The Role of Suppression and Enhancement in Understanding Metaphors

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Participants read either a metaphorical prime sentence, such as *That defense lawyer is a shark*, or a baseline-prime sentence. The baseline-prime sentence was literally meaningful in Experiment 1 (e.g., *That large hammerhead is a shark*), nonsensical in Experiment 2 (e.g., *His English notebook is a shark*), and unrelated in Experiment 3 (e.g., *That new student is a clown*). After reading the prime sentence, participants verified a target property statement. Verification latencies for property statements relevant to the superordinate category (e.g., *Sharks are tenacious*) were faster after participants read the metaphor-prime sentence than after they read the baseline-prime sentence, producing an enhancement effect. In contrast, verification latencies for property statements relevant to only the basic-level meaning of the vehicle and not the superordinate (e.g., *Sharks are good swimmers*) were *slower* following the metaphor-prime versus the baseline-prime sentence, producing a suppression effect. As Glucksberg and Keysar's (1990) class inclusion theory of metaphor predicts, the enhancement and suppression effects demonstrate that the vehicle of a metaphor stands for the superordinate category of the vehicle, and not for its basic-level meaning. © 2001 Academic Press

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Language users experience little difficulty understanding a sentence such as *Perjury is a boomerang*, especially if they have followed trials involving a perjured president. In contrast, theories of language have particular difficulty when it comes to explaining how language users understand metaphorical sentences. This difficulty stems from the traditional literal bias of theories of language.

A literal interpretation of *perjury is a boomerang* would yield an anomaly, either on semantic or pragmatic grounds; perjury is not a boomerang, and attempting to categorize *perjury* into *boomerang* as one categorizes robins as birds



results in a semantic anomaly or deviance (e.g., Altwerger & Strauss, 1987; Davidson, 1978; Grice, 1975; Kittay, 1987; Levin, 1977; Searle, 1993). To date, though, psycholinguistic investigations have revealed no evidence that comprehenders experience such an anomaly or so-called comprehending semantic deviance when metaphors (e.g., Gerrig, 1989; Gibbs, 1984; Glucksberg, Gildea, & Bookin, 1982; Keysar, 1989, 1994; Ortony, Schallert, Reynolds, & Antos, 1978). Why not? Why don't people experience such a deviance, even as an intermediary product of the comprehension process?

The answer depends on one's theory of metaphor understanding. Some theories argue that people do not consider these statements to be categorical at all. If the sentence does not express a category relation, then there is no categorical mistake and, therefore, no anomaly is involved (e.g., Ortony, 1979). Alternative theories (Glucksberg, 1998; Glucksberg & Keysar, 1990; Glucksberg & McGlone, 1999) propose that such statements are indeed understood as category assertions. They propose that people interpret the metaphor by categorizing perjury into boomerang. However, according to Glucksberg and Keysar (1990) the term boomerang does not refer to the object boomerang; instead, it refers to a higher level category that could include perjury. The experiments reported in this paper tested this aspect of the theory.

APPROACHES TO THE STUDY OF METAPHOR

The cognitive study of metaphor focuses on a variety of issues, not always explicitly distinct from each other. Analogical problem solving (Bassok, in press; Carbonell, 1986; Clement & Gentner, 1991; Gick & Holyoak, 1983; Holyoak & Thagard, 1989; Sternberg, 1977; Thagard, 1988) may or may not involve the same processes as comprehending metaphors. Understanding how people store and remember metaphors is a different pursuit than understanding how people comprehend them (Marschark, Katz, & Paivio, 1983; Marschark & Hunt, 1985). What makes a metaphor good or apt (Malgady & Johnson, 1976; Tourangeau & Sternberg, 1981) is a different question from what causes people to categorize a sentence as metaphorical and how they judge its

degree of metaphoricity. Similarly, as Gerrig and Healy (1983) argued, metaphor understanding differs from metaphor evaluation. Our focus in this paper is on the processes that underlie the understanding of mundane nominative metaphorical assertions (e.g., *That defense lawyer is a shark*). Our question is: What do people do to make sense of a metaphorical sentence?

Different theories make different assumptions regarding the processes that underlie metaphor understanding. One major approach, which goes back to the historical "comparison" theory of metaphor, assumes that an act of comparison is at the heart of the process. This approach has a variety of instantiations. For instance, Miller's theory (1993) assumes that understanding the metaphor *man is a wolf* requires translating it to the simile, *man is like a wolf*. The assumption is that the metaphor conceals an implied comparison that has to be uncovered as part of the understanding process. Miller proposes a series of rules that allow, by analogy, the comparison of *man* to *wolf* to yield an interpretation.

Comparison is also at the heart of Ortony's (1979) theory of salience imbalance. According to this theory, understanding cigarettes are time bombs involves comparing the topic, cigarettes, to the vehicle, time bombs, to reveal the highly salient properties of time bombs that are low in salience in cigarettes; these differentially salient properties are the ground for the metaphor. In Gentner's theory (e.g., Gentner, Falkenhainer, & Skorstand, 1988; Wolff & Gentner, 1992), comparison is important in the early matching process of the topic and the vehicle, a matching process that looks for features and relations common to the topic and vehicle. Kintsch (2000) has further drawn upon these comparison theories by representing the interaction between the meaning of the topic and the vehicle in a computational model of metaphor comprehension.

Tourangeau and Sternberg's (1982) domain interaction theory assumes a different kind of comparison—a comparison not between the topic and the vehicle terms but instead between the two domains from which they come. According to Tourangeau and Sternberg, understanding the metaphor *the eagle is a lion* involves comparing the domain of mammals to

the domain of birds to discern the relative position of *lion* among *mammals* and applying that relation to *eagle* in its domain of *birds*.

As an alternative to comparison-based theories, Glucksberg and Keysar (1990) propose a categorization-based approach, which does not assume a comparison between terms. Next, we shall describe the basic assumptions of Glucksberg and Keysar's (1990) theory, focusing on the specific predictions that the experiments reported here tested.

The Class Inclusion Theory of Metaphor

Metaphors and ad hoc categories. Glucksberg and Keysar (1990; see also Glucksberg, 1991, 1998; Glucksberg & Keysar, 1993; Glucksberg, Manfredi, & McGlone, 1997; McGlone & Manfredi, in press) proposed that when people comprehend metaphors, such as rage is a volcano, they do not compare rage and volcano. Instead, they understand the sentence as a categorization statement. According to the theory, to understand metaphors, people construct an ad hoc category (Barsalou, 1983) that the vehicle typifies. In this case, volcano typifies the "category of things that erupt unexpectedly and might cause damage." This category includes a variety of members such as epidemics, revolutions, rage, and so on. The metaphor, then, is understood as an assertion that rage is a member of this category.

