the Kawakami group as viewed from the back where the evaporator cells are located.

2.2.2 Vacuum Basics

An MBE chamber consists of a stainless steel enclosed space of varying size, usually between roughly 1 ft. and 5 ft. in diameter for standard research applications. Our two main chambers have been custom designed for particular capabilities and then manufactured by Thermionics or MDC Vacuum. Here I discuss vacuum chamber basics that are relevant...
for the Kawakami group main chamber where chamber parts and materials must be able to handle moderate temperatures and oxygen environments ($P_{O_2} < 5 \times 10^{-7}$ Torr). In regards to materials that are generally used for building of chamber parts, it is recommended that only stainless steel (SS), tantalum, molybdenum, titanium, and ceramics are used for building UHV grade parts. Ta, Moly, and Ti should be of relatively high quality. While aluminum is easy to machine, it readily outgases under vacuum and makes it impossible to reach ideal UHV pressures. Stainless steel works well as chamber walls and most chamber parts but cannot be used for parts that are heated. Standard ceramics are alumina and pBN. Access to the inside of the chamber is achieved through extrusions called ports, which are terminated by a flange. A flange is a connecting location where UHV parts come together and provide access within the chamber and between chambers. Flanges come in many sizes (in inches) and are defined by the outer diameter (OD) of the flange. Some common sizes are: mini (1.33 OD), 2 3/4, 3.33, 4.5, 4.625, 6, 8, 10. On the face of every flange is a knife edge, which is an angled protrusion extending from the face and encircling the through hole of the flange (the part inside the vacuum). A cross section of the knife edge looks like a right triangle that comes to a sharp point. It is very important that the knife edge is never damaged (scraped, scratched, dented, etc...) as the knife edge is critical for achieving UHV grade seals between connecting parts on the chamber. A seal is made between two flanges by inserting a copper gasket of appropriate size. Bolting together the two flanges causes the knife edge on each flange to cut into the soft copper material and create an excellent metal on metal seal. This type of seal is called Con-Flat (CF) or Del-Seal by some companies. CF gasket seals are
significantly better for reaching UHV pressures compared to Viton or rubber O-ring style seals such as Kwik (Quick) Flange (KF). KF is best used on high vacuum (HV) ($\sim 1 \times 10^{-6} - \sim 10 \times 10^{-8}$) systems such as load locks and cryogenic systems.

There are two other important types of seals that are worth mentioning, but are not relevant for UHV chamber building. These are VCR and swagelok and are best used for ‘plumbing’ of liquids and gases. These serve as excellent ways to pipe in gases or chilled water to parts inside the chamber, but are not integrated onto the chamber ports in such a way that they are responsible for maintaining UHV. Swagelok is a reusable metal on metal seal that does not involve gaskets and is capable of maintaining pressures down to $\sim 1 \times 10^{-6}$. VCR is also a metal on metal seal, but uses a soft aluminum or copper gasket to seal and in principle can hold pressure in the UHV range. Swagelok is reusable and easy to use, and so is most commonly used for fluid plumbing. While VCR is more difficult due to the need to weld VCR male/female adaptors on any piping and the non-reusable nature of gaskets, but offer excellent base pressure which is important for gases and gas lines involved in growth processes.

Chamber base vacuum pressure is of key concern for the quality of a growth process. The rate of residual gas molecules impinging on the sample surface is given by [126],

$$\frac{dn}{dt} = \frac{P}{\sqrt{2\pi m k_B T}}$$ (2.1)
where \( P \) is the chamber pressure in Torr, \( m \) is the atomic mass of the residual gas particles, \( k_B \) is Boltzmann’s constant, and \( T \) is the substrate temperature in K. The unit for \( \frac{dn}{dt} \) is \( \text{cm}^{-2}\text{s}^{-1} \). The quantity \( \frac{dn}{dt} \) is directly related to the number of contaminants that could be introduced at the surface and can be thought of as a deposition rate of contaminants. A clean system should have a residual gas pressure that gives an contaminant concentration to be less than \( 1 \times 10^{-6} \) or 1 part in a million. If we take the background pressure to be made up of a standard air mixture, we can expect a residual gas mass of roughly 26 g. Taking two cases, room temperature (RT) at 25 °C and an elevated growth temperature of 500 °C, we get \( \frac{dn}{dt} = \sim 5 \times 10^{20} \times P \) for RT and \( \frac{dn}{dt} = \sim 2 \times 10^{20} \times P \) at 500 °C. Fig. 2.2 a) displays \( \frac{dn}{dt} \) vs. chamber pressure for 25 °C and 500 °C. For instance, a typical surface has roughly \( 10^{14} – 10^{15} \) atoms cm\(^{-2}\). Therefore, at a vacuum of \( 10^{-6} \) Torr, the number of contaminants impinging on the sample surface is roughly equal, while a typical deposition rate would be close to 1 monolayer (ML) per couple of minutes. The comparative rate in \( \frac{dn}{d(ML)} \) in units of \( \text{cm}^{-2}\text{ML}^{-1} \) for a typical deposition rate of material is shown on the right axis of Fig. 2.2 a). The contaminant rate is clearly larger than the deposition rate. However, this is really overstating the problem as only a fraction of the rate \( \frac{dn}{dt} \) will react with the substrate surface. A very important tool to aid in this problem is called ‘bake-out‘. Bake-out consists of heating up the entire vacuum chamber above 100 °C and desorbing undesirable contaminants from the chamber walls such as water vapor, acetone, and IPA. Another important technique is to degas the evaporator cells and cell material. After proper bake-out and degassing, the chamber background pressure (even in the range \( 10^{-10} \) Torr) is largely due to the vapor pres-
Figure 2.2: Contaminant Deposition Rate Caused by Background Pressure in MBE. a) Contaminant deposition rate, $dn/dt$, caused by residual gas in a vacuum chamber. b) $dn/d(ML)$ rate as a function of substrate temperature at $P = 1 \times 10^{-10}$ Torr.

sure of the cell materials and not from reactive contaminants. Lastly, Fig. 2.2 b) displays the comparative rate of contaminants to materials deposited, $dn/d(ML)$ as a function of temperature at $P = 1 \times 10^{-10}$ Torr. The contaminant rate decreases some as the temperature is increased, but not as dramatically compared to the effect of base pressure. However, the increase of deposited contaminants with decreasing pressure, is the underlying principle behind the cryo-pump and cryo-panel. Cooling to cryogenic temperatures can increase $dn/dt$ roughly one order of magnitude.

There are several types of pumping systems that operate in different ranges and are necessary for realizing UHV pressures. The most basic pump is the roughing pump which is capable of pumping at high flow (L/s) and is generally only used for pumping down a vented system to less than $1 \times 10^{-3}$ Torr. A roughing pump is also used in series with a turbo-molecular pump to rough on the turbo, which cannot operate at pressures greater than $1 \times 10^{-3}$ Torr. A standard roughing pump is the Alcatel oil pump, which is relatively cheap.
and easy to maintain. The main (and serious) drawback of an oil pump is the possibility of contaminating the UHV chamber with hydrocarbon contaminants. A better option is the oil-free, mechanical scroll pump. Leybold offers a very good, reliable scroll pump. While a roughing pump can get you part way to achieving high vacuum, the turbo pumping range is between $1 \times 10^{-3}$ Torr and $1 \times 10^{-9}$ Torr and thus a combination of a turbo and roughing pump can give you a relative good high vacuum system. Many evaporation deposition chamber rely on such a system. However, for the cleanliness required for UHV, a simple roughing/turbo system is insufficient.

There are three other pumps that are key for realizing UHV and they each have their own specialties. They are: the ion pump, the titanium sublimation pump (TSP), and the cryopump. After a chamber has been evacuated with a roughing/turbo system, an ion pump can reach pressures of $1 \times 10^{-11}$ Torr. An ion pump consists of a system of metallic plates coated in chemically active material (i.e. titanium) for the application of large electric fields and a uniform DC magnetic field. The system acts as a trap for ions which are attracted to high voltage (HV) points in the pump. The impact of the ions onto the cathode can effectively sputter the chemically active coating (Ti) into the chamber (and onto the chamber walls) and act as a sorption pump. Over time, it can become necessary to regenerate the titanium coating. Some residual gases are difficult to ionize, such as H$_2$, He, and Ar, are difficult for an ion pump to handle. A chamber should not be vented using Ar or He gas. It is best to use pure N$_2$ gas, which helps reduce the introduction of water vapor into the system. A titanium sublimation pump is a very useful tool in an MBE chamber, especially for systems in which molecular
oxygen is used frequently. A TSP is a simple system that is closely related to the principles of thermal evaporators. A TSP functions based on the heating of filaments connected in series to a large amount of Ti material. When high current is passed through the filament (and the Ti material), the Ti heats up considerable and begins to sublime. Sublimation, a key concept in MBE, occurs when a solid material reaches very high temperature while under high vacuum (or UHV) condition and the temperature needed for evaporation (related to vapor pressure) falls below the melting temperature. In that case, there is a phase transition to the vapor phase in the absence of melting. A TSP evaporates Ti on the chamber walls. The Ti is highly reactive, particularly with hydrogen and oxygen. When residual gas reaches the chamber walls at a rate $dn/dt$, the gas will react with the Ti and the new reacted material will stick to the chamber walls and the process is irreversible. Lastly, a cryo-panel, when positioned in the line of sight of the evaporation cells but behind the manipulator, can physisorb the residual gas and decrease the amount of gas that could be reflected back towards the evaporators. A variation of the cryo-panel is the cryo-trap pump, which can be placed anywhere on the chamber and operates under the same principles. A cryo-pump is very good at reducing the pressure in the chamber, but can only do so while the pump is kept at liquid
nitrogen temperatures. Since physisorption is a reversible process, once the pump warms to room temperature, the pressure will increase. All three pumps used in combination increases the vacuum quality and allows for a system that is capable of reaching base pressures in the $1 \times 10^{-12}$ Torr range. Good companies for pumps are: Leybold for scroll pumps, Varian and Pfeiffer for turbo-molecular pumps, and Gamma Vacuum for ion pumps and TSP’s.

### 2.2.3 The Manipulator

Samples are mounted on ‘pockets’ (Thermionics platen) or ‘paddles’ by laying them flat on the surface an using Ta foil strips to cover the corners and hold the samples down. Pockets and paddles and foil should be made of high purity Ta material. The Ta foil strips are spot welded (Ametek power supply) to the pocket. A thermocouple mounted on the pocked face can monitor the temperature very close to the sample. The purpose of a manipulator is to orient the sample in the desired direction, hold the sample in place, allow for easy transfer in and out of the system, enable *in-situ* heating and temperature sensing, and possibly have water cooling or liquid nitrogen cooling. Substrate temperature is extremely important for any growth process. A wide variety of temperatures are achievable for MBE growth from cryogenic (nitrogen) up to above 1200 °C. Depending on the substrate material, the surface properties (energy, reactivity, kinetics, diffusion, etc...) will vary drastically. It is critical to understand the effects of temperature in any growth process. A standard manipulator heater is a simple radiative heating element. Electrical feedthroughs ($\sim 15$ Amp) at the top of the manipulator enable an external power supply (Sorensen) to drive current through the heater.
Additional feedthroughs for thermocouples (generally type K) are also located at the top of the manipulator.

The sample positioning is enabled through an XYZ stage on the manipulator. Above the XYZ stage are two rotational platforms, one with internal gears and one with external gears. An external rotational stage allows for sample rotation control so that the sample can face any direction in the chamber. Rotational motion control is used to orient the sample face at any angle relative to the cells. This is particularly important for the angle evaporation used in Co/MgO/TiO$_2$ tunneling contacts for non-local graphene spin valves as discussed in Chapter 1 and more thoroughly in [34]. Rotation by 90° or 180° allows for sample transfer. Second, in-plane rotation of the sample can be achieved through a set of internal gears within the chamber that are connected to an external rotation control. Because of the internal gears, the manipulator has a special compartment around surrounding a section which contains internal to external mechanical components. This joint between gears can cause leaks and the chamber will not pump to UHV if this surrounding compartment is not differentially pumped.

In some applications it is desirable to have water (or liquid nitrogen) cooling capability to act as a bath for the sample during growth. It is not always necessary to have cooling water (CW), as a manipulator can function without it, but CW will allow for faster cooling from elevated temperatures. CW is also important for growth on substrates involving resists, such as in the case of growth of Co electrodes for graphene spin valves. Deposited material often lands on the sample surface at elevated temperatures. In order to keep the resist from
hard baking and degassing into the chamber, water cooling can maintain a reasonable sample temperature ($\sim 60^\circ C$).

### 2.2.4 Evaporators

Evaporators are one of the most important components of the MBE chamber and basically consist of high purity source material and some heating apparatus that causes the source material to evaporate. Due to the low chamber pressure, the mean free path for the vapor molecules/atoms is very long. This means that when material is evaporated, in the time and distance it takes to reach the sample, it does not interact with any residual gas allowing for the deposition of high purity materials. Thus, the vapor is essentially a ‘beam’ of atoms and hence the name molecular beam epitaxy. In MBE, the rate of deposition is very slow, on the order of $\sim \text{Å/min}$ and it can take $\sim 2$ minutes (roughly) to deposit one monolayer (ML) of material. Therefore, the cleanliness provided by UHV and the slow rate allows for high purity atomic scale control over the materials deposited. We have primarily used thermal evaporators and e-beam evaporators.

**Thermal Sources**

An example high temperature thermal evaporator is shown in Fig. 2.4. For more information on construction of these homemade cells for both the low temperature and high temperature design see reference [138]. As can be seen in 2.4 a), the functional part of the cell consists of an alumina (or pBN) ceramic crucible surrounded with tungsten (or tantalum)
Figure 2.4: A thermal evaporator during construction. This design is for a high temperature cell (i.e. Ni, Au, Co, Fe).

wire. When high current is passed through the wire, $I^2R$ heating causes the filament temperature to increase and emit radiation. This will cause the crucible to heat up along with the source material inside the crucible. At UHV pressures, the source material vapor pressure decreases significantly, which allows for sublimation at achievable temperatures. For instance, at atmospheric pressure, Fe must undergo a phase transition through the liquid phase ($\sim 1500 \degree$C) before turning into a vapor ($\sim 2700 \degree$C). However, in vacuum, the temperature needed to reach the vapor phase decreases as the pressure drops. Therefore, at UHV pressures, Fe can sublimate at approximately 900 $\degree$C, while the melting point remains fixed at $\sim 1500 \degree$C.

$e$-beam Sources

There are two kinds of $e$-beam sources used in this dissertation to evaporate the desired source material. Both rely on the principle of electron bombardment to increase the temperature of the source material for evaporation. The first is called bending magnet $e$-beam and
the second is a rod fed, three-terminal $e$-beam. The bending magnet $e$-beam source consists of a Cu hearth that is water cooled through a feedthrough connected to a water recycling and heat exchanger system (see Fig. 2.5). The Cu block has a cutout in which a Ta crucible fits semi-loosely. It is not a press fit. For horizontal mounting to the growth chamber, it might be necessary place a thin Ta foil strip over the edge of the crucible to maintain it in place and ensure that the crucible doesn’t fall out. For this dissertation, the source material was single crystal MgO(001) cylinder from the MTI corporation. A filament is located below the copper block out of the line of sight from the source MgO material. The filament is heated by $I^2R$ heating in order to emit electrons which are then accelerated outward through a hole in the filament shielding maintained at high voltage. A permanent magnet located within the copper block causes the emitted electrons into a circular trajectory and then impact the source material. Since the electrons have a high kinetic energy, the MgO material heats up
Figure 2.6: $e$-beam Rod Evaporator Schematic.

and then evaporates. The impact location of the electron beam onto the source material can be tuned by adjusting the high voltage. A commercial MDC bending magnet $e$-beam cell is used throughout this study to evaporate MgO. This MDC cell operates at 4.8 kV and between 8 and 17 mA emission current. An emission current above 15 mA could risk evaporation of the Ta crucible and samples may be contaminated. This can be confirmed through Auger spectroscopy (see section 2.3.2) in the adjacent buffer chamber.

The $e$-beam rod design does not take advantage of a magnetic field to direct the electrons onto the source material. Instead, this design employs a ‘grid’ to focus the beam towards the source material which is maintained at high voltage in order to attract the electrons towards it, which then impact at high velocity. The design is displayed as a schematic in Fig. 2.6. The centrally located source material is in the shape of a rod or thick wire, usually about 1/4 inch in diameter or smaller. The rod is placed into a Ta foil tube which provides an equipotential for the rod HV as well as electrical support. This Ta tube is mounted to a structural support
consisting of a central Molybdenum disk and three Molybdenum support legs. The rod is connected to an electrical HV feedthrough, but isolated from the central molybdenum disk and legs. Around the rod, a concentric Ta shield protects the source material from line of sight to the filament. The filament consists of two 0.01 inch diameter Ta wires that are twisted (4x) and attached on each side of the source to 2-56 molybdenum threaded rods and electrically isolated from the rest of the source. The molybdenum threaded rods are then connected to 15 Amp Cu power feedthroughs. The two Ta foil filaments are connected just below a circular Tantalum mesh grid that rings the Ta shield protecting the rod source material. Standard power operation is as follows. First the power is applied to the filament of approximately 6-10 V and 5-8 Amps depending on the resistance of the Ta foil filaments and one side of the filament should be electrically grounded. The grid should then be turned up to approximately 200 V and the rod to 1.5 - 3 kV. Typical emission currents on the grid range from 20 - 80 mA, and 10 - 30 mA for the rod source material. Throughout this dissertation, most growths in which Ti was used, employed this $e$-beam source. Specifically, this source was used for the growths in Chapter 6 and for the tunneling spin valve contacts in Chapters 8 and 9.

2.3 Surface Characterization Techniques for Thin Films

2.3.1 RHEED

Reflection high energy electron diffraction (RHEED) is one of the primary characterization tools for gaining feedback on MBE growths [139]. RHEED is a structural characterization
tool that probes the crystallinity of the sample structure and can be placed within the growth chamber, which allows for *in-situ* information during any growth process (deposition, annealing, etc...). It is important to note that RHEED is a diffraction technique, which means that the observed RHEED pattern, depends on the quality of the crystallinity of the surface, as opposed to the flatness. For instance, it is possible to have crystalline nano-pillars and obtain a RHEED pattern. On the other hand, it is also conceivable to have a surface that is very smooth, but amorphous, and therefore nor RHEED pattern is obtained. The RHEED gun used in our lab is from Staib Instruments. Figures 2.7 and 2.8 demonstrate the principle of diffraction using the electron beam to generate an image that can be monitored in real time.

