

# Response of the Ocean Natural Carbon Storage to Projected Twenty-First-Century Climate Change

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## ABSTRACT

The separate impacts of wind stress, buoyancy fluxes, and CO<sub>2</sub> solubility on the oceanic storage of natural carbon are assessed in an ensemble of twentieth- to twenty-first-century simulations, using a coupled atmosphere–ocean–carbon cycle model. Time-varying perturbations for surface wind stress, temperature, and salinity are calculated from the difference between climate change and preindustrial control simulations, and are imposed on the ocean in separate simulations. The response of the natural carbon storage to each perturbation is assessed with novel prognostic biogeochemical tracers, which can explicitly decompose dissolved inorganic carbon into biological, preformed, equilibrium, and disequilibrium components. Strong responses of these components to changes in buoyancy and winds are seen at high latitudes, reflecting the critical role of intermediate and deep waters. Overall, circulation-driven changes in carbon storage are mainly due to changes in buoyancy fluxes, with wind-driven changes playing an opposite but smaller role. Results suggest that climate-driven perturbations to the ocean natural carbon cycle will contribute 20 Pg C to the reduction of the ocean accumulated total carbon uptake over the period 1860–2100. This reflects a strong compensation between a buildup of remineralized organic matter associated with reduced deep-water formation (+96 Pg C) and a decrease of preformed carbon (−116 Pg C). The latter is due to a warming-induced decrease in CO<sub>2</sub> solubility (−52 Pg C) and a circulation-induced decrease in disequilibrium carbon storage (−64 Pg C). Climate change gives rise to a large spatial redistribution of ocean carbon, with increasing concentrations at high latitudes and stronger vertical gradients at low latitudes.

## 1. Introduction

Carbon dioxide concentrations in the modern atmosphere are governed by the difference between anthropogenic emissions and uptake by the ocean and

the terrestrial biosphere. The ocean has been a net sink of anthropogenic CO<sub>2</sub> over the last 200 years, removing more than 30% of anthropogenic carbon emitted to the atmosphere (Sabine et al. 2004). Importantly, since the beginning of the industrial revolution, the ocean carbon cycle can be described as the sum of the natural carbon cycle, which was in a balanced state in preindustrial times, plus an anthropogenic perturbation. The latter includes two interconnected components: 1) the addition of CO<sub>2</sub> to the atmosphere, and hence to the ocean, and 2) a climate

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change component. The second component can affect the ability of the ocean to absorb anthropogenic CO<sub>2</sub> but also the ocean natural carbon cycle. This study focuses on this last aspect by looking at the mechanisms through which climate change can alter the preindustrial natural carbon cycle of the ocean. In doing this we deliberately ignore the uptake of anthropogenic CO<sub>2</sub> that results from the increase of atmospheric CO<sub>2</sub> and focus only on the response of the background natural carbon cycle to climate change.

Carbon is stored in the ocean interior because of its high solubility in cold polar waters and its continuous transfer from the surface ocean to depth by the vertical flux of organic carbon, the “biological pump” (Volk and Hoffert 1985). Ocean carbon storage, particularly the biological pump, plays a critical role in climate by regulating atmospheric *p*CO<sub>2</sub> values (Sarmiento and Toggweiler 1984; Marinov et al. 2006). In the absence of the biological pump, atmospheric *p*CO<sub>2</sub> would be about 140 ppm higher (Gruber and Sarmiento 2002). Paleoclimatologists have often invoked changes in the biological pump to explain large glacial/interglacial variations of atmospheric *p*CO<sub>2</sub> (Sigman and Boyle 2000; Sigman et al. 2010). By contrast, the role of ocean carbon storage in transient, decadal-scale climate change has been less explored and is not well understood.

The processes regulating ocean carbon storage are tightly interconnected and include atmosphere–ocean gas exchange, photosynthesis, remineralization, mixing, and transport. Many of these processes are expected to change in a warming climate. Changes in heat and freshwater fluxes are expected to increase upper ocean stratification (Durack and Wijffels 2010; Capotondi et al. 2012), requiring more energy to initiate vertical mixing and possibly leading to weaker exchange between the surface and deep ocean. Warming will directly reduce CO<sub>2</sub> solubility of the surface waters, with important feedbacks for atmospheric *p*CO<sub>2</sub> (Archer et al. 2004; Goodwin and Lenton 2009). A combination of rising greenhouse gas concentrations and ozone depletion has changed atmospheric temperature and pressure patterns, strengthening midlatitude westerly winds belts and shifting them poleward (Thompson and Solomon 2002; Strong and Davis 2007). The stronger winds at high latitudes provide more energy for the mechanical mixing of the water column and can alter buoyancy budgets in the formation regions of various mode, intermediate, and deep-water masses. The effect of a stronger mechanical mixing on carbon storage is the subject of great debate, especially in the Southern Ocean where the observed intensification of the westerlies over the past 50 years has possibly weakened the net ocean CO<sub>2</sub> sink already (Le Quéré et al. 2007; Law et al. 2008; Zickfeld et al. 2008). The wind-driven upwelling of carbon-rich Circumpolar Deep Waters (CDW) plays an important role in determining the