If the vehicle is the basis for constructing the ad hoc category, one might conclude that the exact same category will be constructed for all metaphors that contain the same vehicle, regardless of the identity of the topic. This is not the case; the topic affects the nature of the category. For example, consider the difference between my dentist is a magician and my stockbroker is a magician. Even though both metaphors have magician as their vehicle, we might mean in one case that the dentist is able to make an expected event disappear (e.g., pain), whereas in the other case the broker is able to create something (e.g., profit) out of nothing.

Glucksberg, McGlone, and Manfredi (1997) suggest that the topic constrains the nature of the constructed category because it provides possible dimensions for attribution. For example, the *dentist*-topic might provide relevant dimensions for attribution such as "degree of

manual skill" and "ability to control level of pain." The *stockbroker*-topic provides dimensions such as "ability to make accurate predictions" and "timing ability." In contrast, the vehicle of the category, by creating the relevant category, provides a value on the suggested dimension. Glucksberg, McGlone, and Manfredi (1997) tested these ideas and demonstrated that the topic and the vehicle play two different roles in the course of metaphor understanding. Our focus in this paper is on the role of the vehicle in the understanding process.

The vehicle stands for the superordinate category. The strong version of the class inclusion theory assumes that not only does the vehicle typify the created category but it also lends its name to it. This possibility was briefly suggested by Roger Brown as well (1958):

metaphor differs from other superordinate—subordinate relations in that the superordinate is not given a name of its own. Instead, the name of one subordinate (i.e., the vehicle) is extended to the other. (p. 140)

Such a naming device, using a typical member to name the superordinate category, is not uncommon in language. There are many cases where language users name a category that has no conventional name by using its typical member or members. For example, in American Sign Language one can refer to the superordinate furniture by signing "chair-table-bed, etc.," all of which are typical furniture (Newport & Bellugi, 1978). The use of a prototypical member to name the category is also common in American Indian Languages. For example, the name for eagle is used by Shoshoni speakers to refer to the category of large birds (Hage & Miller, 1976; for other examples, see Glucksberg & Keysar, 1990). So, according to the class inclusion theory of metaphor, when we say that her rage was a volcano we mean that her rage was a member of that category that has the name volcano. Volcano in this context no longer stands for a physical object; it stands for the entire category that it typifies.

If we consider *volcano* as in *Mount St. Helen is a volcano* to be in the basic-level of categorization, then *volcano* as a metaphor vehicle is in the superordinate-level of categorization. The

strong version of the class inclusion theory assumes that when we understand the metaphor rage is a volcano, we do the same kind of thing that we do when we understand the assertion a robin is a bird. We categorize either rage or robin into their corresponding superordinate category, volcano or bird. The only difference is that bird is a conventional name for a taxonomic category, whereas volcano is a borrowed name for an ad hoc category that is created on the fly.

This category-based approach can account for a variety of metaphor phenomena (Glucksberg & Keysar, 1990). For instance, metaphor is usually irreversible because reversing the terms typically yields an anomaly (Ortony, 1979). It does not make sense to reverse the metaphor perjury is a boomerang to a boomerang is perjury. A class inclusion theory naturally accounts for this irreversibility: Irreversibility is inherent in any class inclusion statement; it does not make sense to reverse a robin is a bird to a bird is a robin. Because the theory conceives of rage is a volcano as a category statement, it would not make sense to reverse it for the same reason. Indeed, Glucksberg, McGlone, and Manfredi (1997) demonstrated that people perceive metaphors not merely as asymmetrical but as irreversible.

The class inclusion theory can even predict when a reversed metaphor would yield a meaningful statement. If the topic typifies a potential ad hoc category into which the vehicle can be categorized, then the reversed metaphor would make sense. For example, one can reverse the metaphor *this lion is a shark* to a still meaningful metaphor, *this shark is a lion*. The reversal is not an anomaly because *lion* happens to typify a category of royal creatures. Indeed, with *shark* as the vehicle, the metaphor attributes to the topic a different quality (tenacity) than with *lion* as a vehicle (royalty).

The class inclusion theory of metaphor understanding can also explain why metaphorical comparison statements can be converted into category statements but literal comparisons cannot. It does not make sense to convert the literal comparison *cigarettes are like cigars* to the category statement *cigarettes are cigars*. In contrast, it makes a lot of sense to convert the metaphorical comparison *cigarettes are like time bombs* into the category statement *cigarettes are time*

bombs. This ability to convert a simile into a metaphor falls naturally out of the theory. A simile can be expressed in a class inclusion form because the simile is an implied category statement (Glucksberg & Keysar, 1990).

The experiments we report here were designed to test the assumption of the class inclusion theory regarding the status of the vehicle. Specifically, we tested the assumption that the vehicle of a metaphor is understood as the superordinate of an ad hoc category. So time bombs in cigarettes are time bombs does not stand for the basic-level, the object time bombs. Instead, it names a superordinate ad hoc category that includes things such as cigarettes and time bombs. We empirically tested this assumption by modifying a paradigm developed by Gernsbacher and her colleagues that identifies two general cognitive mechanisms involved in language comprehension, namely, the mechanisms of enhancement and suppression.

Comprehension Mechanisms and Metaphor Understanding

The role of enhancement and suppression in comprehension. Comprehension is enabled by modulating activation; two mechanisms provide this modulation, enhancement and suppression. Enhancement is the increase in activation of memory nodes that represent information central to the on-going comprehension. Suppression is the active reduction in activation of activated memory nodes that represent information that is potentially confusing or irrelevant for comprehension. Gernsbacher and her colleagues have demonstrated the role of these two mechanisms in lexical access (e.g., Gernsbacher & Faust, 1991b; Gernsbacher & St. John, in press), anaphora (e.g., Gernsbacher, 1989, 1997a), cataphora (e.g., Gernsbacher & Jescheniak, 1995; Gernsbacher & Shroyer, 1989), as well as in the comprehension of nonlinguistic information (e.g., Gernsbacher & Faust, 1991a).