An electron gun emits a collimated electron beam at grazing incidence to the sample surface, usually at an angle of \( \sim 1^\circ \). Thus, the beam samples the surface crystal structure and only penetrates the first few monolayers. RHEED is a diffraction technique and is therefore fundamentally dependent on the 2D surface reciprocal lattice. In the case of a surface square lattice, the reciprocal lattice is also a simple square of dimension \( 2\pi/a \) and is schematically displayed in Fig. 2.7 a). Since this is a 2D structure in real space, in the reciprocal space, rods extend along the z-axis (out of plane) as depicted in Fig. 2.7 b). The condition for coherent diffraction is met when these rods intersect the Ewald sphere of radius \( k_0 \), which is determined by the wavelength of the electron beam. Fig. 2.8 shows a three dimensional schematic of these k-space vectors and their projection onto a photoluminescent phosphorous screen. A CCD camera located behind the screen can record the resulting image on the
Figure 2.7: Reflection High Energy Electron Diffraction 2D View. a) Top view of surface which is represented as a 2D reciprocal lattice separated by reciprocal lattice constants $b_1$ and $b_2$. The Ewald sphere has the diameter of the incoming electron beam momentum wavevector, $k_0$, which is related to the wavelength of the incoming electron beam. $b_2$ is the parallel component that determines the scattering angle for the vectors that intersect the Ewald sphere and are labeled $k_{00}$, $k_{01}$, and $k_{0T}$. b) Side view of the reciprocal lattice rods that intersect the Ewald sphere. Coherent diffraction condition is met at intersecting points of the Ewald sphere and the reciprocal lattice rods. This intersection is determined by the wavelength of electron beam and the reciprocal lattice constant, $b_1$.

detector screen. By accurately determining the position of the screen from the sample, the location of the diffraction spots/streaks can be related to reciprocal space vectors.

A simple RHEED example can be found by examining the surface of MgO(001) substrate. MgO is a rock salt oxide which is an FCC lattice with a basis of oxygen atoms (see
Figure 2.8: Reflection High Energy Electron Diffraction 3D View. Diffraction of the electron beam and projection onto a photoluminescent screen which serves as an imaging detector.

Figure 2.9: Direct and Reciprocal Lattice of the MgO Surface. a) Direct lattice of the surface of MgO(001). b) Reciprocal lattice for the surface of MgO(001).

Fig. 2.9 a). The surface is not a simple square, but a face centered square with lattice constant $a = 4.2$ Å. The primitive unit cell is outlined with dashed lines and has sides of length $\sqrt{2a}/2$. The reciprocal lattice is also a face centered square but with length $4\pi/a$, as shown in Fig. 2.9 b). The primitive cell for the reciprocal lattice is shown with dashed lines and has sides of length $2\sqrt{2\pi}/a$.

An incident electron beam along the [100] or [110] directions sweep out an Ewald sphere of radius $k_0$, and is shown in Fig. 2.10 a) and 2.10 b), respectively. Along the [100] direc-
tion, the sphere intersects diffraction rods with k-space reciprocal lattice vectors along the
direction perpendicular to the beam of length $4\pi/a$ which is twice the MgO k-space length
of $2\pi/a$. In the 2D reciprocal surface, there is no rod that exists at the $2\pi/a$ lattice spacing,
which leads to what appears to be a missing streak in the MgO(001) [100] RHEED pattern
as shown in Fig. 2.10 c). The streaks labeled $0\bar{2}$ and $02$ in Fig. 2.10 c) correspond to the re-
ciprocal lattice vectors $k_{0\bar{2}}$ and $k_{02}$ of Fig. 2.10 a). Fig. 2.10 b) shows the [110] direction, for
which the sphere intersects diffraction rods with k-space reciprocal lattice vectors along the
direction perpendicular to the beam of length $\sqrt{22}\pi/a$. Therefore, the [110] MgO RHEED
pattern (Fig. 2.10 d)) contains the diffraction streaks $22, \bar{1}1, 11, and 22$.

It should be noted here that the Ewald sphere shown in Figs. 2.7, 2.8, and 2.9 are vastly
dramatized. Typical e-beams of energies between 10 keV and 30 keV would sweep out
an Ewald sphere approximately 50 - 100 times the size of a reciprocal lattice vector [139].
Therefore, the Ewald sphere is more of a plane across the unit cells shown in the figures.

Further, there are several important features worth discussing for understanding a realistic
experimental RHEED pattern. Much information beyond the lattice spacings can be gained
from RHEED patterns. These include layer-by-layer growth from RHEED oscillations, re-
constructions, broadening of diffraction streaks due to terracing of a certain length scale,
Kikuchi lines, as well as information on morphology in the surface growth such as islanding,
twinning, and disorder. In general however, the quality of the crystallinity of a growth is
simply determined by the sharpness of the RHEED pattern and whether or not the RHEED
spots translate as the substrate rotates. There are three basic RHEED patterns: no image,
Figure 2.10: RHEED Example: MgO. a) and b) Top view of the reciprocal lattice surface and Ewald sphere for MgO(001) with incident electron beam along [100] and [110], respectively. c) RHEED pattern for MgO(001) along [100] with the appropriate reciprocal lattice diffraction vectors. d) RHEED pattern for MgO(001) along [110] with the appropriate reciprocal lattice diffraction vectors.

rings, and one with well defined spots and streaks. Spots and streaks indicate an ordered crystalline structure, while rings indicate a polycrystalline surface, and no image means that the material is amorphous.
2.3.2 Auger

Auger electron spectroscopy (AES) is a widely used surface science technique [140]. Many MBE systems consist of a three chamber configuration: the main growth chamber, the characterization/analysis chamber, and a load lock. RHEED is almost always placed in the main chamber for in-situ feedback on the growth process as it happens. On the other hand, the nearby characterization chamber usually contains a surface analysis tool such as XPS or AES. The Auger tool in our lab doubles as a diffractometer, but uses lower energy electrons compared to RHEED, and therefore this type of diffraction is called low energy electron diffraction (LEED). LEED is a useful technique, but since there is no LEED data presented in this dissertation, it will not be discussed.

AES is a low energy technique in that typical systems are in the energy range below 5 keV. As opposed to RHEED, for which the electron beam grazes the sample across the surface, in Auger, the low energy electrons are directed at normal incidence to the sample surface. The electrons ionize the core level electrons which can then relax and emit an Auger electron. Since the orbitals are element specific, analyzing the emitted electrons provides information about the composition of the surface. For instance, an Auger scan of highly-oriented pyrolitic graphite (HOPG) surface will yield a peak at 272 eV, which is characteristic for carbon. The AES system in the Kawakami group is an omicron SPECTALEED combined LEED and Auger system which uses a LaB$_6$ filament, has an energy range up to 3 keV, and is located in the buffer chamber with a base pressure of $1 \times 10^{-9}$ Torr. A typical AES scan of HOPG is shown in Fig. 2.11.
2.4 Adatom Doping of Graphene by MBE

The above sections describe the basics of MBE and several important surface science techniques that are employed in this dissertation. These sections are mostly geared towards the growth and characterization of thin films deposited on relatively large substrates (usually, 1 cm by 1 cm). However, this dissertation is not solely concerned with growth and characterization from a fundamental point of view towards achieving a better understanding of functionally relevant materials for inducing novel-spin based phenomena. While this is of crucial importance, we must also develop ways of investigating the induced behavior in the material of choice. In this dissertation, graphene is employed as a candidate spin transport material due to its low intrinsic spin-orbit coupling, weak hyperfine coupling, and high mobility, which gives graphene excellent potential for realizing long spin lifetimes and long spin diffusion lengths [61, 62, 63, 32, 71]. Perhaps even more important, is the fact that graphene consists of a single atomic layer of sp\(^2\) bonded carbon with \(p_z\)-orbitals that extend out of the
plane of the graphene sheet \[43\]. These \(p_z\) orbitals contain one electron each and generate the linear dispersion bands at the K and K’ points in the brillouin zone. The transport properties of graphene (spin and charge) are entirely determined by this band of \(p_z\) orbitals called the \(\pi\) band. Thus, due to it’s two dimensional nature, the transport properties of graphene are extremely sensitive to the local environment. For example, nearby molecules \[141, 142, 143\], adatoms \[51, 144, 94\], organics \[145, 146, 147\], and films \[148, 46, 149, 150, 151\] will dramatically effect the electronic response of the graphene layer. This is in stark contrast to buried 2D transport materials such as the AlGaAs/GaAs and LaAlO\(_3\)/SrTiO\(_3\) structures, for which the transport layer is not at the surface.

### 2.4.1 Effect on Charge Transport

Before discussing the effect on charge transport through the careful introduction of defects and dopants by MBE, the nature of graphene on SiO\(_2\) substrate must first be considered. Use of heavily p-doped Si substrate with a 300 nm SiO\(_2\) amorphous overlayer is a nice substrate to use for the placement of graphene (either by mechanical exfoliation or by supported transfer of CVD grown graphene). This is due to the convenient optical visibility of graphene on 300 nm SiO\(_2\) and the ease of using the Si substrate as a global back gate, which allows for the easy tuning from electron or hole type carriers. However, it is known that the SiO\(_2\) surface is not particularly flat and is often littered with local charge impurities \[152, 153\]. Such disorder can greatly impact the electronic properties of the graphene layer \[53\]. In 2007, the Yacoby group measured large spatial variations in the charge density at a back gate voltage that
corresponded with the charge neutrality point [152]. These so-called electron-hole puddles are due to the inhomogeneity and doping profile of the underlying SiO$_2$ substrate. It has been estimated that approximately $5 \times 10^{11}$ cm$^{-2}$ carriers are doped into the graphene/SiO$_2$ system [152, 53, 154]. For this reason, the voltage at which the conductivity is minimized is usually referred to as the charge neutrality point (CNP) as opposed to the Dirac point. Near the CNP, the density of states (DOS) is no longer vanishing, but instead approaches a constant value at the CNP. An energy broadening parameter can be employed to effectively smear the intrinsic graphene DOS and yield realistic DOS for graphene on SiO$_2$ [70]. This is discussed further in Chapter 8. Recently, there has been much effort in trying to replace SiO$_2$ with atomically flat, insulating, and charge impurity free substrates such as hBN or by suspending the graphene [55, 52, 53, 54]. However, as these techniques are outside the scope of this dissertation, they will not be discussed further.

Here we seek to develop the tools necessary to measure the properties of the graphene layer in-situ in an MBE chamber with full deposition capability. Therefore, the devices are fabricated into charge or spin-type field effect transistor (FET) devices. These devices require the use of metal contacts for electrical injection/detection. Thus the role of the contacts on graphene are important to understand. It was reasonably expected [148] that since graphene is a semimetal without a band gap, that there should not be any band bending, Schottky barrier formation, Fermi level pinning, or any other semiconductor-like energy band behavior. Instead, it was suggested, primarily based on differences in the relative work functions between the graphene and metallic contacts, that charge should be transferred in a predictable
Observation of spatial inhomogeneity in the carrier density in single layer graphene. These puddles were then correlated with electric potential variations in the underlying SiO$_2$ substrate. The disorder is thought to exist on a scale of approximately 30 nm. The electron transfer then creates an interfacial dipole that creates an electric field at the location of the contacts as schematically shown in Fig. 2.13. This was verified through several scanning photocurrent experiments which showed significant photocurrent mainly at the location of the metal contacts (see Fig. 2.14) [46, 155, 156, 157]. The large signal at the contacts is attributed to the electric field present which drives a current of photoexcited carriers. Within the graphene channel, the photocurrent response is small due to the weak absorption of graphene and lack of an electric field to sweep carriers away.

There have been several landmark experiments that investigated graphene’s sensitivity to the environment. In an experiment from the Manchester group, the electrical properties of a graphene FET device were measured before and after exposure to various gaseous chemicals (NO$_2$, NH$_3$, H$_2$O and CO). The experiment was performed as follows: The device was placed
Figure 2.13: Role of the Contacts on Graphene. Adapted from [148] a) Energy band diagrams for metal contacts to graphene. b) Predicted element specific doping to graphene depending on the interfacial dipole and distance.

Figure 2.14: Observation of Electric Fields at the Contacts to Graphene Due to Interfacial Dipole and Charge Transfer. Adapted from [46]. Scanning photocurrent microscopy scans at several gate voltages. Color scale indicates the measured photocurrent. Largest signal is observed at opposite electrodes due to the presence of an electric field which sweeps away photoexcited carriers.

in a standard transport measurement cryostat with an attached pumping and flushing line connected to a glass container which held low concentrations of these chemicals diluted with either Nitrogen or Helium. Under exposure to NO\textsubscript{2} and H\textsubscript{2}O, devices exhibited p-type shifts in the Fermi level, while NH\textsubscript{3} and CO demonstrated n-type doping. In all cases the mobility decreased. The experiments also demonstrated a dependence on the concentration
Figure 2.15: Graphene as a Gas Sensor. Adapted from [141] a) Exposure of graphene FET device to NO$_2$ gas. The gate dependent conductivity curves indicate a shift in the Fermi level towards higher positive gate voltages, which is associated with p-type doping. Second, the gate dependent conductivity curves demonstrate broadening of the region around the charge neutrality point and a decrease in the slope of $\sigma$ vs. $V_G$, which indicates a decrease in the mobility. b) NO$_2$ gas adsorption and desorption curves for graphene demonstrating single electron charge transfers of magnitude $1e^2/h$.

Soon after this work, the Fuhrer and Ishigami groups investigated the nature of charged impurity scattering in graphene through careful introduction of potassium dopants under UHV conditions [158]. This was the first in-situ UHV experiment to look at doping of graphene and had several significant advantages over previous studies because of the high level of control and cleanliness associated with UHV. As highlighted in Fig. 2.16, potassium doping shifts the Fermi level n-type and also induces increased charge impurity scattering which causes the mobility to decrease. Because the doping was performed systematically in a well controlled environment at cryogenic temperatures, it was possible to correlate small
changes in the gate voltage shift with changes in the mobility. Under these conditions, the authors were able to show that potassium adsorbs on graphene acts as a point-like charge impurity scattering potential site. In particular, the charge impurity concentration \( n_{\text{imp}} \) was shown to vary inversely with the mobility, following the equation \( \mu = \frac{5 \times 10^{15}}{n_{\text{imp}}} \text{V}^{-1} \text{s}^{-1} \), which agrees with the theory expected for charge impurity scattering [159, 160]. In general, it is currently believed that charge impurity scattering is the dominant mechanism for charge scattering and the limited mobility for graphene devices on SiO\(_2\).

In a related experiment, the Fuhrer and Ishigami groups investigated the irradiation of graphene with Ne\(^+\) ions which is believed to produces single lattice-vacancies when performed at cryogenic temperatures under UHV conditions [161]. Interestingly, introduction of this type of disorder had a very different impact on the graphene behavior compared to charged impurities. Instead, the data indicated strong decrease in the minimum conductivity and much larger changes in the mobility (approximately 4x). However, the authors claims
of potential gap opening and metal-insulator transition have since not been well established. Notably, Raman spectroscopy demonstrated the appearance of a D band peak after exposure to Ne\(^{+}\), which is associated with the presence of resonant type scattering. Resonant scattering can be understood in terms of intervalley scattering that arises from the creation of what we now call \(p_z\)-orbital defects. These defects effectively remove a \(\pi\) electron from the band structure at a localized site in the form of a lattice vacancy or \(sp^3\) hybridization. Other experiments also demonstrated similar resonant scattering through hydrogen [162, 163, 164], fluorination [165, 166], ozone [167], and plasmas [164, 168].

It is the aim of this dissertation to introduce adsorbates and defects on graphene’s surface in a highly controlled and systematic manner to investigate the feasibility of inducing interesting spin-based phenomena through proximity effects. Keyu Pi designed and built a special MBE chamber [169] (see Fig. 2.17) equipped with several front facing 2 3/4 inch ports for materials deposition, a quartz crystal monitor, a wedge mask system, several gas sources, an
Figure 2.18: Sample Platen and Paddles for *in-situ* Measurement System. a) Thermionics sample platen for transfer of sample paddle in UHV chamber system. b) Sample paddle with graphene device on SiO$_2$/Si chip held down with Ta clip system and Al$_2$O$_3$ spacer for electrical isolation. The top rectangle in the image is the MgO bar with Ti/Au evaporated on the top surface in strips that line up with electrical probes located on the cryostat inside the MBE chamber.

A key and hinge system, which can be manipulated using a Ferrovac wobble stick, can lower electrical probes onto the MgO bar. The MgO bar is connected to electrical contact pads for the graphene devices through Al wirebonds. The wirebonding is performed ex-situ, before loading the sample paddle into the UHV system. Also inside the chamber is a homemade iron core electromagnet capable of fields up to approximately 1200 Oe. Therefore, variable tem-
perature magnetotransport measurements can be performed inside the MBE chamber before and after adsorbates and defects are introduced to the graphene samples without ever exposing the sample to air. Device fabrication for graphene four terminal resistance measurements, Hall bars, and non-local spin valves is discussed thoroughly elsewhere [71, 169, 34, 33].

Using the in-situ measurement system, Keyu Pi and Kathleen McCreary studied the effect on graphene’s electrical properties by transition metal (TM) doping at room temperature [51, 33]. In the UHV system, they systematically introduced Ti, Fe, and Pt in intervals of a few percent of a monolayer and then measured gate dependent resistance curves. Considering only the work functions (WF) of the different materials, it could be reasonably expected that only Ti (WF=4.3 eV) and Fe (WF=4.7eV) should act as electron donors to graphene (WF=4.5 eV), while Pt (WF=5.9 eV) should act as an electron acceptor, and as predicted by Giovannetti [148]. As shown in Fig. 2.19, it was found that all three doped the graphene n-type, with Ti donating approximately 0.1 electrons/Ti adatom, and Pt being the weakest donor of elec-

Figure 2.19: Transition Metal Doping of Graphene. Adapted from [51]. Data indicated n-type doping of graphene during systematic deposition of the transition metals titanium, iron, and platinum. Generally, behavior in doping efficiency tracks the relative work functions of the TM materials.
Figure 2.20: Effect on Graphene Mobility and Charge Neutrality Point by TM Doping. Adapted from [51]. **Upper left panel:** average electron and hole mobility normalized to the initial pristine mobility plotted against the shift in the charge neutrality point (Dirac point) for Ti, Fe, and Pt dopants. Generally, the mobility demonstrates a universal curve that depends on Dirac point shift as opposed to the amount of material deposited, as indicated by the circled points which indicates the amount in ML deposited. **Lower left panel:** Plot of the Dirac point shift vs the inverse of the mobility. Power law fits indicate a linear relationship. **Upper right panel:** Effect on the Dirac point as a function of high coverage for Pt doping. At high coverages, the dirac point shifts back towards lower values (less n-type doping), as the films become more uniform. This is due to a decrease in doping efficiency for clusters of metallic material and the formation of an interfacial dipole as predicted theoretically [148]. **Bottom right panel:** AFM of Pt deposited on graphene for the coverages indicated in the upper right panel.