strength of the total CO<sub>2</sub> sink (Lenton and Matear 2007; Lovenduski et al. 2008; Le Quéré et al. 2010). The gradual ozone recovery over the twenty-first century is poised to counteract the increasing greenhouse gas concentrations, with uncertain results for the westerly winds in the Southern Hemisphere (Son et al. 2009; Karpechko et al. 2010; Arblaster et al. 2011; Polvani et al. 2011; Simpkins and Karpechko 2012).

The goal of this paper is to separately assess the impacts of changes in wind stress, buoyancy fluxes, and CO<sub>2</sub> solubility on the oceanic distribution and storage of natural carbon in an ensemble of twentieth- to twenty-first-century simulations using a coupled atmosphere–ocean climate model. One of our hypotheses at the outset of this work was that changes in wind stress over this period would oppose changes in buoyancy fluxes, counterbalancing to some degree the impact on total carbon uptake (e.g., Matear and Lenton 2008). However, any change in ocean circulation influences a tangled web of processes in the ocean’s carbon system, with some processes favoring increased ocean carbon storage and others leading to decline. For instance, a projected reduction in deep-water mass formation rates would slow the transport of cold carbon-rich water to depth, reducing the ocean’s capacity to store carbon. On the other hand, a lengthening of residence time of water at depth allows an increase in the accumulation of carbon coming from the remineralization of sinking organic particles (Marinov et al. 2008b; Kwon et al. 2011). The net effect on ocean carbon storage is far from obvious.

We propose that a thorough accounting of each process is the only path toward a mechanistic understanding of these controls. To this end, we use a coupled atmosphere–ocean model with a newly developed ocean biogeochemical model that includes a prognostic partitioning of biogeochemical tracers. This allows the explicit separation of a tracer into its preformed concentration (the concentration a parcel of water had the last time it was at the ocean surface) and its regenerated concentration (the concentration resulting from biological remineralization in the interior of the ocean). The prognostic partitioning framework adopted for this study allows us to track explicitly the source of dissolved inorganic carbon (DIC) and avoid including errors from assumptions made when using back-calculation techniques (e.g., Brewer 1978; Chen and Millero 1979; Gruber et al. 1996; Follows and Williams 2004; Duteil et al. 2012; Lauderdale et al. 2013). For example, the use of apparent oxygen utilization (AOU) to estimate the contribution of organic matter remineralization to total DIC relies on the assumption that surface O<sub>2</sub> is everywhere at equilibrium with the atmosphere. Ito et al. (2004a) showed that this assumption can lead to significant errors,

especially in high vertical mixing regions. Furthermore, the use of a prognostic preformed alkalinity tracer, in our study, eliminates the necessity to estimate such quantity through empirical relationships with other tracers as was done in the past (Gruber et al. 1996; Lauderdale et al. 2013).

Previous studies with coupled models compared simulations performed with either a fully biotic or with an abiotic ocean (Sarmiento and Le Quéré 1996; Sarmiento et al. 1998). Other studies separated the effects on the carbon cycle forced by different physical drivers using archived fields from a coupled model to force a carbon model (Matear and Hirst 1999) or ocean-only models forced with reanalysis atmospheric products (Lovenduski et al. 2008; Matear and Lenton 2008; Le Quéré et al. 2010). Our study expands the ideas behind these previous approaches by using detailed, explicit diagnostics of the mechanisms controlling ocean natural carbon storage and by using a coupled model that allows for realistic two-way physical feedbacks between the ocean and atmosphere systems. Our goal is to perturb only one aspect of climate at the time while leaving everything else untouched and free to evolve according to its full coupled response. Such an approach would not be possible with an ocean-only model where the rigidity of the atmospheric forcing imposed would result in a different outcome for sensitive processes such as, for example, open sea convection in the Southern Ocean (Hirabara et al. 2012).

In the next section, we give a theoretical explanation of the prognostic tracers that are used to disentangle the mechanisms governing ocean carbon storage. In section 3, we introduce the simulation design. Section 4 summarizes the changes to the global meridional overturning cells, which prove to be critical to the ocean carbon cycle. We then dissect the response of the components of DIC to physical perturbations, separating them into buoyancy-driven changes (section 5a), wind-driven changes (5b), solubility-driven changes (5c), and the total impact of climate change (5d). Here, we also explore the nonlinearities that prevent the sum of the perturbation simulations from being precisely equal to the total from the climate change simulation (5e) and discuss the differences between our method and back-calculation techniques (5f). Finally, we conclude in section 6 by contextualizing our results among earlier modeling studies and recent observational syntheses, exploring caveats on our results, and presenting our outlook for the future.