Consider, for example, the role of enhancement and suppression in modulating the access of word meaning. Often the understanding of polysemous words initially involves the activation of contextually relevant as well as irrelevant meanings; however, after a short latency only the relevant meaning remains activated (e.g.,

Swinney, 1979). According to Gernsbacher, the mechanism of suppression is what eliminates contextually irrelevant meanings. Gernsbacher and Faust (1991b) demonstrated that the reduction in activation of inappropriate meanings is not due to decay over time, or to compensatory inhibition, but instead to active suppression. Moreover, Gernsbacher and her colleagues discovered that a major element in comprehension skill differences is in the operation of the supmechanism (Gernsbacher, pression Gernsbacher & Faust, 1991a, 1994; Gernsbacher, Varner, & Faust, 1990; Gernsbacher & Robertson, 1995): While less-skilled comprehenders are as able as more-skilled comprehenders to use contextual information to enhance relevant information, they are less able to suppress irrelevant information.

Enhancement and suppression in metaphor understanding. In the experiments reported here, we investigated the role of the mechanisms of suppression and enhancement in metaphor understanding. Consider first an experiment with polysemous words reported in Gernsbacher (1994). In this experiment participants read sentences and were required to decide quickly if the sentences made sense. Occasionally, consecutive pairs of sentences were designed as prime and target sentences. For example, one target sentence was She blew out the match. This target sentence was preceded by one of three prime sentences: a prime sentence using the word match with (potentially) the same meaning as the target, She saw the match; a prime sentence using the word match with a clearly different meaning, She won the match; or a nonsense-prime sentence that also contained the word match, She prosecuted the match.

Participants more rapidly responded that the target sentence made sense after they read the same-meaning prime sentence than after they read the nonsense-prime sentence. If we consider the nonsense-prime sentence as a baseline, these data suggest that the contextually appropriate meaning of a polysemous word is enhanced by reading the word in a biasing context. In contrast, participants more *slowly* responded that the target sentence made sense after they read the different-meaning prime sentence than after they read the nonsense-prime sentence.

These data suggest that the other meanings of a polysemous word are actively suppressed by reading the word in a biasing context. The design and rationale of the current experiments were analogous to those in this study.

Our goal was to test the following premise of the class inclusion theory of metaphor understanding: In a metaphor such as that defense lawyer is a shark, the vehicle stands for the superordinate category. Therefore, when understanding this metaphor, the vehicle, shark, is not interpreted as referring to a basic-level of categorization; rather the vehicle, shark, is interpreted as a superordinate ad hoc category of tenacious, perhaps vicious, things. We tested this proposal by examining whether the superordinate meaning of a vehicle is enhanced once a metaphor is understood. Participants read either a metaphorical prime sentence, such as That defense lawyer is a shark, or a baseline-prime sentence, such as That large hammerhead is a shark, in which shark was used literally. After reading either the metaphor-prime sentence or the literal-prime sentence, participants sponded to a target property statement. The property was relevant to the superordinate category, for example, Sharks are tenacious. While shark in the literal-prime sentence has the property "tenacious," we suggest that the metaphorprime sentence, by using shark to refer to the superordinate ad hoc category of tenacious things, focuses on this property and thus highlights it. If the metaphorical vehicle stands for the superordinate category, then we should have observed an enhancement effect: Participants should have more rapidly verified the superordinate property statement after they read the metaphor-prime sentence than after they read the literal-prime sentence.

While such an enhancement effect is a necessary condition for our argument, it is not sufficient to conclude that the metaphorical vehicle stands for a superordinate category. According to the class inclusion theory of metaphor, when people understand the metaphor *that defense lawyer is a shark* they construct an ad hoc category that the basic-level meaning of *shark* typifies. This means that the process of metaphor understanding makes use of the basic-level meaning of *shark*, and, therefore, that concept

should be activated in memory. Indeed, Blasko and Connine (1993) provided evidence for the activation of the literal meaning of metaphor vehicles as people hear metaphorical sentences. Using a cross-modal priming paradigm, they demonstrated that immediately following the vehicle of the metaphor *hard work is a ladder*, the concept *rungs* was activated. Blasko and Connine's (1993) finding suggests that the basic-level meaning of *ladder* was available for the readers during comprehension.

However, according to the class inclusion theory, metaphorical vehicles do not stand for literal or basic-level meanings; they represent suconcepts. perordinate In this metaphorical vehicle, such as shark, is akin to a polysemous word. And just as the contextually inappropriate meaning of a polysemous word is suppressed after the word is disambiguated, properties of the basic-level meaning should be suppressed after a metaphorical vehicle is understood. We therefore predicted that understanding a metaphor involves suppressing the basic-level meaning of the vehicle.

We tested this prediction in the following way: We presented the same two prime sentences as we presented to test our prediction about the role of enhancement in metaphor understanding (i.e., a metaphor-prime sentence, such as that defense lawyer is a shark, or a literal-prime sentence, such as that large hammerhead is a shark). After reading either the metaphor-prime sentence or the literal-prime sentence, participants responded to a target property statement. In contrast to the property statements that we presented to test our prediction about the role of enhancement in metaphor understanding (i.e., property statements of the superordinate ad hoc category, e.g., Sharks are tenacious), the property statements that we presented to test our prediction about the role of suppression in metaphor understanding were relevant to the basic-level category but not the superordinate ad hoc category. An example is the property statement, Sharks are good swimmers. While being a good swimmer is a property of the basic-level meaning of *shark*, it is not relevant to the superordinate, ad hoc category named shark. If participants responded more slowly to the basic-level property statements after reading a metaphor than after reading the literal (baseline) prime sentence, this would demonstrate a suppression effect. This suppression effect would imply that the basic-level meaning of *shark* was actively suppressed and that the vehicle was interpreted to stand for the superordinate category.

EXPERIMENT 1

Methods

Materials. Participants read a series of sentences. The participants' task was to read each sentence and decide whether it made sense. Half the sentences did make sense, such as That large hammerhead is a shark, and half the sentences did not make sense, such as That apple was a tennis player. Unknown to the participants, the list of 384 sentences included 48 pairs of experimental sentences (25% of the sentences). We called these sentences "experimental pairs" because the first sentence of each pair was our prime sentence, and the second was our target sentence. We manipulated the prime sentences and measured the effect of our manipulation on participants' responses to the target sentences.