Electrons by giving approximately 0.02 electrons/Ti adatom, with the electron doping efficiency tracking the relative work functions of the TM material. Interestingly, as shown in Fig. 2.22 (right upper panel), with increased coverage, Pt doping can demonstrate n-type or weakly p-type doping, which is in agreement with the theoretical predictions [148] for the formation of an interfacial dipole. It was also found that the mobility decreased as coverage increased due to the increased charge impurity scattering. More specifically, the mobility was shown...
to depend on the shift in the charge neutrality point as opposed to the relative amount of TM coverage (see Fig. 2.22 (left upper panel)). To better understand the nature of the charge impurity potential, the shift in charge neutrality point can be plotted against $1/\mu - 1/\mu_0$, where $\mu$ is the average of the electron and hole mobilities and $\mu_0$ is the average electron and hole mobility for pristine graphene (see Fig. 2.22 (left bottom panel)). The dashed lines are power law fits of $-V_{D\text{shift}} \propto (1/\mu - 1/\mu_0)^b$, for which values of $1.2 < b < 1.3$ indicates a $1/r$ scattering potential for point-like scatterers. For the transition metal doping, it was found that the power law dependence is best fit with a power law exponent between 0.64–1.01, which indicates significant clustering for TM deposition at RT. This was confirmed by AFM studies at partial coverage as shown in Fig. 2.22 (bottom right panel). The above analysis of charge transfer vs. momentum scattering as probed by the mobility will be revisited in Chapter 9.

In a related experiment, Kathleen McCreary investigated the low temperature deposition of Au adatoms onto graphene [144, 33]. Theoretically, it had been thought that clustering may play a significant role in the charge transfer behavior of metals on the graphene surface [170]. As shown in figure 2.21 a) and b), Au deposition at cryogenic temperatures resulted in n-type doping of graphene and efficient charge impurity scattering. This is due to the point like scattering potentials created from isolated Au adatoms (Fig. 2.21 c)). Further, upon warming, it was shown that Au tends to form clusters roughly on the size of 50 - 80 nm in size. This decreases the n-type doping and increases the mobility. This can be understood as a crossover regime between point like Au impurities and large clusters which behave partially like an electrode. The doping of the graphene takes place at the edges of the clusters only and
Figure 2.21: Au Doping of Graphene at 18 K. Adapted from [144]. a) Gate dependent conductivity of graphene for pristine graphene and at several doping coverages of Au deposited at 18 K. b) Change in the mobility due to Au deposition. c) Power law fit to the shift in the Dirac point as a function of \( 1/\mu \) demonstrating point like behavior of Au adatoms deposited at cryogenic temperatures.

as the size of the clusters increase, the number of Au atoms participating in doping decreases causing the Dirac point to shift to reflect less n-type doping and the mobility to increase.

### 2.4.2 Effect on Spin Transport

In Chapter 1 section 1.2, several experiments investigating spin injection and transport in graphene were discussed [32, 71, 74, 25, 73, 70, 69]. In these experiments, it was reported that the main mechanism for spin relaxation in graphene was due to the Elliot-Yafet (EY) spin relaxation mechanism [70, 69]. The authors were able to come to this conclusion by taking advantage of the gate tunability of graphene, which allows for control over the carrier concentration in the graphene channel. It is known that the diffusion constant changes
Figure 2.22: Clustering of Au on Graphene. Adapted from [144]. **Left column:** a) Temperature dependence of the graphene mobility after Au deposition at 18 K b) Temperature dependence of the Dirac point. c) Time dependence of the mobility at room temperature after Au deposition at cryogenic temperatures. d) Time dependence of the Dirac point at room temperature corresponding to the mobility data in c). **Right column:** a) AFM image of Au clusters on graphene. b) Histogram for the average diameter of Au clusters on graphene.

... linearly with increased carrier density, and it was found that the spin lifetime changed linearly as the back gate voltage was tuned to higher carrier density, leading to the conclusion for EY scattering. Therefore, it is reasonably expected that momentum scattering should be very important for spin relaxation in graphene [69]. Since, as discussed above, the dominant source of momentum scattering in graphene is due to the presence of charged impurities [152, 158, 159, 160, 51, 144, 33], CI scattering should be important for spin transport and relaxation in graphene.
developed by Takahashi indicates that CI scattering introduced by Au impurities (less than 10 s total), the amount of Au is less than a method to obtain an expression for the nonlocal spin signal that Au donates electrons to the graphene and the Au imp- point shifts toward negative gate voltage, which indicates and the ferromagnet, for a device with electrode spacing (–123x260)

samples are maintained at 18 K to reduce the surface polarization of the ferromagnet, and the gate voltage (–123x573) = /C0 ¼/C22 (–119x563) shows nonlocal magnetoresistance (–114x476) in situ (–111x432) (–108x432) ð/C0 (–105x432) between electrodes (–102x432) is the graphene width, (–98x497) s ¼/Cs (–90x167) l ¼/Cnf ¼ð–98x165) /C26 (–96x378) /C0 ¼/C21 (–95x157) 1/C25 ¼ð–98x155) 2 3 (–98x402) and increasing Au coverage. c) Spin lifetime as a function of Au deposition at 18 K. Spin relaxation (i.e. CI scattering, phonons, local relaxations. Figure 3 (color). (a, b) Hanle spin precession data (open circles) for a) Gate dependent conductivity curves for several Au doping exposures at 18 K. Reproduces result of [144]. b) Effect on the non-local resistance for several gate voltages and increasing Au coverage. c) Spin lifetime as a function of Au deposition at 18 K. Spin lifetime is obtained by fits to the Hanle equation (eq. 1.2). Inset shows the corresponding diffusion constants obtained by Hanle fitting.

Using the in-situ measurement system, Keyu Pi investigated the spin transport and relaxation in non-local graphene spin valves during systematic deposition of Au adatoms at cryogenic temperatures in UHV [171]. Non-local graphene spin valves were fabricated using standard one-step lithographic procedures with Co electrodes. The contacts were specially fabricated using angle evaporation in an MBE chamber and using an MgO masking layer and taking advantage of the MMA/PMMA bilayer undercut. This technique results in Co directly contacted to the graphene layer with but with reduced contact area. These contacts, developed by Wei Han [74, 25, 34], result in robust non-local spin valve devices with a relatively high device yield. Key characteristics of these devices includes the linear relationship between the measured non-local resistance and the graphene channel conductivity. This is
shown explicitly in Fig. 2.23 b) for pristine graphene on SiO$_2$ and at several different Au depositions. Interestingly, the non-local resistance was not significantly modified by the deposition of Au adatoms despite the large effect on the mobility (see for example Fig. 2.21). This is surprising since the non-local voltage depends strongly on the spin diffusion length (see equation 1.1), which can be related to momentum scattering through the Einstein relation (equation 1.5).

Further, Pi, et al. [171] measured Hanle spin precession curves at several different Au adatom coverages. By fitting the spin precession data to the non-local Hanle equation (eq. 1.2), the spin lifetimes could be obtained. As shown in 2.23 c), the spin lifetime determined by the Hanle fits increased slightly from about 80 ps upwards of 120 ps. While the reason for the increase in spin lifetime was not clear, the fact remained that the spin-lifetime was certainly not decreasing as would be expected if CI scattering was not the dominant spin relaxation mechanism. Further, the lack of a decrease in the spin lifetime is particularly perplexing in light of Au’s large atomic weight, which could possibly induce large spin-orbit coupling in the vicinity of the adatom. It was suggested that, while the relationship for $E_Y (\tau_s \propto D)$ is not obeyed in the case of Au adatoms and CI scattering, that this does not necessarily argue against $E_Y$ as other mechanisms such as phonons, edges, and short range impurity potentials may play a significant role in spin relaxation for non-local spin valves with spin lifetimes in the range of 100 ps. Recently, the progressive work of Wei Han has conclusively demonstrated that ohmic contacts to graphene spin-valves results in increased spin relaxation, which is caused by back flow of spins into the ferromagnetic contacts [71].
Currently, by employing tunneling contacts, spin lifetimes in graphene are roughly an order of magnitude higher than ohmically contacted graphene. The Pi experiment remains the only work, previous to this dissertation, which systematically studies the effects on the spin lifetime in graphene in a UHV environment by adatom deposition as opposed to changing the carrier density by tuning the back gate.

2.5 Conclusions and Outlook

MBE is a powerful technique that, due to the high purity and slow rate of growth, allows for excellent control over materials growth at the atomic scale. MBE is an excellent method for single crystal epitaxial growth of thin films, monolayers, and submonolayers. This thesis seeks to demonstrate the power of MBE in investigating novel-spin based phenomena in candidate spintronics materials through a proximity effect. From an MBE point of view, this thesis is divided into two parts, but each with the same focus. The first discusses high quality MBE growth of thin films of functional ferromagnetic insulators onto interesting spin transport materials for the future potential application of realizing exchange fields and other interesting spin based phenomena induced in the non-magnetic material. In particular, Chapter 5 investigates thin film growth of EuO on GaAs and Chapter 7 studies the integration of EuO onto HOPG and graphene. These are two of the most important materials for spintronics applications due to their long spin lifetimes. Also, Chapter 6 investigates the feasibility of growing ultra thin ferromagnetic EuO onto TiO$_2$ perovskite planes. This is of direct rele-
vance for the nascent field of spin polarized transport and proximity induced phenomena in the quasi-two dimensional correlated oxide materials.

The second part of the thesis is oriented on investigating spin based behavior induced through proximity effects to adsorbates and defects introduced through submonolayer depositions by MBE. As discussed above, many interesting experiments have taken advantage of graphene’s unique surface sensitivity in combination with its tunable Fermi level to examine the effect on charge transport by various gases, adsorbates, and substrates in terms of charge impurity scattering and resonant scattering. This dissertation aims to build on these foundational works and investigate the effect on spin transport of both charge impurity scattering and defects by employing tunneling non-local spin valves. In particular, Chapter 8 investigates the effect of atomic hydrogen and lattice vacancies on the spin transport in the in-situ measurement system. Chapter 9 builds on the work of Keyu Pi and investigates the effect on the spin lifetime by systematically introducing charge impurities to non-local spin valves with tunneling contacts which avoids the conductivity mismatch problem.
Chapter 3

The Ferromagnetic Insulator EuO

3.1 Historical Perspective

The first fabrication of EuO samples in 1961 by Matthias was motivated by a standing problem concerning strange magnetic behavior in EuIr$_2$ and Eu$_2$O$_3$ [172, 173]. The problem was simple: trivalent Eu should not be magnetic. Yet, in experiments, these materials exhibited ferromagnetism with identical Curie temperatures. It was suggested that trace amounts of EuO could be the reason. After the first synthesis and measurements of EuO [172], an entire class of important materials, the chalcogenides, was born. Since its initial discovery, EuO has demonstrated many remarkable properties [174]. It is the first rare-earth oxide to demonstrate ferromagnetism. It is also characterized by the largest magnetization per unit volume of any oxide [172, 175], fantastically large Faraday rotation [176], and a highly spin split 5d conduction band [174]. However, early samples were typified by oxygen deficiencies leading the
discovery of unusual (interesting) behavior such as a metal-insulator transition (MIT) [177], colossal magnetoresistance (CMR) [178], half-metallic behavior [179], and the anomalous Hall effect [180]. Thereafter, EuO was the subject of intense theoretical and experimental research regarding its electrical, optical, and magnetic properties that is thoroughly reviewed in Mauger and Goddart 1985 [174] and Steeneken’s Ph.D. Thesis 2002 [181].

Following an intense research period in which the properties of EuO (and related metals EuO$_{1-x}$ and doped M$_x$Eu$_{1-x}$O) became well understood, EuO evolved into a fundamental example for understanding phenomena in the spinels, other multifunctional oxides, and dilute magnetic semiconductors, whose fields were beginning to gain significant momentum [174]. Interest in EuO began to fade as physicists lost interest and engineers saw no use in a material with an ordering temperature of 69 K. Around the turn of the century, EuO resurfaced for three reasons: 1) spintronics, 2) modern characterization techniques, and 3) advances in materials synthesis. For spintronics, which seeks to realize novel routes for information processing and storage by taking advantage of the electron’s spin degree of freedom, insulating EuO offered a route towards realizing electrical control over magnetism and spins in solids. In particular, EuO’s large magneto-optic response could be applied to laser-assisted writing of the magnetization. Also, it is thought to be a prime candidate for realizing novel magnetic control over spins and spin transport. This is evident by over 50 related theoretical publications since 2008 on the topic of inducing spin-based phenomena in graphene [182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221,
Figure 3.1: Crystal Structure of EuO. EuO crystallizes in the rock-salt structure represented with blue Eu atoms and green Oxygen atoms. Generated using VESTA software ([245]).


Also, after 30 years since EuO fell out of favor, there have been significant advances in synchrotron sources and electron spectroscopy as well as the realization of new surface techniques such as scanning tunneling microscopy (STM). Lastly, the importance of advances in materials synthesis cannot be understated as thin film UHV technology has replaced furnace based bulk crystal growth for achieving technologically relevant materials. The first generation of EuO research relied entirely on production of crystals through thermodynamic reduction processes [172, 174, 181]. Currently, EuO is almost exclusively grown by reactive MBE [181, 240, 241, 242, 243, 244]. In the modern growth era (since 2002 [181]), EuO has been thoroughly re-examined as an interesting system from a growth perspective and as a potential material for spintronics applications. EuO is precluded from industrial applications due to it’s low Curie temperature, but from a research point of view, a low $T_C$ is desirable as it allows for easy tuning of the system from the ferromagnetic to paramagnetic state.
3.2 Electrical, Magnetic, and Optical Properties of EuO

EuO has the rock-salt crystal structure (a = 5.14 Å) based on FCC Eu atoms each with an associated oxygen atom that forms an FCC oxygen sublattice as shown in Fig. 3.1. Eu is the 63rd element in the periodic table with an electronic structure of [Xe]4f² 5d⁰ 6s², while oxygen has 1s² 2s² 2p⁶. Therefore, when Hund’s rules are applied to trivalent (Eu³⁺) Europium oxide (Eu₂O₃), we obtain a S=6/2 and L=-3, resulting in total angular momentum J=0 and a non-magnetic material. However, in the case of divalent (Eu²⁺) Europium monoxide, S=7/2, L=0, and J=7/2 is maximized. Fig. 3.2 shows a schematic of the meaningful energy bands for the Eu and O atoms when forming EuO [174]. The first several columns take into account the electron affinity, the Madelung electrostatic lattice energy, and polarization effects [246, 247, 248]. The last column takes into account crystal field splitting of the 5d bands and optical absorption data (see Fig. 3.3) to make final corrections to the energy spacings. The schematic in Fig. 3.2 is useful in understanding the relative contributions to energy scales in EuO, but are essentially an approximation in the atomic limit from tight binding theory. The O 2p shell drops down below the Eu 4f and 5d shells to form the EuO fully occupied valence band due to the ionic contribution of two electrons from the Eu atoms. The Fermi level sits in the middle of the 4f shell, which is half occupied and highly localized. Because of this strong localization of the 4f state (typical for the first occupied atomic n level), it does not contribute to transport despite being a partially filled band.
Figure 3.2: Energy Levels of Atomic Orbitals in EuO. Adapted from [174].

The unoccupied 5d band is highly delocalized and is the conduction band. Below the Curie temperature, EuO orders ferromagnetically which breaks time reversal symmetry and causes crystal field splitting which lifts the degeneracy of the 5d band into 5d \( t_{2g} \) and 5d \( e_g \). The \( 'e_g' \) and \( 't_{2g}' \) represent the cubic symmetry for the FCC lattice and the 5d \( e_g \) consists of the \( d_{z^2} \) and \( d_{x^2-y^2} \) orbitals, while the 5d \( t_{2g} \) consists of the three \( d_{xy} \), \( d_{xz} \), and \( d_{yz} \) orbitals. These 5d states are themselves linear combinations of the more traditionally known solutions to the hydrogen atom with \( l=2 \). The \( d_{z^2} \) has orbital angular momentum of zero \((m_l=0)\), while the \( d_{xz} \) and \( d_{yz} \) are linear combinations of the \( m_l = \pm 1 \) states, and the \( d_{x^2-y^2} \) and \( d_{xy} \) states are linear combinations of the \( m_l = \pm 2 \) states. Another schematic representation of the band alignments and the DOS is shown in 3.4 a), which shows the EuO band gap of 1.1 eV at room temperature. In the ferromagnetic state as \( T \rightarrow 0 \) K, the 5d bands are split by an amount \( \Delta_{\text{EX}} \).
Magnetism in 4f rare earth materials obeys Hund’s rules very well, while magnetism in the transition metals, which exists based on spins from the 3d-orbitals, tends to be highly complex due to strong hybridization of the spin carrying 3d wavefunctions and orbital quenching [26]. On the other hand, the highly localized 4f shell is essentially isotropic, which is why EuO can be considered as the model example for a perfect Heisenberg ferromagnet, described by the Heisenberg spin-spin Hamiltonian,

$$H_{ex} = -J \vec{s}_i \cdot \vec{s}_j,$$  (3.1)
On the surface, this equation looks amazingly simple in explaining ferromagnetic ordering [253, 254, 255, 26] and gives the appearance that magnetism is easily explained by a spin-ordering phenomena, in which spins of electrons interact either parallel or antiparallel depending upon the sign of the scaling factor, $J$. The term $J$ is known as the exchange integral.