## 2. Theoretical background on oceanic carbon storage

The total DIC concentration of a parcel in the deep ocean results from the mixing of water masses formed at the surface ocean and the production and remineralization of organic matter along the water mass transport

pathways (Iudicone et al. 2011). The biological pump transports carbon from the surface to the deep ocean via two mechanisms. The most important of these, called the soft-tissue pump, is driven by the planktonic production of organic matter at the ocean surface and its subsequent sinking and remineralization at depth. Quantitatively less important, the carbonate pump is maintained instead by the formation of planktonic calcium carbonate shells at the ocean surface and their dissolution at depth. Meanwhile, the solubility of CO<sub>2</sub> at the surface has an inverse relationship with both sea surface temperature (SST) and salinity (SSS). As a result, cold high-latitude surface waters hold more CO<sub>2</sub> than their warm, low-latitude counterparts. Thus, the sinking and/or subduction of water masses enriched in CO<sub>2</sub> beneath overlying warm waters help to maintain a vertical DIC gradient.

To better understand the processes at work, we apply a carbon partitioning framework based on the ideas of previous studies (Brewer 1978; Gruber et al. 1996; Ito and Follows 2005; Williams and Follows 2011; Lauderdale et al. 2013). The novelty of our approach is in the use of a set of prognostic tracers that allows an improved separation of carbon reservoirs by avoiding the errors implied in the offline calculations. We start by defining the preformed fraction of a tracer as the portion of the total that is transported into the ocean interior from the euphotic layer without having fueled primary productivity (Ito and Follows 2005; Marinov et al. 2006; Sarmiento and Gruber 2006; Goodwin et al. 2007; Marinov et al. 2008b; Kwon et al. 2011). Preformed tracers are set equal to the total tracer concentration at the ocean's surface and are conservative tracers in the ocean's interior. The remineralized fraction is given by the remineralization of the organic and mineral matter synthesized by primary productivity. Thus, the total dissolved inorganic carbon (DIC<sub>tot</sub>) is defined as

$$\text{DIC}_{\text{tot}} = \text{DIC}_{\text{pre}} + \text{DIC}_{\text{rem}}, \quad (1)$$

where DIC<sub>rem</sub> is formed by both the remineralization of carbon in the organic soft tissue synthesized by all phytoplankton types (DIC<sub>soft</sub>) and the dissolution of the calcium carbonate shells of calcifying phytoplankton (DIC<sub>carb</sub>):

$$\text{DIC}_{\text{rem}} = \text{DIC}_{\text{soft}} + \text{DIC}_{\text{carb}}. \quad (2)$$

The soft tissue contribution is related to remineralized phosphate (PO<sub>4</sub><sup>rem</sup>) via a carbon:phosphorous stoichiometric ratio  $r_{\text{C:P}} = 106$  (Anderson and Sarmiento 1994) according to

$$\text{DIC}_{\text{soft}} = r_{\text{C:P}} \text{PO}_4^{\text{rem}} = r_{\text{C:P}} (\text{PO}_4^{\text{tot}} - \text{PO}_4^{\text{pre}}). \quad (3)$$

The carbonate contribution is obtained from alkalinity (Alk) and  $\text{PO}_4$  following

$$\begin{aligned} \text{DIC}_{\text{carb}} &= 0.5(\text{Alk}_{\text{rem}} + r_{\text{N:P}}\text{PO}_4^{\text{rem}}) \\ &= 0.5[\text{Alk}_{\text{tot}} - \text{Alk}_{\text{pre}} + r_{\text{N:P}}(\text{PO}_4^{\text{tot}} - \text{PO}_4^{\text{pre}})], \end{aligned} \quad (4)$$