The prime sentences were all in the form of *X* is a *Y*. Half were metaphorical, such as *That defense lawyer is a shark*, and the other half were literal. For the experimental prime sentences, we first constructed the metaphorical prime sentences and then constructed their literal-prime sentence counterparts by selecting a member of the basic-level category (e.g., *hammerhead*) represented by the metaphorical vehicle (e.g., *shark*) and substituting it for the topic of the corresponding metaphor-prime sentence. For example, for the metaphor-prime sentence *That defense lawyer is a shark*, the corresponding literal-prime sentence was *That large hammerhead is a shark*.

Each prime sentence was followed by a target sentence. The target sentences were all property statements, such as *Sharks are tenacious; Airplanes have wings; Vampires suck blood; Earthquakes shake buildings*. For the experimental target sentences, the property was relevant to the superordinate category represented by the vehi-

cle of the preceding metaphor-prime, or the property was relevant to only the basic-level category represented by the vehicle of the preceding metaphor-prime sentence. For example, for the metaphor-prime sentence That defense lawyer is a shark, the property statement relevant to the superordinate category was Sharks are tenacious, and the property statement relevant to only the basic-level was Sharks are good swimmers. The property statements relevant to the superordinate category were written to highlight what we judged to be the most salient feature of the corresponding metaphor-prime sentence. The property statements relevant to the basic-level category were written to highlight what we judged to be the most salient feature of the corresponding literal prime sentence, avoiding statements that might also be related to the metaphor (i.e., sharp teeth might be related to tenacity). We shall refer to the experimental property statements that were relevant to the superordinate category of the vehicle as superordinate target sentences, and we refer to the experimental property statements that were relevant to only the basic-level of the vehicle as basic-level target sentences. The factorial combination of the two types of prime sentences (metaphor and literal) and the two types of target sentences (superordinate and basic-level) produced four experimental conditions, as illustrated in Table 1.

The materials also included 144 filler pairs of sentences designed to balance the number of prime and target sentences that did versus did not make sense. The filler sentence pairs were constructed similarly to the experimental pairs (i.e., the first sentence was either a metaphor or a literal sentence, and the second sentence was a property statement about the vehicle of the preceding

metaphor or literal sentence). For 48 of the filler sentence pairs neither the first (metaphorical or literal) sentence nor the second (property statement) sentence made sense, for example, Her basketball is a toll booth: Toll booths distribute hamburgers. For 48 of the filler sentence pairs the first sentence did not make sense but the second sentence did, for example, That apple was a tennis player; Tennis players need racquets. For 48 other filler sentence pairs the first sentence made sense—24 were like the experimental metaphor primes and 24 were like the experimental literal primes—and the second sentence did not make sense, for example, My aunt's health problems are a time bomb; Bombs are special anniversary gifts, or His jacket was cordurov: Cordurov swims in the lake. The complete set of materials for Experiment 1 is available online at http://psych.wisc.edu/lang/materials/metlit.html.

Procedure. Participants were tested in groups of four or fewer, with each participant occupying his or her own cubicle. Each cubicle contained a computer monitor and a two-key response pad. At the beginning of the session, participants read instructions on their computer monitors that explained the task and provided example sentences. Participants were told that their task was to read each of a series of sentences and to decide rapidly and accurately whether each sentence made sense. The participants were told that some of the sentences would be metaphorical, and they were given examples of sentences that did and did not make sense (e.g., My mother says that my little brother is a pig versus My mother says that my little brother is a desk).

Participants were instructed to use their dominant hand to indicate their response by

TABLE 1
Example Experimental Stimuli for Experiment 1

Target sentence	Prime sentence	
	Metaphor	Literal
Superordinate relevant	That defense lawyer is a shark. Sharks are tenacious.	That large hammerhead is a shark. Sharks are tenacious.
Basic-level relevant	That defense lawyer is a shark. Sharks are good swimmers.	That large hammerhead is a shark. Sharks are good swimmers.

pressing either a key labeled "yes" or a key labeled "no." They used their index finger to press one key and their middle finger to press the other. During the instructions, participants responded to eight practice sentences and received feedback after each practice sentence indicating whether they responded correctly, incorrectly, or too slowly (taking longer than 5500 ms). During the actual experiment the participants did not receive this feedback. After responding to each third of the sentences in the experiment, the participants received a short break.

All sentences were presented left-justified and vertically centered so that the beginning of each sentence appeared in the same location. Each sentence remained on the screen for 5500 ms or until the participant pressed a key. Between sentences a blank screen appeared for 450 ms.

Participants. The participants were 133 community members, recruited from flyers posted around the University of Wisconsin-Madison campus and participating for monetary compensation. Only verification times for which participants responded correctly to both the target (property statement) and its preceding prime sentence were analyzed, and data from participants who did not perform better than 66% correct on each of the experimental item types were not analyzed. This criterion eliminated the data from 21 participants; the data from 112 participants were included in the analysis.

Results and Discussion

Participants' average verification times for property statements are illustrated in Fig. 1. To test the hypothesis that the superordinate meaning of a vehicle is enhanced during metaphor understanding, we examined participants' average verification times for property statements that were relevant to the superordinate category. More specifically, we compared the participants' average verification times for property statements that were relevant to the superordinate category (e.g., *Sharks are tenacious*) after the participants read a metaphorical prime sentence (e.g., *That defense lawyer is a shark*), as opposed to a baseline, literal-prime sentence (e.g., *That large hammerhead is a shark*). If the

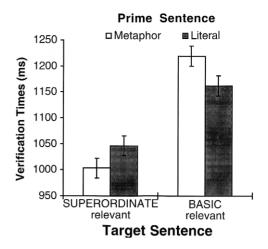


FIG. 1. Participants' average verification times for property statements in Experiment 1.

metaphorical vehicle stands for the superordinate category, then we should have observed an enhancement effect. As illustrated in the two left-most bars of Fig. 1, participants more rapidly verified the superordinate property statements after they read the metaphor-prime sentences (M = 1003 ms; SE = 16 ms) than after they read the literal-prime sentences (M = 1046 ms; SE = 19 ms), F1(1,111) = 7.336, p < .01; F2(1,47) = 4.987, p < .03.