However, equation 3.14 is an effective and simplified Hamiltonian that is reduced from the
full Hamiltonian of a solid with $10^{23}$ interacting electrons in a periodic lattice. In fact, such a system is subject to the Pauli exclusion principle and therefore, since electrons are fermions and cannot be in the same state, their wavefunctions must be antisymmetrized [255]. Also, and importantly, the full Hamiltonian includes the interactions of electrons through their Coulomb interaction. If we take the simple problem of two interacting electrons through a Coulomb potential, we arrive at the standard single electron Hamiltonian that depends only on the interactions of a single electron and the lattice along with the kinetic energy as,

$$H_{\text{single}} = KE + V(R_k)$$  \hspace{1cm} (3.2)

where $V(R_k)$ denotes the interaction of the electron with an atomic potential at position $R_k$. Solutions to the single electron Hamiltonian yield the well known s,p,d, and f atomic orbitals. However, taking into account electron-electron interactions, results in an extra interaction term,

$$H_{\text{int}} = \frac{e^2}{|r_1 - r_2|}$$  \hspace{1cm} (3.3)

which is just the electron-electron coulomb energy for two electrons a distance $|r_1 - r_2|$ away from each other. In the case of a two electron system, there are two electrons at positions labeled $\vec{r}_1$ and $\vec{r}_2$, with two possible states, $\psi_i$ and $\psi_j$. The two electrons can have their orbital wavefunctions written in terms of symmetric and antisymmetric single particle
wavefunctions,

\[ \psi_+ = \psi_i(\vec{r}_1)\psi_j(\vec{r}_2) + \psi_i(\vec{r}_2)\psi_j(\vec{r}_1) \quad (3.4) \]

\[ \psi_- = \psi_i(\vec{r}_1)\psi_j(\vec{r}_2) - \psi_i(\vec{r}_2)\psi_j(\vec{r}_1) \quad (3.5) \]

and the spin part is given by,

\[ \chi_+ = \chi_{1\uparrow}\chi_{2\uparrow} + \chi_{1\downarrow}\chi_{2\downarrow} \quad (3.6) \]

\[ \chi_- = \chi_{1\uparrow}\chi_{2\downarrow} - \chi_{1\downarrow}\chi_{2\uparrow} \quad (3.7) \]

where \( \chi_{1\uparrow} \) represents an electron at \( \vec{r}_1 \) with spin \(+1/2\). The total electron wavefunction must comprise both the orbital and spin components and be antisymmetric, leading to

\[ \psi_{+, -} = [\psi_i(\vec{r}_1)\psi_j(\vec{r}_2) + \psi_i(\vec{r}_2)\psi_j(\vec{r}_1)] [\chi_{1\uparrow}\chi_{2\downarrow} - \chi_{1\downarrow}\chi_{2\uparrow}] = \psi_+ \chi_- \quad (3.8) \]

\[ \psi_{-, +} = [\psi_i(\vec{r}_1)\psi_j(\vec{r}_2) - \psi_i(\vec{r}_2)\psi_j(\vec{r}_1)] [\chi_{1\uparrow}\chi_{2\downarrow} + \chi_{1\downarrow}\chi_{2\uparrow}] = \psi_- \chi_+ \quad (3.9) \]

For this two electron Heitler-London problem, there are two energy eigenvalues determined by examining the quantities \( \psi^* H_{total} \psi = \psi^* (H_{single} + H_{int}) \psi \). The solutions of which are

\[ E_+ = E_{KE} + E_V + E_{e-e} + J_{ij} \quad (3.10) \]

\[ E_- = E_{KE} + E_V + E_{e-e} - J_{ij} \quad (3.11) \]
where $E_{e-e}$ and $J_{ij}$ are given by

\[ E_{e-e} = \int \int \psi_i^*(\vec{r}_1)\psi_j^*(\vec{r}_2) \frac{e^2}{\vec{r}_1 - \vec{r}_2} \psi_i(\vec{r}_1)\psi_j(\vec{r}_2) dV_1 dV_2 \] (3.12)

\[ J_{ij} = \int \int \psi_i^*(\vec{r}_1)\psi_j^*(\vec{r}_2) \frac{e^2}{\vec{r}_1 - \vec{r}_2} \psi_j(\vec{r}_1)\psi_i(\vec{r}_2) dV_1 dV_2 \] (3.13)

and the integral is taken over the spacial volume. The only difference between equations 3.12 and 3.13 is that the states $\psi_j$ and $\psi_i$ are swapped in the second half of the integral. This can be understood as follows. The first term, $E_{e-e}$ represents the electron-electron interaction term between single electron states $\psi_i^*(\vec{r}_1)\psi_i(\vec{r}_1)$ at $\vec{r}_1$ and $\psi_j^*(\vec{r}_2)\psi_j(\vec{r}_2)$ at $\vec{r}_2$. On the other hand, the second term, which is the exchange integral mentioned previously, is so named since it couples the swapped electron states.

The eigenvalue solutions $E_+$ and $E_-$ are associated with the spin states $\chi_+$ and $\chi_-$, respectively. $\chi_+$ is the “ferromagnetic” state with total spin $S = 1/2 + 1/2 = 1$, while $\chi_-$ is the “anti-ferromagnetic” state with spin $S = 1/2 - 1/2 = 0$. Therefore, simply based on symmetrization of the wavefunction and Coulomb interactions, there is a forced requirement that the two electron spins must either align or anti-align. The exchange term, which relies fundamentally on the Pauli exclusion principle and Coulomb interactions between electrons allows for the problem to be reduced to the simple form of the exchange Hamiltonian (3.14) which can be ferromagnetic or anti-ferromagnetic depending upon the precise nature of the overlap of the wavefunction and Coulomb term. This examination of the Heitler-London problem serves to demonstrate how exchange leads to magnetism. For real materials, for which the
exchange is much more complex by involving virtual transitions and multiple orbitals, the basic principle of exchange remains, along with the usefulness of the phenomenological Hamiltonian 3.14.

In the case of the 4f magnetic metals, such as Gd, direct exchange via nearest neighbors is negligible due to the localized nature of the 4f shell. Instead, the exchange mechanism is either through double exchange or by exchange using the delocalized conduction electrons to mediate the interaction [256]. However, in the 4f magnetic insulator EuO, the 5d conduction band is unoccupied, which means that free electrons cannot mediate the exchange interactions responsible for magnetism. Exchange in EuO can be thought of to have two main contributions, \( J_1 \) and \( J_2 \) related to the interactions between nearest neighbors and next nearest neighbors,

\[
H_{ex} = - \sum_{nn} J_1 \mathbf{s}_i \cdot \mathbf{s}_j - \sum_{nnn} J_2 \mathbf{s}_i \cdot \mathbf{s}_k
\]  

(3.14)
as discussed in [174, 257, 258]. Experimentally it has been determined from neutron scattering measurements that \( J_1/k_B = +0.606 \) K, and \( J_2/k_B = +0.119 \) K [174, 259], which are in good agreement with the theoretically calculated values by Kasuya [258, 174] of \( J_1/k_B = +0.406 \) K, and \( J_2/k_B = +0.163 \) K. It should be noted here, that the calculated values for Heisenberg exchange are an approximation in that they do not employ realistic 5d density of states or momentum-dependent wavefunctions. Nevertheless, a great success of the calculations of Kasuya were the predictions for ferromagnetic \( J_2 \) for EuO and antiferromagnetic \( J_2 \) for the other europium chalcogenides (EuS, EuSe, and EuTe).
Currently, it is generally thought that there is one majority exchange mechanism for $J_1$ and several competing exchange mechanisms that contribute to $J_2$ [258, 174, 181]. Ferromagnetic $J_1$, which involves nearest neighbor Eu-Eu 4f electrons arises from the following process: an Eu 4f electron at one Eu atom undergoes an excitation (dipole transition) to the delocalized 5d conduction band and experiences exchange with a 4f electron on the nearest Eu atom. Subsequently, the excited electron undergoes a second transition back down to the first Eu 4f atom, thus resulting in an exchange process through dipole transitions, but which have net energy of zero and are therefore called virtual transitions.

On the other hand, $J_2$, consists of exchange mechanisms involving the next nearest neighbor Eu atoms through the intervening oxygen p orbitals. This type of exchange is commonly called superexchange. There are three important contributions to $J_2$ for EuO and they are the Kramers-Anderson superexchange ($J_{K-A}$), the d-f superexchange ($J_{d-f}$), and the “crossed” exchange term ($J_c$) [258, 174]. The Kramers-Anderson superexchange involves mediation of 4f-4f next nearest neighbor exchange via oxygen p orbitals and is almost always antiferromagnetic as is true for the entire class of europium chalcogenides. The value for K-A exchange calculated by Kasuya is $J_{K-A}/k_B = -0.0058$ K. The d-f superexchange mechanism involves transferring an Eu 4f electron through the oxygen anion p state to the next nearest neighbor 5d conduction band where 5d-4f exchange takes place. This is the key mechanism for $J_2$ in EuO as it was found to be ferromagnetic [258] ($J_{d-f}/k_B = +0.075$ K). In the other EuX materials, the d-f superexchange mechanism is an order of magnitude larger and antiferromagnetic. In those materials, the lattice constant increases with increas-
ing size of the anion atom from S to Te, which causes the nearest neighbor exchange $J_1$ to
decrease and be dominated by the antiferromagnetic superexchange terms $J_{K-A}$, and pri-
marily, $J_{d-f}$. Lastly, the crossed exchange term, which arises from interference between the
Kramers-Anderson mechanism and the d-f superexchange mechanism, is also ferromagnetic
in EuO and is estimated to be $J_c/k_B = +0.95$ K.

More recently, EuO has been theoretically revisited by several authors [260, 261, 262,
263, 264, 265, 250, 266, 267, 268] in order to improve upon the simple exchange model
employed by Kasuya. In particular, with the advent of more advanced band calculations
such as density functional theory (DFT), theorists have improved upon the simple s-like
approximation for modeling the 5d band and 5d-4f exchange [260, 261, 262, 264, 265, 250,
267, 268, 269]. Unfortunately, it is a known problem that DFT local density approximation
(LDA) calculations cannot account for the observed band gap between the itinerant 5d band
and localized 4f states. This is can be dealt with by taking the 4f states as core levels to
obtain realistic 5d states, or by adding in an onsite coulomb (Hubbard-like) energy U (so
called LDA (or LSDA) + U models) that can then account for the band gap and provide
realistic 5d DOS. This allows for the calculation of $J_1$ and $J_2$ exchange constants that are
in relatively good agreement with those experimentally determined from neutron scattering
experiments [259]. In particular, key issues that have come under debate in recent years
concern fundamental understanding of the nature of the exchange interactions, including
the role of 4f-2p hybridization [250, 265, 270, 271, 267], momentum dependence of the
5d states [270, 260], and limiting factors in realizing an increase in the Curie temperature [250, 265, 272, 266, 271, 273, 274, 275, 276, 277, 278, 279].

Interestingly, a recent Monte Carlo study of EuO exchange constants [266], determined that the mean field relationship of $T_C$ with $J_1$ and $J_2$ is inaccurate, calling into question the entire understanding of the nature of exchange (and superexchange) in EuO as originally proposed by Kasuya in 1970 [258]. In many experiments, the measurable quantity is $J = J_1 + J_2$, and $J_1$ and $J_2$ values are determined by the mean field relationship [259, 266],

$$T_C = \frac{2}{3} S(S + 1)(12J_1 + 6J_2)/k_B$$

(3.15)

which is used to determine the values listed above ($J_1/k_B = +0.606$ K, and $J_2/k_B = +0.119$ K [174, 259]). Subsequent theoretical treatments have mainly worked within this framework, while improving upon the the Kasuya approximations by including more realistic 5d DOS [260, 261, 262, 264, 265, 250, 267, 268], relativistic effects [261], and hybridization [250, 265, 270, 271, 267]. However, the Monte Carlo method indicated that equation 3.15 underestimates $J_1$ significantly and calculated $J_1/k_B \sim 1.2$ K, almost double the mean field value. In this picture, for which the experimentally determined $J = J_1 + J_2 = 0.755$ is accepted as valid, the implication is that the $J_2$ must be antiferromagnetic, as opposed to the prediction of Kasuya. This has recently been supported by an experiment that induces tensile strain in EuO through epitaxial growth on CaF$_2$. These authors observed an enhancement in the Curie temperature, which is unexpected for ferromagnetic $J_2$ as shown by Ingle [250],
as well as observing strong hybridization effects between the Eu 4f and O 2p states. Thus, the ‘simple’ issue of exchange in EuO remains a matter of contentious debate even after 40 years of intense research. Yet, if we only consider the basic properties of a ferromagnetic isotropic system with $J_{\text{total}}$, EuO can still be considered a model system for understanding Hund’s rules and the Heisenberg Hamiltonian.

So far, only the basic properties of EuO have been discussed. However, there are several variations on EuO, including insulating stoichiometric EuO, Eu rich EuO (EuO$_{1-x}$), and substitutionally doped X$_x$Eu$_{1-x}$O. In XEuO, Eu is replaced with small amounts of another cation, usually Gd, or La [274, 278, 269, 276]. However, experiments have also been performed with X= Ce, Lu, and Fe [280, 281, 282]. Interestingly, these dopants demonstrate an enhanced Curie temperature up to $\sim$ 200 K for Gd doping. Also, such samples are known to exhibit a unique ‘double dome’ M-T curve. Several factors have been proposed to explain the $T_C$ enhancement and M-T curve shape, including magnetic bound polaron, strain, and enhanced exchange due to donor doping [174, 276, 278]. A key difference in behavior for EuO$_{1-x}$ and substitutionally doped X$_x$Eu$_{1-x}$O is the deviation from insulating behavior in stoichiometric EuO. In fact, both Eu$_{1-x}$ and XEuO exhibit an electrical phase transition, known as the metal to insulator transition (MIT), around the ferromagnetic ordering temperature and changes in resistance of over 8 orders of magnitude have been observed [174, 181, 269]. Strikingly, as the nature of exchange is a many-body effect that involves electron correlations, large colossal magneto resistance (CMR) is typical for these materials[178]. The field of doped EuO has been a very active research field in the last decade, mainly due to
the recent advances in growth techniques. It is now possible to accurately control the growth process and doping through MBE to obtain stoichiometric, doped, or Eu rich films. However, for the purpose of a magnetic gate dielectric for spintronics applications, it is crucial to maintain the insulating properties of EuO. Thus, this thesis is concerned with achieving stoichiometric films.

Much of the work regarding EuO concerns the bulk properties, but almost all modern day growth produces thin films at the nanometer scale. Thus, it is essential to understand EuO thin films from this perspective. There have been theoretical suggestions of interesting phases such as a surface induced MIT [263]. Otherwise, there is little existing material discussing the potential effects of nanoscaling EuO. Experimentally, there have been conflicting reports as to the magnetic nature of thin EuO films (< 10 nm). It has been shown that at these reduced thicknesses, that the magnetic quality decreases along with a reduction in the Curie temperature [283, 284, 285]. On the other hand, it has also been demonstrated by several other groups that, even down to just a couple unit cells, EuO demonstrates the bulk Curie temperature [243] and is supported by structural investigations by STM [286] and RHEED oscillations [241]. These contradictory results appear to originate from differences in growth methods, conditions, and regimes. This is an important issue since the proximity exchange interaction discussed in this dissertation requires high quality magnetic behavior at the interface, even for ultrathin films. In this dissertation, it is shown that the special growth regime (discussed below), in which stoichiometry is maintained, produces high quality EuO films with bulk $T_C$ values.
3.3 Reactive Molecular Beam Epitaxy (rMBE) of EuO

High quality stoichiometric EuO can be reliably grown thanks to a special growth regime that is called ‘distillation and oxygen-limited’ or equivalently, ‘adsorption-controlled’ [181, 269, 240, 241, 242, 243, 244]. Fundamentally, this regime is analogous to the one discussed in Chapter 2 regarding the early growths and development of GaAs films. The Ph.D. dissertation by P. G. Steeneken [181] (L. H. Tjeng group) provided the first experimental guide to growing high quality EuO films in a way that could maintain stoichiometry. In this work, stoichiometric EuO was shown to grow on YSZ, Al$_2$O$_3$, and MgO. Growth on YSZ and MgO were shown to grow epitaxially, while growth on Al$_2$O$_3$ was polycrystalline. This growth regime centers on the principle of flux distillation. In typical MBE growth, an elemental Eu flux is incident on a substrate causing the growth of a Eu film. However, Eu is a low temperature growth material with an evaporation temperature close to 400 °C under UHV conditions. Therefore, it is possible that if the substrate temperature is raised above the evaporation temperature of Eu, the sticking probability of the Eu flux will be zero. Therefore, the incident material will not be adsorbed onto the surface, but will be re-evaporated (distillation). This can be confirmed by monitoring the in-situ RHEED pattern. Next, to commence the growth of EuO, a small amount of oxygen can be introduced to the chamber. As long as the incident Eu flux exceeds the oxygen flux, then stoichiometric EuO will form. If the flux ratio Eu:O favors oxygen content, even slightly, then Eu$_2$O$_3$ or Eu$_3$O$_4$ will form. This is due to the ther-
modynamic stability of Eu$_2$O$_3$ compared to EuO [287]. This growth regime is presented in Fig. 3.6.

Further, Steeneken showed that by simply controlling the substrate temperature (as opposed to the flux ratio), that the oxygen vacancies could be carefully introduced into the sample. The first published paper in a peer reviewed journal that employed the adsorption-controlled regime investigated the exchange splitting in EuO$_{1-x}$ with a strong MIT [269]. These seminal works in the field of EuO growth were not immediately recognized for what they were. In 2008, the Schlom group published a milestone paper on the growth of EuO on well lattice-matched YAlO$_3$ and termed the name for this kind of growth as adsorption-limited. One year later, Sutarto (Tjeng group) published a thorough investigation of the growth of EuO on lattice-matched YSZ [241]. Remarkably, it was shown that EuO can be grown on YSZ without introducing oxygen into the chamber. Instead, the Eu flux can steal oxygen from the substrate. This phenomenon is now called substrate-supplied oxidation or substrate-assisted oxidation. Interestingly, the growths on different oxide substrates exhibited noticeable differences including minimum substrate temperature for distillation, crystallinity, ability for substrate supplied oxidation, magnetic behavior, and electrical properties.

However, to this point, all growths taking advantage of this regime, involved oxide substrates. In particular the growth on lattice-matched substrates YAlO$_3$ and YSZ (with substrate-supplied oxidation) had been thoroughly investigated and shown to be of superb quality [240, 241]. On the other hand, growth on MgO had been demonstrated but generally with varying results, which was mainly attributed to the large lattice mismatch [288, 241]. MgO is
an important oxide for spintronics due to its ubiquitous use as a growth substrate [289] and spin filter tunneling [6, 27, 5]. In this dissertation, Chapter 5, section 5.2 briefly summarizes the first growth experiments in our group that reproduce the work of the Tjeng group in the Sutarto paper [241, 242]. Chapter 5 goes on to present the growth of EuO on GaAs. This is the first demonstration of the growth of high quality stoichiometric EuO on GaAs, and the first demonstration of the adsorption-limited growth regime on any semiconductor.

Through this work it was discovered that the growth quality of EuO on MgO is not reliable. While it is possible to growth single crystal EuO on MgO, two substrates prepared exactly the same way, may result in entirely different films when grown under the same conditions. After a careful and systematic debugging of the growth chamber, it was determined that the this was a real growth mode issue relating to the MgO surface. A general trend was found that smoother MgO films (>10 nm e-beam MgO buffer layer), demonstrated the poor growth regime. On the other hand, films grown with either a very thin buffer layer of e-beam MgO (< 10 nm) or directly on the bare MTI corporation MgO substrate, would sometimes result in highly ordered epitaxial films with cube-on-cube orientation. The question of contamination or roughness was not determined and these growth results are unpublished and only of preliminary status. However, this led to the work presented in Chapter 6 which demonstrates high quality growth on MgO through a special TiO₂ electrostatic template which alleviates the growth problems associated with MgO substrate. The results presented in Chapter 6 are directly relevant for the growth of EuO on perovskite planes and opens the door for possible studies of growth on perovskite materials such as LaAlO₃ and SrTiO₃. This is an important
direction due to the prediction of a spin-polarized 2-D electron gas at the interface of EuO and LaAlO$_3$, due to the polar catastrophe effect [125, 124].