To test the hypothesis that the basic-level meaning of a vehicle is suppressed during metaphor understanding, we examined participants' average verification times to property statements that were relevant to only the basiclevel category. More specifically, we compared the participants' average verification times to property statements that were relevant to only the basic-level category (e.g., Sharks are good swimmers) after the participants read a metaphorical prime sentence (e.g., That defense lawyer is a shark), as opposed to a baseline, literal-prime sentence (e.g., That large hammerhead is a shark). If the metaphorical vehicle stands for the superordinate category, then we should have observed a suppression effect. As illustrated in the two right-most bars of Fig. 1, participants more slowly verified the basic-level property statements after they read the metaphorprime sentences (M = 1219 ms; SE = 22 ms) than after they read the literal-prime sentences

(M = 1162 ms; SE = 18 ms), F1(1,111) = 13.38, p < .0004; F2(1,47) = 7.656, p < .01.

This contrasting pattern of enhancement of the superordinate category (i.e., faster verification times for the superordinate property statements after participants read the metaphorprime versus literal-prime sentences) and suppression of the basic-level meaning of the vehicle (i.e., slower verification times for the basic-level property statements after participants read the metaphor-prime versus literal-prime sentences) produced a statistically significant interaction, F1(1,111) = 20.27, p < .0001; F2(1,94) = 12.29, p < .0007.

Participants were faster to verify superordinate property statements ($M=1024~\rm ms$; $SE=12~\rm ms$) than basic-level property statements ($M=1191~\rm ms$; $SE=18~\rm ms$), F1(1,111)=241.30, p<.0001; F2(1,94)=10.35, p<.002. However, this difference was not important to our investigation, so we did not investigate its cause. Participants' responses to the property statements were very accurate; less than 2% of the responses to the superordinate property statements and less than 3% of the responses to the basic-level property statements were incorrect. There were no differences or interactions in error rates.

The enhancement and suppression effects demonstrated by this experiment support the class inclusion theory of metaphor comprehension. Comprehending a metaphor makes properties that are central to the metaphor ground (and to the superordinate category of the vehicle) more accessible. More crucial to the theory, comprehending a metaphor makes properties of the basic-level meaning of the vehicle that are not relevant to its superordinate meaning less accessible; we suggest that they are suppressed. Such suppression of the basic-level meaning is strong evidence that metaphorical vehicles do not have basic-level meaning; they stand for the superordinate.

Although the pattern of the data from our first experiment was clear, one could argue against our interpretation of the suppression effect in the following way. Perhaps we observed that participants were slower to verify basic-level properties (e.g., *Sharks are good swimmers*)

after they read metaphors (e.g., That defense lawyer is a shark) than after they read baseline, literal sentences (e.g., That large hammerhead is a shark) not because comprehending metaphors involves suppressing basic-level meanings but because our comparison condition—our baseline, literal-prime sentences contained the basic-level category member term (e.g., hammerhead). In other words, perhaps instead of demonstrating that participants were slower to verify basic-level properties after they read metaphors, we merely demonstrated that participants were faster to verify basic-level properties after they read the literal sentences, and the reason they were faster to verify basiclevel properties after they read the literal sentences is that those literal sentences contained the basic-level category word.

To rule out this explanation we conducted a second experiment that used a different baseline prime. In our second experiment our baseline-prime sentences did not contain the basic-level category word (e.g., hammerhead); rather, the topic of the baseline prime was nonsensical when yoked with the metaphorical vehicle. For example, the baseline prime for the metaphor *His defense lawyer was a shark* was the nonsense-prime sentence *His English note-book was a shark*. If we are correct in our interpretation of Experiment 1, then the nonsense baseline prime in Experiment 2 should yield exactly the same results as we observed in Experiment 1.

EXPERIMENT 2

Methods

Materials. Experiment 2 used the same 48 metaphor-prime sentences as Experiment 1, with occasional minor modifications (e.g., change of a demonstrative reference to a pronoun). For each metaphor-prime sentence we constructed a nonsense-prime sentence by changing the topic of the corresponding (sensible) metaphor-prime sentence. For example, for the metaphor-prime sentence His defense lawyer is a shark, we replaced His defense lawyer with His English notebook, making the nonsense-prime sentence His English notebook is a shark.

As in Experiment 1, each prime sentence was followed by a target sentence, which was a property statement. The target sentences in Experiment 2 were identical to those of Experiment 1. For the experimental target sentences, the property was relevant to the superordinate category represented by the vehicle of the preceding metaphor-prime or nonsense-prime sentence, or the property was relevant to only the basic-level category represented by the vehicle of the preceding metaphor-prime or nonsense-prime sentence. The factorial combination of the two types of prime sentences (metaphor versus nonsense) and the two types of target sentences (property statements relevant to the superordinate versus basic-level) produced four experimental conditions, as illustrated in Table 2.

The materials also included 48 filler pairs of sentences designed to balance the number of prime and target sentences that did versus did not make sense. The filler sentence pairs were constructed similarly to the experimental pairs, in that the first sentence was either a metaphor or a nonsense statement, and the second sentence was a property statement about the vehicle of the preceding metaphor or nonsense statement. For 24 of the filler sentence pairs neither sentence made sense, for example, Her basketball is a toll booth: Toll booths distribute hamburgers. For the other 24 filler sentence pairs, the first sentence made sense, but the second sentence did not make sense, for example, My aunt's health problems are a time bomb; Time bombs are special wedding gifts. The complete set of materials for Experiment 2 is available online at http://psych.wisc.edu/lang/materials/ metnon.html.

Procedure. The procedure was identical to that of Experiment 1.

Participants. One hundred and ninety one undergraduates at the University of Wisconsin-Madison participated for extra credit in an introductory psychology course. All participants were native American English speakers. As in Experiment 1, only verification times for which participants responded correctly to both the target (property statement) and its preceding prime sentence were analyzed, and data from participants who failed to perform better than 66% correct on each of the experimental item types were not analyzed. This criterion eliminated the data from 51 participants; the data from 140 participants were included in the analysis.

Results and Discussion

Participants' average verification times for property statements are illustrated in Fig. 2. To test the hypothesis that the superordinate meaning of a vehicle is enhanced during metaphor understanding, we examined participants' average verification times for property statements that were relevant to the superordinate category. More specifically, we compared the participants' average verification times for property statements that were relevant to the superordinate category (e.g., Sharks are tenacious) after the participants read a metaphor-prime sentence (e.g., His defense lawyer is a shark), as opposed to a baseline, nonsense-prime sentence (e.g., His English notebook is a shark). If the metaphorical vehicle stands for the superordinate category, then we should have observed an enhancement effect. And again, as illustrated in the two left-most bars of Fig. 2, participants more rapidly verified the superordinate property statements after they read the metaphorprime sentences (M = 1093 ms; SE = 19 ms) than after they read the nonsense-prime sentences

TABLE 2
Example Experimental Stimuli for Experiment 2

Target sentence	Prime sentence	
	Metaphor	Nonsense
Superordinate relevant	His defense lawyer is a shark. Sharks are tenacious.	His English notebook is a shark. Sharks are tenacious.
Basic-level relevant	His defense lawyer is a shark. Sharks are good swimmers.	His English notebook is a shark. Sharks are good swimmers.

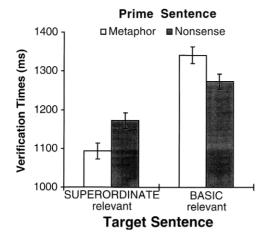


FIG. 2. Participants' average verification times for property statements in Experiment 2.

(M = 1173 ms; SE = 20 ms), F1(1,139) = 20.22,p < .0001; F2(1,47) = 21.20, p < .0001.

To test the hypothesis that the basic-level meaning of a vehicle is suppressed during metaphor understanding, we examined participants' average verification times for property statements that were relevant to only the basiclevel category. More specifically, we compared the participants' average verification times for property statements that were relevant to only the basic-level category (e.g., Sharks are good swimmers) after the participants read metaphor-prime sentence (e.g., His defense lawyer is a shark), as opposed to a baseline, nonsense-prime sentence (e.g., His English notebook is a shark). If the metaphorical vehicle stands for the superordinate category, then we should have observed a suppression effect. And again, as illustrated in the two right-most bars of Fig. 2, participants more slowly verified the basic-level property statements after they read the metaphor-prime sentences (M = 1341 ms; SE = 22 ms) than after they read the nonsenseprime sentences (M = 1273 ms; SE = 20 ms), F1(1,139) = 14.46, p < .0002; F2(1,47) =4.26, p < .04.

As in Experiment 1, the contrasting pattern of enhancement of the superordinate category (i.e., faster verification times for the superordinate property statements after participants read the metaphor-prime versus nonsense-primes) and

suppression of the basic-level meaning of the vehicle (i.e., slower verification times for the basic-level property statements after participants read the metaphor-prime versus nonsense-primes) produced a statistically significant interaction, F1(1,139) = 34.43, p < .0001; F2(1,94) = 18.15, p < .0001.

Participants were again faster to verify superordinate property statements (M = 1133 ms; SE = 19 ms) than basic-level property statements (M = 1307 ms; SE = 21 ms), F1(1,139) = 174.69, p < .0001; F2(1,94) = 9.44, p < .003. However, this difference was not important to our investigation, so we did not investigate its cause. Participants responded incorrectly less than 1% of the time to the superordinate property statements and less than 2% of the time to the basic-level property statements. There were no differences or interactions in error rates.

Experiment 2 both replicated the effects found in Experiment 1 and allowed us to reject the alternative explanation for the suppression effect. Recall that the alternative explanation assumed that the suppression effect found in Experiment 1 was actually an enhancement following the literal prime sentence. It suggested that the presence of a basic-level concept (e.g., hammerhead) in the literal-prime sentence might have facilitated responses to the basic-level property, making it seem like the metaphorprime suppressed responses to that property. The fact that Experiment 2 yielded the same result with a baseline prime that did not use the basic-level concept in the baseline prime argues against this alternative.

EXPERIMENT 3

We conducted the second experiment in order to rule out an alternative explanation to Experiment 1's suppression effect. Though Experiment 2 showed the same suppression pattern as Experiment 1, a critic might still argue that the nonsense prime is not a "truly" neutral baseline. It is still possible to explain the suppression effect of Experiment 2 by assuming that the nonsense prime *His English notebook is a shark* primed *good swimmers*, speeding response time to the target sentence, *Sharks are good swimmers*. Perhaps the word *shark* in the nonsensical

string is understood at the basic-level of categorization, thus enhancing the basic-level properties of *shark*, and consequently facilitating the comprehension of the target sentence *Sharks are good swimmers*. In addition, one could assume that the metaphor *My lawyer is a shark* does not affect the availability of the property "are good swimmers" at all. If this is the case, then readers should be slower to respond to the target following the metaphor than the nonsense prime. Thus, what looks like a suppression effect in Experiment 2 could simply be an enhancement effect.

We designed Experiment 3 to overcome this criticism. Ideally, one would want to use a truly neutral priming sentence. Unfortunately, such neutral primes do not exist because any linguistic material has the potential to prime some aspect of the target sentence. We therefore aimed at addressing the specific problem that the nonsense prime poses. The heart of the alternative explanation is that the word shark in the nonsense prime might have enhanced the comprehension of the target sentence. Therefore, the baseline prime in Experiment 3 did not include the vehicle name from the corresponding metaphor prime. Instead of a nonsense prime, this experiment used an unrelated metaphor as a baseline prime. For example, the unrelated (baseline) prime for the target sentence Sharks are good swimmers was That new student is a clown. An unrelated metaphor cannot be expected to systematically induce enhancement of a basic-level property such as are good swimmers. Therefore, with this unrelated metaphor baseline, a suppression effect can no longer be explained in terms of enhancement.

But the new baseline solved one problem and created another. The metaphor prime and the

metaphor baseline are no longer equated in the most important way. Only the metaphor prime includes the crucial term, the vehicle *shark*. In this new configuration, the word *shark* is repeated between the metaphor prime and the target sentence, but not between the unrelated baseline prime and the target sentence. It is well established that readers are faster to identify words they have seen shortly before, so it is virtually guaranteed that the repetition of *shark* alone would result in faster response time following the metaphor than the baseline prime. This should be true even for the responses to the basic-level target sentences (*Sharks are good swimmers*).

Though such a repetition priming effect is bound to occur, it is irrelevant to our theoretical concern and would add only a constant in the metaphor prime conditions. To avoid this potential problem, we will compute *z*-scores (hereafter referred to as standardized scores) for the verification latencies within each prime type. Any remaining differences should more directly reflect enhancement and suppression effects.

Methods

Materials. We paired each metaphor prime sentence (e.g., That defense lawyer is a shark) with another unrelated metaphor prime sentence (e.g., That new student is a clown) so that the same metaphor served as both a relevant prime in one sentence set and an unrelated prime in another. As Table 3 illustrates, this simple pairing created completely counterbalanced sentence sets, with unrelated metaphors serving as the baseline primes. The complete set of materials is available online at http://psych.wisc.edu/lang/materials/metneut.html.