Graphene is a promising spintronics materials as it has the longest spin diffusion length at room temperature and should theoretically have long spin lifetimes. However, oxide growth on graphene is nontrivial due to the low surface energy of sp$^2$ bonded carbon materials [290]. Chapter 7 presents the integration of EuO onto graphene. The primary aim of this dissertation is to provide original research towards realizing novel spin-based phenomena in a candidate spintronic material via a proximity effect. The growth and integration of EuO onto GaAs, TiO$_2$ perovskite planes, and graphene provide a major advance in the field toward realizing these goals.
Figure 3.6: Diagram of Adsorption-controlled Growth Regime. a) Simplified schematic of a standard MBE chamber equipped with a Eu effusion cell, O\textsubscript{2} molecular leak valve, RHEED electron gun, and CCD camera for monitoring the RHEED pattern \textit{in-situ}. The black line connecting the RHEED gun to the CCD camera signifies the electron beam path. The substrate is mounted in the center of the chamber facing the Eu source and can be radiatively heated. b) Typical MBE growth when the substrate is maintained at room temperature. The Eu material is sublimed from the source cell and due to the long mean free path in the chamber, the flux extends out as an atomic beam resulting in the growth of a thin Eu film (shown in red). Lower panel (c) - e): Schematic of adsorption-limited regime. c) Schematic of the Eu flux distillation. When the substrate is maintained at an elevated temperature for which the sticking probability of Eu atoms on the substrate surface is zero, then the Eu flux re-evaporates. This effect is highly substrate and temperature dependent. d) Schematic of the growth of Eu\textsubscript{O} under distillation condition at perfect flux matching, Eu:O 1:1. In this case, all the incident Eu is converted to Eu\textsubscript{O}. However, due to the difficulty of perfect flux matching between Eu and O, combined with the thermodynamic favorability to form Eu\textsubscript{2}O\textsubscript{3} for flux ratios where O>Eu, leads to the requirement that the Eu flux always exceed the O flux. However, this does not form Eu rich Eu\textsubscript{O} (Eu\textsubscript{O}_{1-x}) due to the distillation condition as excess Eu is re-evaporated, as is shown in e).
Chapter 4

Spin-Based Proximity Induced Phenomena

4.1 Induced Proximity Effects in Thin Film Systems

4.1.1 Introduction

Control over (nanoscale) magnetism is not a new field and there have been many discoveries particularly in trying to realize electric field control over magnetism \[291\]. Such achievements include electric field control over interfacial anisotropy \[292, 293\], exchange bias \[294\], magnetoresistance \[295, 296\], spin transport \[109\], and correlated phase transitions \[297\]. However, induced magnetism or spin-based phenomena through a proximity effect is also of great interest, but has been more difficult to achieve and study. In 1971 Mersevey and Tedrow \[4\] demonstrated that when a superconductor is brought into contact...
with a ferromagnet, that interesting behavior stemming from the ferromagnet can be detected in the superconducting current. In particular, due to the overlap of wavefunctions, an exchange field can be penetrate some small depth into the superconductor. Tedrow went on to demonstrate evidence for a proximity induced magnetic field in the superconducting layer due contact with EuO [298]. This technique has become useful in measuring the spin polarization of the conduction band of the ferromagnetic metal, as was demonstrated with La doped EuO for which a spin polarization close to 100% was measured [179]. Very recently, the issue of proximity induced magnetism has resurfaced in the metallic bilayer systems that are used in inverse spin Hall measurements [299]. In this case, it is suspected that the spin Hall layer (Pd, Pt) is polarized some thickness near the interface, but accurate measurements on the nature of the magnetic interface, importance of growth quality, as well as the effect of such proximity effects on interfacial angular momentum transfer remain open questions.

Here, it is proposed to take advantage of exchange overlap of wavefunctions, an electronic effect, which has the potential for gate tunability, and attempt to induce new behavior
in candidate spin transport materials. In this dissertation two approaches along this theme are investigated. First, the growth of epitaxial ferromagnetic insulators is studied. EuO is the ferromagnetic insulator of choice as it is discussed extensively as a possible material to induce exchange by a proximity effect. Further, EuO behaves as a model isotropic Heisenberg ferromagnet with excellent properties. Since overlap of wavefunctions will require high quality materials at the atomic scale, MBE is used to grow EuO in a special growth regime on several non-magnetic candidate spin transport materials (GaAs, oxide interfaces, graphene).

4.1.2 EuO/GaAs

There exists no theoretical predictions, experiments, or even materials growth studies for the EuO/GaAs system. However, GaAs is a great spin system due to its long spin lifetimes and direct band gap. There are several possible ways to study induced phenomena at the interface. One is to use ultrathin GaAs layers and use electrical measurements. The second involves taking advantage of the optical access provided by the direct band gap [104] and investigate the spin dependent properties at the interface for which there have been significant advances in interfacial optical probes including second harmonic generation [300, 301] and ferromagnetic proximity polarization [105, 302, 303]. In Chapter 5, I demonstrate for the first time, the epitaxial growth of EuO on GaAs.
4.1.3 EuO/LaAlO$_3$

Correlated oxide interfaces have generated new and interesting phenomena not present in the bulk constituent materials [112, 113]. Further, these systems have demonstrated fascinating conducting behavior due to the polar catastrophe effect [304]. In this picture, due to the buildup in electric potential, charge is transferred from the surface to the interface in order to reduce the electric field built-up inside the polar oxide material. The charge transferred to the interface lies in a surface conducting channel provided by the non-polar band insulating material. This was observed for the first time at the SrTiO$_3$/LaAlO$_3$ interface in 2004 [110]. This system can be considered quasi-2D and demonstrates Subnikov de-Haas (SdH) oscillations [110], is confined to a region approximately 2 nm near the interface [305], demonstrates gate tunable confinement and mobility [116], and possibly has two or more conducting channels [306]. Further, there have been reports of gate tunable superconductivity [114] and the coexistence of dilute magnetic moments and superconductivity [119]. From a spin transport point of view, there have been reports for gate tunable spin orbit coupling [118], but few discussions of potential as a spin transport layer. There exists one study of spin injection into this system by three terminal Hanle method [111]. In this technique spins are injected from a Co contact into interfacial states in the LaAlO$_3$ tunnel barrier and detected there. Clear demonstration of spin injection and transport in this system is lacking. There have also been amazing reports of fully 2D interfacial transport in MgZnO/ZnO which has demonstrated SdH oscillations but also the quantized Hall effect (a clear signature of 2D transport) [120], as well as the fractional quantized Hall effect [307]. Further, since interface
properties in these systems are strongly driven by broken symmetries (inversion, time, gauge) [113], which depends strongly on the materials of choice and correlated behavior, there exists great potential for realizing unique and exciting behavior.

There have been exciting predictions [125, 124] for the formation of a spin-polarized 2D conducting channel at the interface of EuO and LaAlO$_3$ due to the polar catastrophe effect. Because of the electric potential build up in the polar LaO interface, charge is transferred from the surface to the interface and causes band bending in the EuO at the interface. If there is enough band bending, the conduction band can drop below the fermi level which creates a populated conduction channel at the interface as is shown in Fig. 4.2. Because of the strong spin splitting in the EuO 5d conduction band, the conduction channel created at the interface is expected to be strongly spin polarized as predicted by DFT calculations [125, 124]. There has been one experimental work suggesting the possibility of a proximity

Figure 4.2: Spin Polarized 2DEG at the EuO/LAO$_3$ Interface. Adapted from [124].
effect [308], but more experiments are needed. Chapter 6 presents the high quality epitaxial growth on specially templated TiO$_2$ planes which are the building blocks of perovskite structures. It is demonstrated that ultrathin EuO films with bulk like T$_C$ can be realized. This is highly promising for potentially realizing spin-polarized 2DEGs as the material structural and magnetic quality can be maintained at the interface.

4.1.4 EuO/Graphene

As briefly mentioned in Chapter 3, there have been many theoretical predictions for induced spin-based phenomena in graphene which require an exchange field in graphene [182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239].

In 2007, Semenov et al., [210] proposed a variation of the Datta-Das spin transistor. In this scheme (see Fig. 4.3) the method of spin manipulation originally proposed by Datta and Das (Rashba spin-orbit coupling) is replaced with a gate tunable exchange interaction induced through a proximity effect. Subsequently, a simple calculation by Haugen et al., [190] based on the Tedrow experiments with EuO predicted a 5 meV spin splitting induced in the graphene layer through the exchange proximity interaction (EPI). There have since been some interesting device applications including graphene mediated exchange bias, spin torque, and gate tunable magnetoresistance. Despite the extensive interest in this system, there has yet to be any experimental progress in this direction. Part of the reason for this is graphene's
Figure 4.3: Exchange Interaction for Spin Manipulation in a SFET. Adapted from [210].

chemically inert nature, which makes epitaxial oxide growth very difficult. Effective gate dielectrics require pinhole free, relatively flat and uniform oxides on graphene. Further, the EPI also has stringent requirements on the materials quality at the interface. In Chapter 7, I demonstrate epitaxial growth of EuO on graphene and demonstrate that the growth of these films leaves the graphene in good condition.

4.2 Induced Magnetism in Graphene by Dopants and Defects

4.2.1 Introduction to $p_z$-orbital Defects in Graphene

Magnetism in graphene is an emerging field [309] but difficult to achieve since intrinsic pristine graphene is magnetically uninteresting. This is due to low intrinsic spin-orbit coupling [61, 62, 63], low abundance of nuclear carbon [64], and graphene produced in experiments by CVD and mechanical exfoliation can be single crystal and defect free [310, 48]. It is
Figure 4.4: Single $p_z$-orbital Defects in Graphene. Adapted from [309]. a) Single lattice vacancy in graphene. Removal of a carbon atom leaves three $\sigma$ dangling bonds and removes an electron from the $p_z$ band locally. c) Single hydrogen atom generates $sp^3$ hybridization which effectively removes an electron from the $p_z$ band locally.

exactly for these reasons that graphene has such great potential as a spin transport layer with long spin lifetimes [32, 71]. However, some interesting spintronics devices and fundamental physics can be realized in magnetic graphene [213, 226, 228, 204, 202, 200, 199, 185]. Two ways in which magnetic graphene can be realized is through proximity to a ferromagnetic insulator [190, 210], as discussed above, or through $p_z$-orbital defects [309]. Such defects can be chemically and structurally distinct, so long as they effectively remove a $p_z$-orbital electron from the band structure. Fig. 4.7 displays two scenarios that can create these kind of defects. One is through adsorption of adatoms that cause $sp^3$ hybridization. Another is through actual lattice vacancies. The vacancy picture is more complicated since there are also remainder dangling bonds in the $\sigma$ band. However, a general picture for magnetic moment formation through $p_z$-orbital defects can be understood for both cases.

The creation of magnetic moments by localized defects has been studied extensively by theorists [309]. Localized defects, such as vacancies and $sp^3$ bonded adsorbates, remove a $p_z$ electron locally which creates a defect state close to the Fermi energy [311, 312]. On-site
coulomb interactions generates spin-splitting of the defect state and is therefore an electron-electron correlated effect. This is a variation of the Hubbard model and has been studied from first principles [313, 314, 315, 316] and from the point of view of mean-field Hubbard exchange [317, 318, 319, 320]. Fig. 4.5 a) and b) presents the calculated spin-resolved DOS for graphene with hydrogen adsorbates and single lattice vacancies, respectively. In the case of $p_z$-orbital vacancies (Fig. 4.5 a)) there are two clear peaks in the DOS near the Fermi energy on top of the intrinsic graphene band structure for tight binding theory. Notably, the two defect states are spin split in energy, with one state lying above the Fermi energy and the other lying below. Thus, the state is half occupied and has a net spin, $S = 1/2$ and 1 $\mu_B$ per defect site is expected. The phenomenon can be understood even more generally in terms of Lieb’s theorem [321] which states that, for such a two-part (A and B sublattice) lattice with on-site Hubbard exchange, there should be a net spin-moment in the system given by $2S = |N_A - N_B|$ [309, 319]. This connects local defects and $p_z$-orbital defects with nanostructured graphene such as islands and ribbons with magnetism. In fact, this same physics is the foundation for the prediction of magnetism in specially patterned structures and edge magnetism in graphene nanoribbons [322, 323].

The case of single lattice vacancies in graphene is more complicated as demonstrated in the spin-resolved DOS shown in Fig. 4.5 b). For lattice vacancies the picture of exchange splitting of defect states for the removal of a $p_z$ orbital remains and these states are appropriately labeled in Fig. 4.5 b). However, the removal of a carbon atom to form the vacancy leaves dangling $\sigma$ bonds which also experience on-site coulomb repulsion [315]. These dan-
gling bonds also generate a defect state which is also subject to an on-site coulomb exchange interaction. These states are labeled sp² in Fig. 4.5 b) and the exchange interaction for the dangling bonds is large compared with the \( p_z \) defect states. Thus, the magnetic moment arising from the \( \sigma \) band dominates in the case of vacancies [315, 319]. Because there are two bands contributing defect spin-split defect states, the expected moment per defect is between 1.1 and 1.5 \( \mu_B \) per defect state [309]. As depicted in Fig 4.5 b), the quasi-localized \( p_z \)-orbital defect state is suppressed in the vacancy case compared to the hydrogen case due to electronic reconstruction of the vacancy [315].

For the A sublattice, according to Lieb’s theorem, a defect should generate spin, \( S = +1/2 \), while on the B sublattice the sign should be reversed. Further, the quasi-localized state extends radially several unit cells and the total magnetic moment is correspondingly
should be antiferromagnetic localized states. The localized moment is actually quenched due to strong interactions between the quasi-localized states. In the limit of relatively dilute local moments, there is a general consensus that both type of defects should generate spin-half paramagnetism. At high concentration of defects, the localized moment is actually quenched due to strong interactions between the quasi-localized states. In the intermediate regime, it has been shown that for a realistic defect topography, which places single defects randomly and on each sublattice, the coupling should be antiferromagnetic. Ferromagnetic order is only expected for defects on a single sublattice, which is not possible to realize in typical experiments.

Regarding interactions between localized moments, the coupling is expected to be of the RKKY nature since graphene is a semimetal. However, for graphene this is expected to be very weak and magnetic ordering might only be realized low temperatures. In the limit of relatively dilute local moments, there is a general consensus that both type of defects should generate spin-half paramagnetism. At high concentration of defects, the localized moment is actually quenched due to strong interactions between the quasi-localized states. In the intermediate regime, it has been shown that for a realistic defect topography, which places single defects randomly and on each sublattice, the coupling should be antiferromagnetic. Ferromagnetic order is only expected for defects on a single sublattice, which is not possible to realize in typical experiments.

Figure 4.6: Spatial Distribution of the Quasi-localized Induced Magnetic Moment. Adapted from [315]. a) Distribution of magnetic moment for the quasi-localized state for hydrogen adsorbed onto graphene. A and B sublattice have opposite signs of the induced moment. b) Distribution of magnetic moment for the quasi-localized state a single lattice vacancy in graphene.

distributed with spin texture that is positive and negative depending on the sublattice. This is shown in Fig. 4.6 for both chemisorbed hydrogen and for a single lattice vacancy [315].
4.2.2 Experimental Progress on Magnetism in Graphene by Localized Defects

There have been many experimental studies of magnetism and magnetic order in graphene and its allotropes. Much of the progress in this field has revolved around investigations of defected graphite by magnetometry measurements [309, 324, 325, 326, 327, 328, 329, 330, 331]. In particular, there have been several reports of ferromagnetic ordering in graphene and graphite [324, 325, 326, 327, 328, 329]. As discussed above, there is a universal explanation for the production of magnetic moments in defected graphene, defected carbon nanotubes, defected fullerenes, defected graphite, and nanostructured graphene. This is based on the fact that all these systems have the A-B sublattice and are subjected to Lieb’s theorem. However, it has also been discussed that ferromagnetic ordering is unlikely to be observed since all the defects must exist on only one sublattice in order to realize ferromagnetic coupling [309]. Further, it is known that magnetometry measurements are prone to artificial signals of magnetism due to the fact that the whole magnetic signal is measured and the signal is not sensitive locally. Recently, a careful study [330] showed that many types of commercial HOPG substrates are littered with Fe and other magnetic impurities which give ferromagnetic results at room temperature. The authors go on to show that carefully constructed graphene ‘laminates’ derived from high quality HOPG from SPI (does not demonstrate ferromagnetism) exhibits spin-1/2 paramagnetism when fluorinated or proton irradiated irradiated. To date,
this is the most clear evidence for magnetic moment formation in agreement with the theoretical considerations discussed above.

Further, there have been several transport experiments regarding magnetism in graphene. This has the advantage of locally probing the graphene flake, but does not directly probe the magnetic degree of freedom. In 2011, Candini et al., [332] observed hysteretic magnetoresistance in narrow graphene ribbons and Hong et al., [165] observed negative colossal magnetoresistance and weak (anti)localization in dilute fluorinated graphene. Notably, Chen et al., [333] reported the observation of gate tunable Kondo effect in defected graphene through measurements of the temperature dependent resistance which demonstrated a possible logarithmic dependence that could be suggestive of the Kondo effect. This claim was disputed by Jobst and Weber [334]. To date, Kondo in graphene remains a controversial topic.
4.3 Conclusion

Induced magnetism in graphene is an interesting and exciting problem that is just emerging from an experimental point of view. There have been many theoretical works that have investigated both induced magnetism through proximity to a ferromagnetic insulator and through localized defects. In the former case, most theoretical works have taken induced exchange splitting for fact, and suggested new and novel spintronics applications for the FMI/graphene materials system. The standing prediction of 5 meV splitting through contact by EuO with graphene is possibly large enough to have implications for transport experiments. However, to date, the field is lacking in concrete experimental works that try to realize the EPI. The current problem is that the FMI graphene system does not exist and meet the materials quality at the interface necessary to realize the EPI. Chapter 7 discusses a significant materials advance in this field by demonstrating the epitaxial integration of EuO onto graphene.

On the other hand, induced magnetism in doped and defected graphene appears to have an excellent theoretical grounding but careful experiments are lacking. Essentially, the problem of generating induced magnetism in graphene on a local scale, is highly related to inducing the exchange proximity interaction (EPI) by a ferromagnetic insulator. In both cases, the mechanism for induced magnetism is the exchange interaction. Further, both require high quality surfaces and interfaces to be realized. The reports of ferromagnetic ordering in defected graphene and graphite have motivated significant interest. The work of Nair et al., which demonstrates S=1/2 paramagnetic behavior for initially diamagnetic graphene lami-
nates agrees well with the theoretical predictions but is in stark contrast with the rest of the field. Transport measurements are able to locally probe the graphene flake but must rely on localization models or observations of hysteretic behavior in the resistance which could stem from various causes. Further, the recent report of Kondo complicates these reports. Clear experiments that can take the advantages of both of these techniques is necessary. In Chapter 8 this issue is revisited. By employing non-local spin valves in the unique in-situ UHV measurement system, the spin degree of freedom can be directly probed locally on the graphene flake immediately before and after defects are carefully introduced to the sample. This work represents a significant advance in the field towards understanding induced magnetic behavior in graphene.
Chapter 5

Epitaxial EuO Thin Films on GaAs

5.1 Introduction

Stoichiometric EuO is an attractive material for spintronics because it is a ferromagnetic insulator with a large exchange splitting of its conduction band [251], as well as having the largest magneto-optic response of any oxide [176], and large magnetic moment of 7 Bohr magnetons ($\mu_B$) per Eu atom [174]. When used as a tunnel barrier, the EuO is an effective spin filter [251] due to its spin dependent barrier height. EuO’s insulating nature enables its use as a gate dielectric for which it is predicted to generate a gate tunable exchange field for spin manipulation [190]. Historically, the growth of stoichiometric EuO has been notoriously difficult to achieve. For reactive molecular beam epitaxy (MBE), the growth requires fine-tuning of the Eu and O$_2$ fluxes because a low oxygen flux results in the formation of Eu-rich EuO (EuO$_{1-x}$) [288, 179]. On the other hand, a high oxygen flux leads to the formation of
non-magnetic Eu$_2$O$_3$, which is more thermodynamically favorable [287]. While EuO$_{1-x}$ is interesting for its metal-insulator transition and colossal magnetoresistance, its ferromagnetic phase is metallic and therefore does not possess the unique properties of a ferromagnetic insulator.