TABLE 3
Example Experimental Stimuli for Experiment 3

Target sentence	Prime sentence	
	Metaphor	Unrelated
Superordinate relevant	That defense lawyer is a shark. Sharks are tenacious.	That new student is a clown. Sharks are tenacious.
Basic-level relevant	That defense lawyer is a shark. Sharks are good swimmers.	That new student is a clown. Sharks are good swimmers.

Procedure. The procedure was identical to that of Experiments 1 and 2.

Participants. One hundred and sixty-seven undergraduates at the University of Wisconsin-Madison participated for extra credit in an introductory psychology course. All participants were native American English speakers. As in Experiments 1 and 2, only verification times for which participants responded correctly to both the target (property statement) and its preceding prime sentence were analyzed. Data from participants who failed to perform better than 66% correct on each of the experimental item types were not analyzed. This criterion eliminated the data from 51 of the total participants; the data from 116 participants were included in the analysis.

Results and Discussion

Participants' average verification times for property statements are illustrated in Fig. 3. As in Experiments 1 and 2, we observed a statistically significant interaction, F1(1,155) = 6.648, p < .01; F2(1,47) = 4.046, p < .05. However, as seen in Fig. 3, this interaction is not of the "crossover" style observed in Experiments 1 and 2. In Experiment 3, participants more rapidly verified the superordinate property statements after they read the metaphor-prime sentences (M = 1045 ms; SE = 17 ms) than after they read the unrelated-prime sentences (M = 1045 ms; SE = 1045

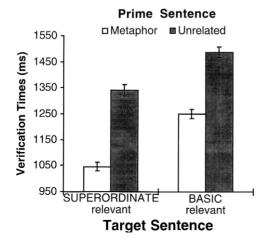


FIG. 3. Participants' average verification times for property statements in Experiment 3.

1341 ms; SE = 21 ms), and they more rapidly verified the basic-level property statements after they read the metaphor-prime sentences (M =1250 ms; SE = 17 ms) than after they read the unrelated primes (M = 1487 ms; SE = 21 ms). We assume that the interaction was not of the crossover style observed in Experiments 1 and 2 because the lack of word repetition inflated the verification times for target sentences following the unrelated primes. Indeed, if one were to subtract an estimated penalty for not having a repeated word, say 260 ms, from the average verification times for property statements following the unrelated primes (those that did not contain a repeated word), the signature crossover interaction would be perfectly manifested. However, a more elegant solution is to standardize, by computing z-scores, the participants' verification latencies within each prime type.

Figure 4 presents standardized verification latencies for Experiments 1, 2, and 3. For each experiment, these standardized scores were computed by first obtaining the mean and standard deviation of all the participants' mean verification latencies for target sentences following all the metaphor primes (i.e., collapsing across whether the target sentences were superordinate versus basic-level property statements). Then a "metaphor prime/basic-level target sentence" standardized score was computed for each participant by subtracting the group's average "metaphor" verification latency from the individual participant's raw "metaphor prime/basiclevel target sentence" verification latency and dividing by the group's "metaphor" standard deviation. Similarly, a "metaphor prime/superordinate-level" standardized score was computed for each participant by subtracting the group's average "metaphor" verification latency from the individual participant's "metaphor prime/superordinate-level target sentence" verification latency and dividing by the group's "metaphor" standard deviation. These steps were repeated for the unrelated primes in Experiment 3, and the literal and nonsense primes in Experiments 1 and 2.

As Fig. 4 illustrates, with verification latencies standardized within prime types, the pattern

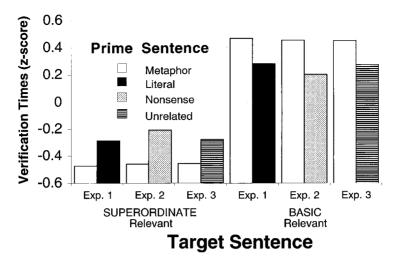


FIG. 4. Standardized verification times for property statements in Experiments 1, 2, and 3.

of suppression and enhancement that we observed in Experiments 1 and 2 appears in Experiment 3. In all three experiments, participants were faster to verify the superordinate property statements after reading the metaphor-prime sentences (E1 M=-0.473, SE=0.07; E2 M=-0.460, SE=.07; E3 M=-0.454, SE=0.07) than after reading the unrelated-prime sentences (E1 M=-0.287, SE=0.09; E2 M=-0.206, SE=0.08; E3 M=-0.276, SE=0.07), E1 F(1,112)=6.67, P<0.1; E2 F(1,139)=13.78, P<0.003; E3 F(1,155)=7.31, P<0.1. This pattern demonstrates support for our enhancement hypothesis.

Conversely, in all three experiments, participants were also slower to verify the basic-level property statements after reading the metaphorprime sentences (E1 M = 0.473, SE = 0.09; E2 M = 0.460, SE = 0.08; E3 M = 0.454, SE =0.07) than after reading the unrelated-prime sentences (E1 M = 0.287, SE = 0.09; E2 M =0.206, SE = 0.08; E3 M = 0.276, SE = 0.08), E1 F(1,112) = 6.67, p < .01; E2 F(1,139) = 13.78,p < .0003; E3 F(1,155) = 7.31, p < .01. For each experiment, this contrasting pattern led to a reliable interaction, E1 F(1,112) = 13.34, p <.001; E2 F(1,139) = 27.55, p < .0001; E3 F(1,155) = 14.621, p < .001, which when computed on the standardized verification latencies was always of the crossover type, as shown in Fig. 4.

GENERAL DISCUSSION

The experiments reported here provide two central findings. They consistently demonstrate an enhancement effect: Properties of a metaphor's vehicle that are central to the metaphorical meaning become more accessible. These experiments also demonstrate an interesting suppression effect: Properties of a metaphor's vehicle that are relevant only to the basic-level meaning of the vehicle, and are not relevant to the superordinate meaning of the vehicle, become less accessible. We suggest that these properties are suppressed to enable metaphor understanding. In the same way, inappropriate meanings of polysemous words are suppressed to enable lexical access, previously mentioned concepts that are not the antecedents of an anaphor are suppressed to enable anaphoric reference, competing topics are suppressed to enable cataphoric reference, and so forth. The enhancement and suppression effects occurred with three different baselines, a "literal" baseline, a "nonsense" baseline, and a "neutral" (unrelated) baseline, suggesting that the phenomena are robust.