Recently, the high quality and reliable epitaxial growth of stoichiometric EuO on oxide substrates has been accomplished using a high temperature, adsorption-controlled growth mode [181, 335, 240, 241]. In this regime, the Eu flux is set to be much higher than the O$_2$ flux and a high substrate temperature (~450 °C) is maintained to re-evaporate any excess Eu. Only Eu atoms that have paired with an oxygen atom remain on the sample, leading to a stoichiometric EuO film whose growth rate is controlled by the adsorption of the oxygen gas. Furthermore, the europium overpressure inhibits the formation of Eu$_2$O$_3$.

The integration of a magnetic insulator, such as EuO, with semiconductors is important for spintronic devices since semiconductors are the mainstay of the current electronics industry. While there has been great progress in the MBE growth of EuO$_{1-x}$ on silicon and GaN [179, 336], the growth of stoichiometric EuO on a semiconductor has yet to be achieved. We are particularly interested in EuO/GaAs because GaAs is well suited for optical probes of the spin polarization and spin dynamics [105, 101, 106]. However, the direct growth of stoichiometric EuO on GaAs presents significant challenges. It is well known that elemental rare-earths grown on GaAs(001) result in highly reacted interfacial phases [337]. In addition, the elevated substrate temperatures required for stoichiometric growth will enhance the interface reaction and also promote interdiffusion. This suggests that a diffusion barrier will
be necessary for the integration of stoichiometric EuO with GaAs, similar to the approach utilized for integrating epitaxial oxides onto silicon [336, 338, 339, 340].

Here, we report the epitaxial growth of EuO films on GaAs(001) in the adsorption-controlled regime using an MgO diffusion barrier. EuO is deposited on yttrium-stabilized cubic zirconia (YSZ) and MgO substrates to demonstrate high quality, adsorption-controlled growth. When deposited directly onto GaAs, EuO exhibits poor crystalline structure and weak or no ferromagnetic behavior. To solve this problem, we employ MgO diffusion barriers on GaAs(001) and subsequently deposit EuO overlayers in the adsorption-controlled regime. These samples exhibit high quality single-crystal structure and possess good magnetic properties including a Curie temperature ($T_C$) of 69 K (equal to bulk $T_C$ [174]), hysteresis loops with substantial remanent magnetization, and a large magneto-optic Kerr rotation of 0.57 degrees.

5.2 Experimental Determination of Eu Distillation Off Lattice Matched YSZ(001)

Samples are grown by MBE in an ultrahigh vacuum chamber with a base pressure of $1 \times 10^{-10}$ Torr. For all samples, pure Eu metal (99.99%) is evaporated from a thermal effusion cell at a rate of 7.4-7.8 Å/min. For all EuO depositions, the samples are held at 450 °C for the re-evaporation of Eu. Growths are initiated with Eu flux, followed by molecular oxygen gas (99.994% pure) that is leaked into the chamber to a stable pressure of $1.0 \times 10^{-10}$ Torr.
Figure 5.1: Investigation of EuO on YSZ(001) and MgO(001). a) and b) EuO RHEED patterns on YSZ(001) along [100] and [110], respectively. c) Temperature dependence of the Kerr rotation at saturation for EuO/YSZ(001). Inset: longitudinal MOKE hysteresis loop at 5 K. d) RHEED pattern of 5 nm MgO buffer layer on MgO(001). e) RHEED pattern of EuO/MgO(5 nm)/MgO(001) along [100]. f) MOKE hysteresis loop of EuO/MgO(5 nm)/MgO(001)

For all samples, the growth time is 30 minutes and is terminated by closing the oxygen leak valve and then closing the Eu shutter within 30 s. Samples are capped with 3 nm MgO from an electron beam source to protect the EuO from further oxidation. For growth on GaAs, GaAs(001) substrates with GaAs buffer layers are prepared by III-V MBE and capped with As. After transferring in air to the EuO/MgO MBE system, the As cap is desorbed to yield a $2 \times 4$ GaAs(001) surface. An atomic force microscopy (AFM) profile scan of a sample grown under these conditions gives a film thickness of $5.54 \pm 0.07$ nm, for which a
saturation magnetization value of 6.93 ±0.26 μB/Eu atom is measured by vibrating sample magnetometry at 5 K (not shown). Within the error, this is nearly identical to the theoretical value of 7 μB/Eu atom for EuO.

The epitaxy of EuO on YSZ(001) (Y_{2}O_{3}:ZrO 8% mol) serves as a reference for the adsorption-controlled growth due to the excellent lattice match of 0.3% (a_{EuO}=5.140 Å, a_{YSZ}=5.125 Å) [241]. Fig. 5.1 a) and Fig. 5.1 b) show typical reflection high energy electron diffraction (RHEED) patterns for EuO/YSZ(001) along the [100] and [110] directions, respectively. RHEED oscillations (not shown) are seen up to eight monolayers (ML) independent of the oxygen partial pressure, indicating that the initial growth is substrate assisted in agreement with previous reports [241]. Samples are characterized by longitudinal magneto-optic Kerr effect (MOKE) (635 nm, 150 μW) in an optical flow cryostat with an angle of incidence of 45 ° and p-polarized incident beam. The inset of Fig. 5.1 c) shows a typical MOKE loop for EuO/YSZ measured at 5 K. The coercive field (H_{C}) is 202 Oe, the ratio of the remanent magnetization to saturation magnetization (M_{r}/M_{S}) is 0.69, and the measured Kerr rotation is 0.65 °. The remanent Kerr rotation as a function of temperature (Fig. 5.1 c)) indicates a T_{C} of 69 K, in agreement with the bulk T_{C}. These magnetic properties indicate the growth of high quality EuO.

Following the growth on YSZ(001), we deposit EuO on both As- and Ga-terminated surfaces of GaAs(001) at 450 °C. In all cases, RHEED patterns are nonexistent and MOKE characterizations show either weak or no ferromagnetic behavior. The high temperature likely aides in the out-diffusion of As and the formation of reactive phases. A possible method to
suppress the interdiffusion and interface reaction is to employ a thin MgO diffusion barrier (i.e. EuO/MgO/GaAs).

5.3 MgO as a Diffusion Barrier for Deposition of EuO on GaAs

To systematically develop the MgO diffusion barrier, we grow EuO on an MgO(001) substrate because the large lattice mismatch of 22.5% (\(a_{\text{EuO}}=5.140\ \text{Å}, \ a_{\text{MgO}}=4.212\ \text{Å}\)) makes the epitaxial growth non-trivial and magnetic quality uncertain. Prior to growth, the MgO substrates are annealed at 600 °C in an oxygen environment (1 \(\times\) 10\(^{-7}\) Torr), followed by a 5 nm MgO buffer layer deposited at 350 °C \([289]\) in an oxygen environment (8 \(\times\) 10\(^{-8}\) Torr).

The RHEED pattern of the MgO buffer layer is shown in Fig. 5.1 d). At the onset of EuO growth, the RHEED pattern fades, with only the central peak remaining. After approximately 2 nm, the pattern reappears (Fig. 5.1 e)), and the side diffraction streaks are shifted by 22% (compared to MgO), indicating high quality epitaxy and cube-on-cube growth. Longitudinal MOKE loops (Fig. 5.1 f)) taken at 5 K indicate excellent magnetic properties with an \(H_C\) of 58 Oe and \(M_R/M_S\) of 0.97.

Since it is well known that the epitaxy of MgO on semiconductors is highly temperature dependent \([338, 341, 342]\), we next investigate the growth of MgO diffusion barriers on GaAs(001) and optimize the quality of e-beam deposited MgO by systematically varying the growth temperature. Starting with a 2 \(\times\) 4 GaAs(001) surface (Fig. 5.2 a) and 5.2 b)), 2 nm
Figure 5.2: Integration of EuO on GaAs(001). a)-f) RHEED patterns of the GaAs substrate with 2×4 reconstruction and subsequent growths of 2 nm MgO grown at 300 °C and EuO grown at 450 °C. The left column is in the [100] direction and the right column is in the [1T0] direction. g)-i) AFM measured at RT on 2 nm MgO grown at 50 °C, 300 °C and 400 °C, respectively, on 2×4 reconstructed GaAs. j) RMS roughness of 2 nm MgO on GaAs displayed as a function of growth temperature.

MgO films are grown in an oxygen environment (8 × 10⁻⁸ Torr) at substrate temperatures ranging from 50 °C to 420 °C (the limit for 2×4 reconstruction). Because the adsorption-controlled growth of EuO requires a substrate temperature of 450 °C, the MgO films are subsequently annealed for 30 minutes at 450 °C. After post annealing, samples are removed for ex situ AFM. Figures 5.2 g), 5.2 h), and 5.2 i) show AFM scans of MgO films grown
Figure 5.3: Magnetization Characterization by MOKE on EuO /MgO(2 nm)/GaAs (001). a) Longitudinal MOKE hysteresis loops taken at 5 K, 40 K, and 65 K. b) Temperature dependence of the Kerr rotation at saturation.

at 50 °C, 300 °C, and 400 °C, respectively. As summarized in Fig. 5.2 j), AFM scans yield RMS roughness values between 0.540 nm and 0.198 nm, with the optimal growth of MgO on GaAs at 300 °C. The corresponding RHEED patterns of the optimized MgO are shown in Fig. 5.2 b) and 5.2 c) for [100] and [110], respectively, and indicate good single-crystal structure. Lastly, adsorption-controlled EuO is deposited on MgO/GaAs(001) at 450 °C with an optimized MgO diffusion barrier. RHEED patterns, as shown in Fig. 5.2 e) and 5.2 f) along [100] and [110], respectively, indicate that the growth of EuO is single crystal and cube-on-cube.

Fig. 5.3 a) shows longitudinal MOKE hysteresis loops taken at 5 K, 40 K, and 65 K. At 5 K, the $H_C$ is 102 Oe, $M_R/M_S$ is 0.44, and the saturation Kerr rotation is 0.57 °. With increasing temperature, both $H_C$ and the Kerr rotation decrease monotonically as expected for magnetic thin film behavior. Figure 5.3 b) shows a detailed measurement of the remanent Kerr rotation.
as a function of temperature. The measured Curie temperature, $T_C=69$ K, agrees with the bulk value. Comparing these properties to the EuO films on YSZ(001) and MgO(001) substrates indicates that the magnetic properties of EuO/MgO/GaAs(001) are weaker but still very good.

### 5.4 Conclusion

In conclusion, we have grown EuO on YSZ(001), MgO(001), and GaAs(001) in the adsorption-controlled regime. For growth on GaAs(001), an MgO diffusion barrier is employed to suppress the interface reaction and interdiffusion between the EuO film and GaAs substrate. All films exhibit a $T_C$ of 69 K, large MOKE signals, and relatively square hysteresis loops. The growth of single-crystal, stoichiometric EuO films on GaAs enables alternative approaches for injecting, detecting, and manipulating spin in GaAs.
Chapter 6

TiO$_2$ as an Electrostatic Template for Epitaxial Growth of EuO on MgO(001)

6.1 Introduction

The spin filter effect [251], possible use as a magnetic gate dielectric [190, 242], and a large magneto-optic response [176], makes stoichiometric EuO, a ferromagnetic insulator, promising for spin-based applications [15]. Also of great interest are doped and nonstoichiometric EuO due to their demonstration of a metal-insulator transition [177], colossal magnetoresistance [178], half metallic behavior [179], and the anomalous Hall effect [180]. The recent resurgence of interest in EuO is largely due to the advances in synthesis of high quality EuO films by reactive molecular beam epitaxy (MBE) [242, 335, 240, 241]. More specifically, the stoichiometric growths have been reliably achieved only within an adsorption-controlled
Two separate conditions determine this regime. First, the substrate is maintained at an elevated temperature, which allows for Eu re-evaporation (distillation) from the substrate. Second, a carefully maintained oxygen partial pressure determines the growth rate and chemical composition (Eu$_x$O$_y$).

MgO is an important oxide for spintronics due to its $\Delta_1$ band spin filtering in magnetic tunnel junctions [6, 5, 27] and its effective use as a tunnel barrier for spin injection into semiconductors and graphene [343, 344, 345, 71]. Also, MgO has long served as a popular commercially available substrate for the deposition of a wide variety of materials such as transition metals, perovskites, and spinels [6, 346, 347]. Several authors have reported successful deposition of EuO on MgO [241, 288] and cube-on-cube growth with a magnetization of 7 Bohr magnetons per Eu atom despite the large lattice mismatch of $\sim 22\%$ ((a$_{\text{EuO}}$−a$_{\text{MgO}}$) / a$_{\text{MgO}}$ = (0.514 nm−0.421 nm) / 0.421 nm = 22.1%) [242]. However, while single crystal deposition on MgO(001) is possible, the initial stages of the growth have yet to be fully investigated and require further exploration [241, 286].

Here, I present the results of high quality EuO epitaxy on MgO by the introduction of a TiO$_2$ interfacial layer. Conceptual electrostatic arguments are introduced to explain why TiO$_2$ alleviates many of the problems associated with rock salt heteroepitaxy. Time evolution of the growths are compared and the TiO$_2$ surface is shown to produce single crystal EuO in the monolayer regime by inducing a 45° in plane rotation, which decreases the lattice mismatch, and by serving as an electrostatic template for which like-ion repulsion is alleviated. On the other hand, direct epitaxy of EuO on MgO is shown to be of reasonable quality only after
2 nm. Interestingly, ultrathin EuO can be produced without the introduction of oxygen partial pressure through substrate-supplied oxidation to yield films in the monolayer regime. Such ultrathin films are ferromagnetic with bulk Curie temperatures.

6.2 Methods

In this study, 10 mm x 10 mm x 0.5 mm double-side polished MgO(001) substrates are first rinsed in DI water, then loaded into a MBE system with a base pressure \( \sim 1 \times 10^{-10} \) torr. The crystal surface quality of the sample is monitored throughout the annealing and subsequent layer growths with \textit{in-situ} reflection high energy electron diffraction (RHEED). The substrate is annealed for 60 minutes at 600 °C as measured by a thermocouple located near the sample. The substrate is then cooled to 350 °C for the deposition of a 10 nm MgO buffer layer grown by e-beam evaporation at a typical rate of \( \sim 1 \) Å/min \[289\]. The MgO buffer layer smoothes the substrates surface, indicated in the RHEED pattern as sharpened streaks and Kikuchi lines (Fig 6.2 a) and 6.2 b). To create the TiO\(_2\) layer, Ti is first deposited from an e-beam source onto the MgO buffer layer at room temperature (RT). The Ti thickness is chosen according to the number of desired surface Ti atoms corresponding to 1, 1.5, or 2 monolayers of lattice matched 2×2 reconstructed TiO (chemical composition TiO\(_2\)) as described more fully in the following section. The Ti layer is exposed to molecular oxygen \((5 \times 10^{-8})\) at 500 °C for 30 minutes. For subsequent growths on either the TiO\(_2\) or directly on the MgO buffer layer, EuO films are produced by reactive MBE where a high purity metal
source is sublimed and allowed to react with a molecular oxygen partial pressure. Typical stoichiometric growth in the adsorption-controlled (distillation and oxygen-limited) regime proceeds as follows. 99.99% pure Eu metal is evaporated from a thermal effusion cell and the flux (≈8 Å/min) is incident upon the heated substrate which is maintained at 500 °C. Next, molecular oxygen is leaked into the chamber with a partial pressure of $1 \times 10^{-8}$ torr enabling the growth of stoichiometric EuO [242, 240, 241]. Such films on bare MgO have been shown to be approximately 5 nm thick for a 30 minute growth time by AFM profiling giving a growth rate of 0.17 nm/min [242].

6.3 Electrostatic Considerations at the EuO/MgO(001) Interface

Heteroepitaxy between insulating oxides, such as of large cation oxides on MgO, is greatly determined by interface electrostatics [346]. Purely structural considerations are insufficient to fully understand the EuO/MgO interface. The cube-on-cube (EuO(001)[100] // MgO(001)[100]) growth on MgO [242, 241] suggests that some structural arrangement (i.e. either 1:1, 3:4, 4:5, etc) is favored. A 3:4 spacing has a reduced lattice mismatch of 8.4% and a 4:5 spacing has a mismatch of 2.3%. For a clearer picture, a 4:5 (EuO:MgO) stacking, displayed using VESTA software [245], is shown in Figure 6.1 a). An examination of the 4:5 stacking shows that while the center Eu$^{2+}$ ion has a favorable position above an O$^{2-}$ ion, at
Figure 6.1: Various Crystal Structure Schematics of EuO on MgO. a) Schematic of cube-on-cube EuO/MgO(001) in a 4:5 (EuO:MgO) configuration at the interface. Ions are represented as follows: the Mg ions are shown as small spheres (orange), the O in MgO ions are large white spheres, Eu ions are the medium spheres (blue) and O in EuO are the large dark spheres (green). b) Shows the ion size effect for cube-on-cube growth of EuO (transparent over layer) on MgO (under layer). c) Configuration for a 45° rotated EuO over-layer on MgO demonstrating the anion-anion overlap between the oxygen ions of the EuO and MgO. d) Structure of the EuO/TiO$_2$/MgO layers. Ti ions are the smallest gray spheres and O ions in TiO$_2$ are the large gray spheres (red). Boxes show the unit cells for each oxide. For a)-d), the ions in each schematic are sized according to their ionic radius. The MgO and TiO$_2$ are drawn to scale with the bulk MgO lattice parameter while all EuO layers correspond to the bulk EuO lattice constant.
the left edge, the first Eu$^{2+}$ ion is sitting above an Mg$^{2+}$ ion and the first O$^{2-}$ ion is above another O$^{2-}$ ion.

This is repeated at the right edge of the 4:5 configuration. From an electrostatic point of view, strong Coulomb repulsion between like ions suggests that such a stacking is not ideal despite the improved lattice match and would certainly lead to surface roughening at the interface. Another concern for the cube-on-cube growth mode for direct heteroepitaxy is the ion-size difference effect [346], which is related to the difference in size between the Mg-O bond and the Eu-O bond. The Mg$^{2+}$ ionic diameter, 0.130 nm, combined with two Oxygen (O$^{2-}$) ionic radii of 0.140 nm, forms a nearly close-packed system with the ions spanning 97% of the lattice constant [348]. Figure 6.1 b) illustrates that replacing the Mg$^{2+}$ ion with a Eu$^{2+}$ ion changes the cation ionic diameter to 0.234 nm and increases the O-O nearest neighbor bond by 22% from 0.298 nm to 0.363 nm. Effectively, the deposition of an atomically flat EuO layer on a pristine MgO(001) surface is equivalent to 100% substitutional doping the Mg atoms in the top layer of an MgO surface with Eu atoms. In such a case, the ion-size difference would force the Eu or O atoms to find equilibrium positions in a roughened structure.

Alternatively, another possible structural alignment would be a 45° in-plane rotation of the EuO lattice relative to the underlying MgO orientation. Figure 6.1 c) shows a 45° rotated EuO layer on an MgO underlayer with the placement of a Eu ion on an oxygen bonding site. This configuration would remove the ion-size effect ($a_{\text{EuO}} / 2 = 2.57 < a_{\text{MgO}} / \sqrt{2} = 2.91$), reduce the lattice mismatch to 12% and could potentially improve the growth mode. How-
ever, anion-anion or cation-cation electrostatics makes the structure energetically unfavorable because the oxygen ions in the EuO overlayer sit atop oxygen ions in the underlying MgO surface. With these considerations in mind, any attempt to engineer the interface to minimize the electrostatic repulsion of like ions, while simultaneously maintaining an atomically smooth surface, could greatly improve the epitaxy.

To alleviate the interfacial electrostatic repulsion and stabilize EuO epitaxy on MgO(001), we propose a special TiO$_2$ template at the interface. Such an approach has been employed to produce high quality epitaxy of BaTiO$_3$ films on MgO(001) [346]. Figure 6.1 d) shows the stacking for subsequent depositions of a TiO$_2$ layer followed by EuO on MgO(001). Starting from left to right in Figure 6.1 d) is the MgO buffer layer, followed by a monolayer of TiO$_2$, and lastly, a single unit cell of EuO is shown rotated 45° relative to the MgO in-plane orientation. The displayed MgO lattice spacing is that of bulk MgO and the TiO$_2$ layer is shown lattice matched to the MgO. The EuO is shown with bulk EuO lattice constant. For the single monolayer of TiO$_2$, O atoms are positioned above Mg atoms. The Ti atoms and vacancy positions are located above the O atoms of the MgO layer. This configuration allows for the subsequent EuO layer to be positioned such that the Eu atoms are located above the vacant positions in the TiO$_2$ layer, while the O atoms are located above the Ti atoms. Within this EuO/TiO$_2$/MgO(001) interface, all nearest neighbor ions have opposite charge to produce attractive Coulomb forces for an energetically stable interface. Specifically, there are no O-O or Eu-cation nearest neighbor bonds. Thus, the TiO$_2$ interfacial layer eliminates
Figure 6.2: RHEED patterns for the 10 nm MgO buffer layer in the a) MgO(001)[100] and b) MgO(001)[110] directions. c) and d) are the RHEED patterns for 1 ML TiO$_2$ monolayer on MgO(001) in the [100] and the [110] directions, respectively. e) and f) are the RHEED patterns for 1.5 ML TiO$_2$ in the [100] and the [110] directions. g) and h) are the RHEED patterns for 2 ML TiO$_2$ in the [100] and the [110] directions. Final RHEED patterns for a 5 nm EuO film on TiO$_2$ (2 ML) showing i) EuO(001)[110] // MgO(001)[100] and j) EuO(001)[100] // MgO(001)[110].

the ion-size effect and electrostatic problems described for the growth of EuO directly onto MgO(001).
6.4 Results and Discussion

Figure 2 shows the RHEED patterns for TiO$_2$ monolayers on MgO(001). Figure 6.2 d) and b) show the 10 nm MgO/MgO(001) buffer layer pattern along the [100] and [110] directions, respectively. Figure 6.2 c), e), and g) are the RHEED images for oxidized Ti layers of 1, 1.5, and 2 ML along the [100] direction, while Figure 6.2 d), f), and h) are the corresponding TiO$_2$ monolayers along the [110] direction of MgO. The main features of the oxidized Ti patterns remain that of MgO with a slight broadening of the outer diffraction rods. In the RHEED image of 2 ML TiO$_2$/MgO(001)[100] (Figure 6.2 g)) the underlying MgO structure is readily visible with the important addition of inner streaks between the main MgO(001)[100] rods. As discussed previously, the TiO$_2$ layer is comprised of both Ti sites and vacant sites above the underlying oxygen atoms. Thus, the unit cell periodicity is increased to twice the size creating diffraction rods of half-spacing in the [100] direction. Equivalently, this TiO$_2$ layer can be perceived as a TiO rock salt surface of identical unit cell with the MgO lattice, but missing the face-centered Ti atoms [349]. This would then be a 2×2 reconstructed TiO$_2$ surface producing diffraction streaks inside the MgO [100] rods. However, in no instances were inner streaks seen for the case of 1 ML oxidized Ti. Interestingly, as seen in Figure 6.2 d) and f), inner rods appeared for 1.5 and 2 ML of oxidized Ti along the [110] direction. This suggests decreased periodicity of the 2×2 reconstructed TiO$_2$, possibly from an ordered stacking effect or superstructure causing increased periodicity in the $k$-space lattice along the [110] direction. The subsequent RHEED patterns of a 5 nm EuO film grown on 2 ML
TiO$_2$/MgO(001) in the adsorption-controlled regime are shown in Figures 6.2 i) and j). These final films have an in-plane orientation of EuO(001)[110] // MgO(001)[100] and are thus 45° rotated. Importantly, as Fig 6.1 b) and Fig 6.1 d) illustrate, the ion-size effect is eliminated since the rotated EuO lattice has a smaller unit cell than the underlying TiO$_2$ template. While, generally, EuO growths on 1 ML TiO$_2$ surfaces resulted in polycrystalline films, deposition on 1.5 ML TiO$_2$ surfaces produced high quality EuO single crystal films of identical growth behavior and evolution to depositions on 2 ML TiO$_2$ (see Fig 6.3 a) and 6.3 b)). This is interesting since the 1.5 ML TiO$_2$ RHEED only shows part of the features seen in the 2 ML RHEED, suggesting that the 1.5 ML TiO$_2$ still has the critical structure of the 2×2 reconstructed TiO layer. Because of this result, and in combination with the desire to keep the TiO$_2$ interface as thin as possible, the 1.5 ML TiO$_2$ layer will be used throughout the remainder of this study.

To further examine the growth of EuO on the TiO$_2$ layer, the time evolution of a line cut across the RHEED pattern is monitored along the MgO(001)[110] in-plane crystal direction over the first 10 minutes of EuO growth. A line cut is obtained by plotting the intensity of the image against the CCD cameras horizontal pixel position and therefore crosses several diffraction rods. The initial line cut of 1.5 ML TiO$_2$ (in MgO(001)[110] direction) is shown at the top of Figure 6.3 c). After 20 seconds (dashed line (C1)), the Eu flux is introduced and immediately the RHEED begins to change. After the RHEED pattern is stabilized, oxygen is introduced into the chamber (dashed line (C2)), and the RHEED pattern changes to that of EuO(001)[100]. During this period, the RHEED quickly shifts (1 minute) to that of bulk
EuO indicating epitaxy within 1 ML with the introduction of oxygen. The final line cut (1 nm EuO) is shown below the time lapse. Analysis of the final EuO/TiO$_2$ line cut compared to the MgO lattice constant gives a EuO lattice parameter of 0.513 ± 0.006 nm. For comparison, the time evolution for direct deposition of EuO on the MgO buffer layer is shown in Figure 6.2 d).

As indicated by dashed line (D1), elemental Eu flux is directed onto the MgO(001) substrate held at 500 °C. During this period, the RHEED pattern remains that of MgO, indicating that Eu is re-evaporating and not bonding to the surface. Once oxygen is leaked into the system (dashed line (D2)), the time evolution of the RHEED pattern consists of a fading out of the MgO(001)[110] pattern followed by a gradual recovery to a EuO(001)[110] pattern over several minutes (~2 nm). The diffraction rods increase in intensity over the subsequent 20 minutes of the growth.

Several key differences are immediately apparent between the two growths. First, comparative analysis of the diffraction pattern peak positions in the final line cuts between EuO/TiO$_2$/MgO and EuO/MgO demonstrates that EuO epitaxy on the TiO$_2$ is rotated 45-degree in-plane with respect to MgO, while the direct growth on MgO is cube-on-cube. Second, the evolution from the initial line cut to single crystal EuO takes place at a faster rate for the deposition on TiO$_2$/MgO and indicates fast strain relaxation for 45° rotated EuO in agreement with observations of EuO growth on Ni [286]. Third, during the distillation period, before the introduction of an oxygen partial pressure, the re-evaporation for each surface is distinctly different. While in both cases the opening of the Eu shutter decreases the RHEED intensity, on bare MgO buffer layer, the incident Eu flux re-evaporates leaving
Figure 6.3: Time Evolution and Magnetic Properties of EuO Growth on TiO$_2$/MgO and MgO. a) and b) are the RHEED patterns for a 5 nm EuO thin film deposited on TiO$_2$ (1.5 ML)/MgO (10 nm)/MgO(001) along EuO(001)[110] // MgO(001)[100] and EuO(001)[100] // MgO(001)[110], respectively. c) is the time evolution of the initial 10 minutes EuO growth on TiO$_2$ (1.5 ML)/MgO. The initial and final line cuts are shown above and below, respectively. In Fig. 6.2 c), the peaks in the initial line cut correspond to diffraction rods seen in the 1.5 ML TiO$_2$ RHEED pattern (Fig. 6.2 f)), while the final line cut corresponds to the diffraction rods seen for EuO [100] // MgO [110] (Fig. 6.3 b)). (C1) (dashed line) indicates when the Eu flux is incident on the TiO$_2$ layer and (C2) (dashed line) indicates the introduction of O$_2$ into the system. d) The time evolution of direct deposition of EuO on MgO (10 nm)/MgO(001) in the MgO [110] direction and the peaks in the initial line cut shown above correspond to the diffraction rods in Fig. 6.2 b). (D1) (dashed line) indicates when the Eu flux is incident on the MgO and (D2) (dashed line) indicates the introduction of O$_2$. Below d) is the final line cut of EuO after 30 minutes of growth directly on the MgO buffer layer. e) Temperature dependence of the measured MOKE angle (degrees) taken at 0 Oe (remanence) for EuO (5 nm)/TiO$_2$/MgO(001). Inset shows representative hysteresis loops for T = 6 K (Black), T = 60 K (Red or grey) and T = 74 K (Blue or dark grey)

the MgO(001)[110] RHEED pattern unaltered. However, on the TiO$_2$, the incident flux only re-evaporates after an initial time period for which the inner diffraction streaks associated with the TiO$_2$ are lost but the overall MgO diffraction positions in the RHEED pattern are maintained. Lack of bonding and full re-evaporation at 500 °C on bare MgO suggests, in
agreement with the discussion in section 6.3, that there is some additional interfacial energy at the EuO/MgO interface that inhibits Eu bonding. Interestingly, this is not seen for Eu deposition on either the TiO$_2$/MgO or YSZ [241] at elevated temperatures. At this point, while the in-plane rotation, in conjunction with the TiO$_2$ RHEED pattern and lack of re-evaporation, would suggest that we have successfully reduced the interfacial energy at the interface by limiting electrostatic effects, one possibility that cannot be ruled out is Eu-Ti-O reactivity at the interface and that the lack of re-evaporation is due to some complex composition.

To investigate the magnetic properties of the EuO within the MgO cap (2 nm)/EuO (5 nm)/TiO$_2$ (1.5 ML)/MgO (10 nm)/MgO(001) structure, the magneto-optic Kerr effect (MOKE) is measured \textit{ex situ} in an optical flow cryostat with variable temperature control. Longitudinal MOKE was measured with a p-polarized 635 nm diode laser and an incident angle near 45 degrees with respect to an applied in-plane magnetic field ($H$). Figure 6.3 e) inset shows representative $M$-$H$ hysteresis loops at $T = 6$ K with a coercivity ($H_c$) of 117 Oe and ratio ($M_r/M_s$) between magnetization remanence ($M_r$) and saturation ($M_s$) of 0.53. Representative loops at $T = 60$ K and $T = 74$ K are also shown. In Figure 6.3 e), $M_r$ is plotted (in degrees) as a function of temperature. Starting at 6 K, the Kerr rotation at remanence is 0.19 degrees and decreases with increasing temperature, following typical Curie-Weiss behavior down to the transition temperature at 69 K, the bulk $T_C$ value for EuO.

We next investigate the interfacial structure and material quality at the interface between EuO and the 1.5 ML TiO$_2$/MgO stacking in the following manner. 1.5 ML TiO$_2$ is grown
Figure 6.4: Magnetic Characterization of Ultrathin EuO. RHEED patterns for Eu deposition on the TiO$_2$ layer without leaking O$_2$ into the system in the a) MgO(001)[100] and b) MgO(001)[110] directions. c) Temperature dependence of the MOKE signal measured at saturation and the insert shows a representative hysteresis loop at T = 6 K.

on an MgO buffer layer and maintained at 500 °C. Next, a Eu flux is exposed to the heated TiO$_2$ without introducing an oxygen partial pressure. Unlike the case for Eu flux incident on the bare MgO, the RHEED pattern immediately changes (Fig. 6.4 a) and 6.4 b)), indicating bonding of Eu atoms to the TiO$_2$ surface. Furthermore, the faint streaks between the underlying MgO(001) [100] RHEED pattern (Fig 6.4 a)) indicates layer-by-layer epitaxial growth of EuO(001)[110] // MgO(001)[100] in the ultrathin limit. As in the case of oxygen-free growth of EuO on YSZ(001) [241], the oxygen atoms are believed to be supplied by the substrate. After a few minutes, the RHEED pattern stabilizes indicating steady state re-evaporation of the incoming Eu flux and thus the growth is terminated. The short time frame and visible
underlying MgO RHEED pattern suggests that at most, only a few monolayers of material are deposited.

The sample is then capped with 3 nm MgO and MOKE measurements are performed as shown in Fig 6.4 c). Hysteresis loops taken at 6 K (Fig 6.4 c inset) clearly show ferromagnetic behavior with $H_c = 98$ Oe and $M_r/M_s = 0.24$. A temperature dependence of the magnetization remanence shows the transition temperature to be 69 K, indicating that the initial growth mode for Eu flux incident on the TiO$_2$/MgO interface is EuO and not a reacted Eu-Ti-O compound. Interestingly, the fact that $T_C$ is equal to the bulk value suggests that the resulting film thickness is large enough to avoid finite size effects, which should decrease $T_C$ [350]. Furthermore, these magnetic results shed light on the initial growth mode seen in the RHEED time evolution (Fig 6.3 c)). The reconstruction streaks immediately fade once the Eu flux is incident upon the TiO$_2$ surface. This occurs because the TiO$_2$ layer minimizes the electrostatic interactions between the EuO and MgO layers and creates nucleation sites for subsequent EuO epitaxy. The ability for Eu atoms to find a favorable binding site in the $2\times2$ reconstructed TiO (see Fig 6.1 d)), results in the formation of EuO with oxygen supplied by the substrate.

6.5 Conclusion

In conclusion, electrostatic interactions at the interface between EuO and MgO can greatly determine the growth sequence of the EuO layer. To improve the epitaxy of EuO on MgO,
a TiO$_2$ interfacial template was introduced and shown to alleviate like-ion repulsion and decrease the structural mismatch between EuO and MgO. Furthermore, the initial growth sequence is drastically different with the TiO$_2$ interface than on the bare MgO as demonstrated by in-plane rotation and fast strain relaxation. Also, the addition of the TiO$_2$ layer allows for substrate-supplied oxidation leading to ultrathin ferromagnetic EuO films. Such a template could be an avenue for combining emerging materials onto MgO such as EuTiO$_3$ or other rock salt magnetic oxides in single crystal heterostructures
Chapter 7

Integration of the Ferromagnetic Insulator EuO with graphene

7.1 Introduction

The exchange proximity interaction (EPI) has been predicted to exist at the interface between a ferromagnetic insulator (FMI) and graphene, originating from an overlap of electronic wavefunctions [190, 210]. In particular, the ferromagnetic insulator EuO has been theoretically estimated to induce a spin splitting in graphene of the order 5 meV [190]. EPI has been suggested for novel spintronic device functionality in a wide variety of applications such as induced magnetism in graphene [190, 228, 218, 184], controllable magnetoresistance [190, 212, 351, 230], gate tunable manipulation of spin transport [210, 199], gate tunable exchange bias [213], spin transfer torque [239, 229], as well as being a necessary requirement...
for the observation of the quantized anomalous Hall effect in graphene [207, 222]. While theoretical predictions have been numerous, EPI at the FMI/graphene interface has yet to be experimentally observed.

EuO is a model FMI as it exemplifies an isotropic Heisenberg ferromagnet [174]. EuO has a half filled $4f$ shell which determines the magnetic properties leading to a magnetization of 7 Bohr magnetons per Eu atom. Further, because the $4f$ shell is electrically inert due to its localized orbitals, the unoccupied exchange split $5d$ band governs the charge transport characteristics and exchange overlap in stoichiometric EuO. However, part of the reason that EPI has yet to be observed in the EuO/graphene system is due to the difficulty in materials synthesis of high quality stoichiometric EuO thin films. EuO is not thermodynamically stable and readily converts to nonmagnetic Eu$_2$O$_3$ [287]. Furthermore, oxygen deficient EuO$_{1-x}$ exhibits a metal to insulator transition [177] with a conductive ferromagnetic phase [179, 181]. In typical materials synthesis techniques such as reactive molecular beam epitaxy (MBE), maintaining stoichiometry by flux matching generally leads to the formation of either Eu$_2$O$_3$ or EuO$_{1-x}$. In order to possibly realize EPI in graphene, a critical first step is the integration of high quality stoichiometric EuO thin films with graphene.

Only recently, through the development of a special growth regime, have reliable stoichiometric films been readily produced [181, 240, 241, 242, 243]. The regime can be understood as follows: a high-purity elemental Eu flux is incident upon a heated substrate maintained at a temperature for which the incident Eu atoms re-evaporate off the substrate surface (i.e. distillation). Notably, distillation is highly substrate dependent and works well on certain
oxides [241, 243], but fails in the case of direct growth on GaAs [242]. Once distillation is achieved, the introduction of a small oxygen partial pressure allows for the formation of EuO while excess Eu atoms are re-evaporated. This ensures proper stoichiometry of the EuO film [181, 240, 241, 242, 243]. If the oxygen partial pressure is increased, the EuO growth rate increases until a critical $O_2$ pressure is reached and $Eu_2O_3$ forms. In this way, the growth rate is determined by the oxygen pressure and is termed adsorption-controlled (distillation) and oxygen-limited. To date there is no evidence that stoichiometric EuO can be integrated with $sp^2$ bonded carbon based materials.

In this study, we employ reactive MBE to investigate the deposition of EuO thin films onto graphene. First, we examine the viability of Eu distillation for $sp^2$ bonded carbon materials by examining highly-oriented pyrolitic graphite (HOPG) substrate, which allows for standard thin film characterization techniques such as Auger spectroscopy, reflection high energy electron diffraction (RHEED), and x-ray diffraction (XRD). Within the distillation and oxygen-limited regime, stoichiometric EuO(001) is shown to grow epitaxially on HOPG(0001) substrate. Such films are shown to be uniform and flat by ex-situ atomic force microscopy (AFM). Further, EuO is integrated onto mechanically exfoliated graphene flakes as well as large area graphene grown by chemical vapor deposition (CVD). Raman spectroscopy after EuO deposition on exfoliated graphene exhibits the absence of a D peak, indicating that, despite the high temperatures of deposition, EuO thin films do not induce significant defects to the underlying graphene. This is supported by four point resistivity measurements that indicate only a slight reduction of mobility. Also, we investigate the magnetic properties of
EuO on HOPG and CVD graphene and find a Curie temperature ($T_C$) of 69 K, the bulk EuO value. This advance in materials synthesis allows for future studies of EPI at FMI/graphene interfaces.