Implications for Theories of Metaphor

The class inclusion theory of metaphor (Glucksberg & Keysar, 1990) predicts precisely the effects of suppression and enhancement that we observed in our three experiments. The class inclusion theory assumes that metaphors such as

cigarettes are time bombs are understood as is—as category statements. Time bomb stands for a superordinate category, not for the object time bomb. The enhancement effect suggests that indeed the superordinate category is accessible during metaphor understanding. The suppression effect more directly demonstrates that the vehicle does not stand for the basic-level meaning. The fact that basic-level properties were less accessible strongly suggests that the vehicle does not stand for the object-level category. These experiments, together with experiments by Glucksberg, McGlone, and Manfredi (1997), converge on direct empirical evidence for the class inclusion theory of metaphor.

While the experiments provide direct support for the class inclusion theory because they verify its natural predictions, we do not claim that they refute competing theories of metaphor understanding. As with all experiments to date in the study of metaphor understanding, these are not "critical experiments." The reason is that our experiments do not directly test the predictions of alternative theories. For example, a central assumption in Wolff and Gentner's (1992) theory is that metaphor understanding involves an early comparison between the topic and the vehicle. Our data do not speak to this issue either way. We cannot tell from the procedure and the results whether such a comparison takes place. While the experiments do not directly refute alternative theories, they do provide strong supporting evidence for the class inclusion theory, and they need to be explained by future theoretical attempts.

Category Mistake, Category Retrieval, or Category Construction?

One of the persisting puzzles in the empirical study of metaphor has been that while a metaphorical sentence is, strictly speaking, anomalous because it involves a category mistake (Davidson, 1978), experiments consistently find no evidence for the psychological reality of such an anomaly. It seems like readers and addressees comprehend a metaphor with no sense of an initial aberration. The class inclusion theory and our results provide one resolution to this puzzle. Readers need not encounter an anomaly

when they read the sentence *His defense lawyer* is a shark because once shark stands for the superordinate ad hoc category, the sentence is understood as a normal category statement. To arrive at the appropriate meaning of shark, comprehenders must do some "work," just like they work at identifying and constructing the context-dependent meaning of words in general.

The class inclusion account, then, explains the suppression effect as a result of the construction of the ad hoc category that is the basis for understanding the metaphor. One might suggest instead that our results reflect not category construction but category retrieval. Take for example an extreme case, a highly conventionalized metaphorical use of butcher as in his surgeon is a butcher. The metaphorical meaning of butcher is so lexicalized in English that it appears as an entry in several dictionaries. For example, it appears in the American Heritage Dictionary as the fourth entry, "one who bungles something." If by analogy such a meaning of butcher is also an entry in our mental lexicon, then it is possible that lexical ambiguity resolution is taking place. In that case, the suppression effect might reflect the same process that underlies lexical access of polysemous words such as spade, as Gernsbacher and her colleagues have demonstrated (e.g., Gernsbacher & Faust, 1991b). If so, the suppression effect for butcher should be taken to reflect not the construction of an ad hoc category but the retrieval of the preexisting lexicalized category of people who bungle things.

This alternative interpretation of our data should be rejected because it makes two false predictions. The first prediction concerns a possible priming effect. If the metaphorical meaning of a vehicle is really lexicalized, then the vehicle by itself should be an effective prime for terms that are related to the metaphorical meaning. However, experiments by Blasko and Connine (1993) suggest the opposite. While they found that conventional metaphors prime the metaphorical meaning very early in the process, they found no such priming by the conventional vehicles in isolation. Therefore, a "lexical access-type" interpretation of our results could be rejected on these grounds.

A stronger reason to reject this alternative interpretation comes from further analysis of our own data. We reasoned that if the suppression effect reflects lexical access for highly conventionalized metaphors, then the effect should correlate positively with ease of understanding the metaphor. We tested this possibility with the data from Experiment 2. We operationalized metaphor conventionality as error rate to the metaphor primes, assuming that the more conventional the metaphor the fewer errors participants should make in response to it. (Recall that the participants' task was to decide whether each sentence made sense; therefore, saying that one of our metaphor-prime sentences did not make sense would be considered an error.) We then computed a suppression score (an effect size) for each metaphor by subtracting (a) the mean verification time for its basic-level relevant property statement when that property statement was preceded by the nonsense-prime from (b) the mean verification time for its basiclevel relevant property statement when that property statement was preceded by the metaphor-prime. Although error rates ranged across metaphor primes from 0% (Her husband is a gem) to 87% (The baby monkey is a vine), there was no hint of correlation between these error rates and the suppression scores (r =.002). We therefore feel confident rejecting this alternative explanation and proposing instead that our results reflect the process of category construction.

Comprehension Mechanisms and Metaphor Understanding

Not only does the understanding of metaphor not involve anomaly, but experimental research shows that metaphor understanding is as natural as the understanding of literal language. Our paper suggests one central reason for the comparability of metaphor and literal comprehension: They are understood via exactly the same general comprehension mechanisms. Specifically, we demonstrated that the same mechanisms of enhancement and suppression that underlie comprehension in general (e.g., Gernsbacher & Faust, 1991a) and language comprehension in particular (e.g., Gernsbacher

& Jescheniak, 1995) are also crucial for metaphor understanding. Our findings suggest that metaphor comprehension could be naturally accounted for within the Structure Building Framework (Gernsbacher, 1990, 1991a, 1995, 1997c).

These general comprehension mechanisms support both metaphor and literal comprehension in two important ways. First, the understanding of a metaphorical meaning, as we demonstrated in our experiments, is comparable to any other like-structure literal sentence. Enhancement and suppression enable understanding of a nominative metaphor as they support the understanding of any other categorization statement. Second, in earlier work, Keysar (1994) showed that suppression is involved in inferences regarding intended meaning, and that it operates the same for literal and metaphorical meaning. Those studies demonstrated that suppression plays a role in arriving at a final intended meaning of sentences in discourse context: Readers can infer a metaphorical intended meaning in context by suppressing a literal meaning, but they can also infer a literal intended meaning by suppressing a metaphorical meaning.

Conclusion

On the one hand, our experiments provide support for the class inclusion theory of metaphor understanding. On the other hand, the experiments demonstrate the way that general comprehension mechanisms, as described by Gernsbacher and her colleagues, work at the service of metaphor understanding. Most importantly, the suppression mechanism is crucial in eliminating potentially confusing information during metaphor interpretation, just like it is important in suppressing irrelevant information during any act of understanding.

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