### 7.2 Results and Discussion

First, we establish the growth parameters by investigating EuO growth on HOPG using Auger spectroscopy, RHEED, and XRD. Fresh surfaces of HOPG (SPI, grade ZYA) are obtained by peeling with 3M scotch tape and subsequently loaded into the UHV growth chamber and annealed at 600 °C for 30 min. Auger spectroscopy for a pristine HOPG surface is shown in Fig. 7.1 (top curve). The spectrum is characterized by a peak at 272 eV identifying carbon. Since the temperature required for efficient Eu distillation is highly substrate dependent, we cannot rely on previous results for distillation temperatures based on oxide substrates \([181, 240, 241, 243]\). Therefore, we first investigated the optimal re-evaporation temperature on HOPG. Without introducing a partial pressure of molecular oxygen, an incident Eu flux (8-9 Å/min.) is introduced to the substrate, which is maintained at a fixed temperature. Fig. 7.1 shows Auger spectra for Eu metal deposited at room temperature (RT), 450 °C, 500 °C, 550 °C, and 600 °C. For each substrate temperature, Eu is deposited for the time equivalent to produce a 5 nm Eu film at RT. Eu Auger peaks at 83, 104, 124, and 138 eV can be seen in the RT spectrum of Fig. 7.1. As the substrate temperature is increased, the relative peak height of Eu to C decreases indicating a smaller amount of Eu material on
Figure 7.1: Auger Spectroscopy of Eu Deposited on HOPG at Several Different Substrate Temperatures. Pristine HOPG (black) shows a carbon peak at 272 eV. 5 nm Eu deposited at room temperature (red) shows Eu peaks only. At higher growth temperatures a combination of Eu and C peaks are present. Above 550 °C there is no evidence of Eu in the spectrum. HOPG. This indicates the onset of re-evaporation of the Eu atoms. For the case of 550 °C and 600 °C, the Auger spectra shows only the carbon peak at 272 eV and no evidence of Eu material. Therefore, full distillation of Eu on HOPG is achieved above 550 °C.

Once in the distillation regime, the introduction of an oxygen flux smaller than the elemental Eu flux should produce stoichiometric EuO films. We investigate the formation of EuO on HOPG substrate by maintaining the substrate at 550 °C for distillation and then introduce a molecular oxygen partial pressure \( P_{O_2} = 1.0 \times 10^{-8} \) Torr) into the UHV system. In-situ RHEED images probe the sample surface crystalline structure. Fig. 7.2 a) and b) show the RHEED patterns for HOPG and the EuO layer after 5 nm of growth, respectively. The RHEED pattern for the HOPG substrate is unaltered upon in-plane rotation. This is expected since HOPG has out-of-plane (0001) orientation but has in-plane rotational disorder. The RHEED pattern of the EuO layer shows double streak features and in-plane rotation has
no effect on the RHEED pattern, similar to the HOPG substrate. Examination of the EuO RHEED diffraction rods indicates EuO(001) with a superposition of both [100] and [110] in-plane orientations \[243\]. We can better understand the growth evolution of the EuO film by examining the time lapse of a line cut of the RHEED pattern. A typical line cut, as depicted in Fig. 7.2 a) (red dashed line), samples the intensity of several diffraction rods across the RHEED pattern. Fig. 7.2 d) displays the time evolution of a line cut for EuO growth on HOPG in the distillation and oxygen-limited regime. Between 0 min. and dashed line d1, the high intensity streaks correspond to the diffraction rods as seen in Fig. 7.2 a) of the pristine HOPG pattern. Dashed line d1 indicates the introduction of Eu flux, during which time the HOPG diffraction rods remain unchanged as Eu re-evaporates off the HOPG surface. A partial pressure of oxygen \(P_{O_2} = 1.0 \times 10^{-8} \text{ Torr}\) is leaked into the chamber at dashed line d2. The subsequent time evolution shows a smooth transition from HOPG streaks to EuO indicating epitaxial growth.

Eu\(_2\)O\(_3\) can be grown by increasing the O\(_2\) partial pressure to \(3 \times 10^{-7} \text{ Torr}\). The Eu\(_2\)O\(_3\) RHEED pattern is displayed in Fig. 7.2 c) and shows a clear distinction from the oxygen-limited growth which produces EuO. We further investigate the difference between the two oxygen regimes by looking at their respective Auger data as shown in Fig. 7.2 e). An oxygen peak at 510 eV is present for both samples. To our knowledge this is the first report on Auger spectroscopy for EuO. Taking the Eu 104 eV peak, EuO has a Eu:O peak ratio of 6.63, while Eu\(_2\)O\(_3\) has a Eu:O ratio of 4.34 indicating increased oxygen content in Eu\(_2\)O\(_3\). Comparison of the ratios \(6.63/4.34 = 1.53\) to the expected Eu:O/Eu\(_2\):O\(_3\) = 1.5 is in close
Figure 7.2: Characterization of EuO Thin Films on HOPG. a)-c) RHEED patterns for pristine HOPG, 5nm EuO deposited on HOPG, and Eu$_2$O$_3$ on HOPG. d) Time evolution of dashed red curve in a). Dashed line d1 indicates the opening of the Eu shutter and incidence of Eu flux to the substrate. Dashed line d2 signifies the introduction of molecular oxygen into the chamber. e) Auger spectroscopy of EuO/HOPG and Eu$_2$O$_3$/HOPG. f) XRD $\theta$-$2\theta$ scan showing the HOPG (0002) peak and EuO (002) peak. g)-i) ex-situ AFM scans for peeled HOPG, EuO(5 nm)/HOPG(0001), and MgO(2 nm)/EuO(5 nm)/HOPG(0001) in order from left to right.
agreement. However, it should be noted that while this analysis is useful in verifying oxygen content between the two growths (i.e. EuO vs. Eu$_2$O$_3$), it is not sufficient for determining precise stoichiometry of the EuO oxidation state.

*Ex-situ* XRD $\theta$-2$\theta$ scans, Fig. 7.2 f), serve to elucidate the structure of EuO deposited on HOPG. For XRD measurements, approximately 50 nm EuO was grown on HOPG and capped with 3 nm polycrystalline Al. A clear EuO (002) peak is seen in the $\theta$-2$\theta$ scan and there are no other peaks associated with another EuO orientation indicating that entire EuO film is oriented (001), in agreement with the RHEED analysis. There are no detectable peaks associated with Eu$_2$O$_3$. There are two small peaks at 29.63° and 30.43° associated with Eu$_3$O$_4$ (040) and (320), possibly due to oxidation through the thin capping layer.

It is generally expected that FCC materials (EuO, Ni, etc..) would favor (111) orientations with hexagonal materials due to the surface symmetry. However, the RHEED and XRD data clearly indicate the orientation EuO(001)/HOPG(0001) is preferred. In the absence of other factors, the orientation preference may be partly explained by the lattice mismatch between EuO and graphene. EuO has a bulk lattice constant of 0.514 nm and 0.246 nm for graphite, leading to a lattice mismatch of 4.3% for EuO(001)/HOPG(0001) growth orientations. The mismatch for EuO(111)/HOPG(0001) is either 10% or 17% depending on the ratio of relative lattice spacings (i.e. 1:4 or 1:3 for EuO:graphene). However, while mismatch considerations might suggest a favorable orientation, it cannot explain the lack of symmetry between the rock salt surface and graphene. Previous work [243] has shown lattice mismatch to be less of a key factor for EuO epitaxy than other growth concerns. Surface energies, which are lowest
Figure 7.3: Schematic of EuO Crystal on Graphene. VESTA drawing of EuO(001) on graphene surface. Blue atoms correspond to europium, red to oxygen, and grey to carbon.

for (100) rock salt surfaces [352], are likely relevant and may provide a possible explanation for the observed growth orientation. A schematic for the structure is displayed in 7.3.

To investigate the surface morphology of the EuO films, we have performed ex-situ AFM on peeled HOPG(0001) substrate, a EuO(5 nm)/HOPG(0001) film, and a MgO(2 nm)/EuO(5 nm)/HOPG(0001) bilayer. The resulting AFM scans are displayed in Fig. 7.2 g), h), and i), with rms roughness values 0.1 nm, 0.2 nm, and 0.5 nm, respectively. It must be noted that the EuO surface is likely oxidized to Eu$_2$O$_3$ during the ex-situ measurement. In any case, the scans clearly show that the films are uniform, relatively flat, and pinhole free. This is crucial for possible use as a gate dielectric.

Due to EuO’s large magneto-optic response [176], the magneto-optic Kerr effect (MOKE) serves as a sensitive probe of the magnetic behavior of the sample. Linearly polarized light is reflected off the sample surface and the resulting polarization rotates an amount, $\theta_K$, which is proportional to the magnetization of the film. The sample structure is Al (2 nm)/EuO (5

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Figure 7.4: Temperature Dependence of the Magnetization of EuO/HOPG. Data is obtained through the Magneto-optic Kerr effect (MOKE). Inset shows several characteristic hysteresis loops at different temperatures.

nm)/HOPG(0001) and is measured in an optical flow cryostat separate from the UHV growth chamber. Fig. 7.4 inset shows magnetic hysteresis loops measured at 5 K, 60 K, and 71 K. At 5 K, the remanence (M_R/M_S) is 0.37, the coercive field (H_C) is 87 Oe, and the saturation Kerr rotation is 0.93 degrees. Fig. 7.4 shows a temperature dependence of the saturation magnetization with T_C = 69 K, the bulk value for EuO.

While EuO/HOPG serves as a useful system for examining the epitaxy of EuO on sp² bonded carbon and allows for the use of standard thin film characterization techniques, realization of EPI at EuO/graphene interfaces requires direct integration of EuO on either exfoliated or CVD graphene. Graphene flakes are mechanically exfoliated onto 300 nm SiO₂/Si substrate using standard techniques [41]. Single layer (SLG), bilayer (BLG), and trilayer (TLG) flakes are identified under an optical microscope and confirmed by Raman spectroscopy [353]. A 5 nm EuO film is deposited on top of exfoliated graphene flakes on SiO₂ and capped with 2 nm MgO. Fig. 7.5 a) shows an optical microscope image of pristine...
graphene flakes while Fig. 7.5 b) shows the same flakes after EuO deposition with noticeable darkening of the graphene flakes. Raman spectroscopy (535 nm laser) of EuO/graphene for several flake thicknesses is shown in Fig. 7.5 c). Several key features are immediately apparent for EuO deposited onto graphene flakes. First, we do not observe a D peak above the noise level of the measurement. The D peak is typically associated with induced disorder [310, 354, 355] suggesting that the deposition process does not induce significant defects when compared with reports for oxide growth by PLD, e-beam, and sputter deposition [356]. Second, the G peak shrinks in relative size compared with features above 2200 cm$^{-1}$ due to decreased signal from the impeding EuO overlayer. Lastly, the spectra exhibit a significant modification around the graphene 2D peak. To better understand this behavior, we compare with single crystal EuO on lattice-matched YSZ(001) [241, 242]. Fig. 7.5 d) shows the Raman spectra for EuO/SLG and EuO/YSZ around the graphene 2D peak. The features are nearly identical, indicating they are not related to graphene phonon modes.

Raman spectroscopy is a useful technique for investigating external effects on graphene such as doping, strain, and defects [354, 355, 356, 357, 358, 359, 360, 361, 362]. A closer examination of the graphene G peak for 5 nm EuO deposited on SLG (Fig. 7.5 e)) shows blue shifting of the G peak by 14 cm$^{-1}$, from 1581 cm$^{-1}$ to 1595 cm$^{-1}$. We also note blue shifts for EuO/BLG and EuO/TLG of 10 cm$^{-1}$ and 6 cm$^{-1}$, respectively. Both charge doping and induced strain could possibly explain the blue shifted G peak after EuO deposition [357, 358, 359, 360, 361, 362]. For SLG, while a shift of 14 cm$^{-1}$ would suggest an induced charge doping greater than $6 \times 10^{12}$ cm$^{-2}$, an increase in the carrier concentration of that
Figure 7.5: EuO Thin Films Deposited on Exfoliated Graphene Flakes. a) Optical microscope image of SLG, BLG, and TLG on SiO$_2$/Si substrate before EuO deposition. The scale bar indicates 1 $\mu$m. b) Optical microscope image of the same sample after EuO deposition. c) Raman spectroscopy of EuO deposited on single layer, bilayer, and trilayer graphene. d) Raman spectroscopy of EuO/SLG (black) compared to EuO/YSZ(001) (blue) in the region around the 2D peak. e) Raman spectroscopy of the G band for pristine SLG (black) compared to EuO/SLG (red) with the intensities scaled for ease of viewing. Dashed black line is a Lorentzian fit to the G peak for EuO deposited on SLG.
magnitude is expected to decrease the FWHM by approximately $8 \text{ cm}^{-1}$ [357]. Interestingly, for EuO deposited on graphene flakes, the FWHM of the G peak is $13 \text{ cm}^{-1}$ for pristine graphene and $15 \text{ cm}^{-1}$ with EuO, making charge doping unlikely as the sole cause of the G peak shift. Alternatively, the shift could be caused by strain and is comparable to that reported for annealed SiO$_2$/graphene/SiO$_2$ [359]. The 2D peak would shed light on this issue, but is not accessible due to the EuO overlayer.

Next, we discuss the effect of an EuO overlayer on charge transport. Graphene devices are fabricated using standard e-beam lithography techniques with Ti/Au (10 nm/60 nm) electrodes [51]. The resistivity is measured using $1 \mu A$ excitation at 11 Hz AC for lock-in detection in a four point geometry. Fig. 7.6 shows the resistivity for pristine SLG (black curve) with charge neutrality point at $V_{CNP} = 8 \text{ V}$. The device is then loaded into the MBE chamber for growth of 2 nm EuO followed by a 2 nm MgO capping layer. The charge neutrality point after growth (red curve) is $V_{CNP} = -2 \text{ V}$. The electron mobility can be determined from the slope of the conductivity ($\mu = \Delta \sigma / e \Delta n$). The carrier concentration, $n$, is determined from the relation $n = -\alpha (V_G - V_{CNP})$, where $\alpha = 7.2 \times 10^{10} \text{ V}^{-1} \text{ cm}^{-2}$ for 300 nm SiO$_2$ gate dielectric. The resulting electron mobility for pristine SLG and EuO/SLG are $\mu_e = 4600$ cm$^2$/Vs and $\mu_e = 4080$ cm$^2$/Vs, respectively. Thus, the deposition of EuO on the graphene surface, does not significantly decrease the mobility.

Lastly, we investigate the magnetic properties of EuO/graphene. For this, we employ large-area graphene which has been demonstrated to produce high-quality films with large grains [48], and is therefore desirable for MOKE characterization which has a spot size with
Figure 7.6: Gate Dependent Resistivity for EuO/graphene. Au/Ti Hall geometry device is patterned on exfoliated graphene flakes. Black curve corresponds to pristine graphene and red curve for the same device with MgO(2 nm)/EuO(2 nm) overlayer.

Figure 7.7: MOKE for EuO Grown on CVD Graphene. a) Several characteristic hysteresis loops at different temperatures for 5 nm EuO deposited onto CVD graphene on SiO$_2$/Si. b) Temperature dependence of the magnetization measured in degrees.
\( \sim 40 \, \mu m \) diameter. Large area graphene is grown by chemical vapor deposition on copper foil and subsequently transferred to SiO\(_2\)/Si [48]. Next, 5 nm EuO thin film with 2 nm MgO capping layer is deposited on the CVD graphene in the distillation and oxygen-limited regime. Fig. 7.7 a) shows several MOKE hysteresis loops taken at 11 K, 60 K, and 72 K. As typical with EuO thin films, we observe a large Kerr rotation above 1 degree, which subsequently decreases in magnitude as the temperature is increased towards the Curie temperature of 69 K as shown in Fig. 7.7 b).

### 7.3 Conclusion

We have investigated the integration of the ferromagnetic insulator EuO with graphene. Using Auger spectroscopy, we find that distillation (re-evaporation) of Eu from the graphene surface occurs for temperatures above 550 \(^{\circ}\)C. Employing the distillation and oxygen-limited regime, EuO was deposited on HOPG and graphene. The structural, chemical, and magnetic properties of these heterostructures were investigated by RHEED, XRD, AFM, Raman, Auger, and MOKE. EuO films grow epitaxially on honeycomb carbon with (001) orientation and the EuO does not induce significant defects in the exfoliated graphene. The growth technique presented here, demonstrates a significant materials advance in the field of oxide growth on graphene, which is notoriously difficult due to the chemically inert nature of the \( sp^2 \) surface. EuO films exhibit ferromagnetism with a Curie temperature of 69 K, equal to the bulk value. The excellent structural and magnetic properties combined with the direct in-
integration without the aid of a buffer layer is a key advance towards experimental observation of the exchange proximity effect at the EuO/graphene interface.

7.4 Experimental Methods

Elemental europium metal (99.99%) is evaporated from a low temperature thermal cell. After proper degassing, a Eu background pressure below $4 \times 10^{-9}$ Torr is maintained for rates between 8-9 Å/min. Molecular oxygen (99.999%) is leaked into the chamber and the partial pressure is determined by leaking in an amount $P_{O_2}$ above the background pressure as measured by an ion gauge. Typically, a partial oxygen pressure of $1 \times 10^{-8}$ Torr is used for which 30 min. growth time produces films approximately 5 nm thick [242]. The substrate temperature is monitored by a thermocouple located on the platen face. The UHV MBE chamber has a base pressure of $\sim 1 \times 10^{-10}$ Torr and is equipped with in-situ RHEED. Samples are transferred to an adjacent chamber for 3 keV Auger spectroscopy with a base pressure less than $5 \times 10^{-9}$ Torr. XRD measurements were performed at UCSB MRL Central Facilities. Longitudinal MOKE is performed in an optical flow cryostat with a $p$-polarized laser beam (635 nm) and an incidence angle of 45 degrees with respect to the in-plane magnetization direction. The laser intensity is 100 $\mu$W focused to a spot size of $\sim 40 \mu$m in diameter. Large-area graphene is produced by low pressure CVD as reported by Li, et al. [48]. 25 $\mu$m thick Cu foil (Alfa Aesar, item No. 13382) is loaded into a tube furnace and heated to 1035 °C. After a 10 min. anneal in $H_2$ with a flow rate of 2 sccm and pressure, $P_{\text{furnace}}= 2.5 \times 10^{-2}$ mbar,
7 sccm of CH$_4$ is introduced for a total pressure of 1.4 $\times$ 10$^{-1}$ mbar. After cooling down and removal from the furnace, the Cu is etched away with iron nitrate and transferred onto SiO$_2$/Si substrate with the aid of poly-methyl methacrylate (PMMA) as mechanical support. The PMMA is removed with acetone at room temperature and followed by IPA cleaning. Before EuO growth, the large area graphene sample is annealed at 600 °C under UHV condition.