where the term  $r_{\text{N:P}}(\text{PO}_4^{\text{tot}} - \text{PO}_4^{\text{pre}})$  accounts for the impact of the soft-tissue pump on alkalinity and  $r_{\text{N:P}}$  is the nitrogen:phosphorous stoichiometric ratio (here assumed as  $r_{\text{N:P}} = 16$ ). Since the remineralization of organic matter (production of  $\text{NO}_3^-$ ) decreases Alk, the sign of this correction is positive (Sarmiento and Gruber 2006). This conceptual framework includes one additional source of complexity: the residence time of a water parcel at the sea surface is of the same order as the time scale for the equilibration of  $\text{CO}_2$  between atmosphere and the sea surface (Ito et al. 2004b). The similarity of these time scales can create a negative or positive DIC disequilibrium ( $\text{DIC}_{\text{dis}}$ ; i.e., undersaturation or supersaturation), depending on the Lagrangian history of a water parcel. Undersaturation occurs when a water parcel moving toward high latitudes at the surface cools and sinks or subducts into the ocean interior before equilibrating with the atmosphere (Follows and Williams 2004). This negative disequilibrium is reinforced by biological consumption of  $\text{CO}_2$ , which is transferred via sinking particles to water masses in the subsurface and converted to  $\text{DIC}_{\text{rem}}$ . On the other hand, supersaturation occurs when deep waters upwelled or mixed vertically to the surface arrive with high concentrations of  $\text{DIC}_{\text{rem}}$ , thereby creating a positive  $\text{DIC}_{\text{dis}}$  relative to the saturation state of the surface waters ( $\text{DIC}_{\text{sat}}$ ). If the equilibration time scale of the air–sea gas exchange is longer than the residence time of the water masses at the ocean’s surface, then the water masses reenter the ocean interior supersaturated in DIC. Hence, a positive disequilibrium in DIC is built up by biologically stored carbon even if, after touching surface, it has been relabeled as  $\text{DIC}_{\text{pre}}$ . We define  $\text{DIC}_{\text{dis}}$  as

$$\text{DIC}_{\text{dis}} = \text{DIC}_{\text{pre}} - \text{DIC}_{\text{sat}}, \quad (5)$$

where  $\text{DIC}_{\text{sat}}$  is the concentration of DIC at the surface of the ocean when equilibrium with the  $p\text{CO}_2$  of the overlying atmosphere is assumed. Note that  $\text{DIC}_{\text{sat}}$  is also solved prognostically in our model to avoid including nonlinearities arising from mixing processes.

### 3. Model and experimental design

#### a. Coupled climate model

All simulations were carried out using CM2Mc (Galbraith et al. 2011) a nominal three-degree coupled

atmosphere–ocean–carbon cycle model. CM2Mc is the Geophysical Fluid Dynamics Laboratory Climate Model version 2 (GFDL CM2) with Modular Ocean Model version 4p1 (MOM4p1) at coarse resolution. CM2Mc uses the same physical oceanic and atmospheric code as the Earth System Model with the Modular Ocean Model (ESM2M; Dunne et al. 2012), with minor alterations as required to adjust to the coarser discretization. The CM2Mc ocean uses a tripolar grid with enhanced latitudinal resolution near the equator and at midlatitudes, benefiting the resolution of equatorial currents. There are 28 vertical levels unevenly distributed with a finer resolution toward the surface. Eddy mixing is represented using the parameterization of Gent and McWilliams (1990) with a spatially varying diffusion coefficient (Griffies et al. 2005). The atmosphere employs the M30 grid, with a latitudinal (longitudinal) resolution of 3 (3.75) degrees and 24 vertical levels. The CM2Mc land component is the Land Dynamics model of Milly and Shmakin (2002), which includes a river routing scheme but no terrestrial ecosystem. Ocean biogeochemistry is solved by the Biogeochemistry with Light, Iron, Nutrients and Gases (BLING) model (Galbraith et al. 2010), an intermediate complexity biogeochemistry model constructed around a core of only four prognostic tracers and including macronutrient limitation, iron limitation, light limitation, and photoadaptation. BLING is fully prognostic and can be used with an additional module to simulate carbon chemistry and preformed tracers for  $\text{PO}_4$ , DIC, and Alk, which allows the separation of the contribution of the individual carbon pumps to the total DIC, as shown in section 2. Details on the formulation of the carbon cycle are given in appendix A.

#### b. Experimental design

We seek a mechanistic understanding of the relative roles of surface warming, wind, and buoyancy forcing, through their effect on global circulation and  $\text{CO}_2$  solubility at the ocean surface, in the functioning of the natural carbon pumps over the twenty-first century. To this end, we run the following simulations for the period 1860–2100:

- (A) *Control* A full control simulation in which all forcing variables are kept constant at preindustrial (1860) levels.
- (B) *Climate* A climate change simulation using the forcing data recommended by phase 5 of the Coupled Model Intercomparison Project (CMIP5). We used atmospheric prescribed concentrations for greenhouse gases following the Historical forcing (1860–2005) plus the representative concentration pathway 8.5 (RCP8.5, 2006–2100) which is based on the A2R emission scenario detailed in Riahi et al. (2007). Ozone was also prescribed from historical data and

future projections, including contemporary depletion and future gradual recovery (Cionni et al. 2011).

- (C) *Wind* A positive wind perturbation experiment in which forcing is prescribed as in Control, but a time-varying wind stress perturbation is applied to the ocean.
- (D) *Buoyancy* Forcing is prescribed as in Climate, but the wind change is suppressed. This suppression is achieved by adding a time-varying wind stress perturbation to the ocean, of the same magnitude but opposite sign as the anomaly in Wind. Thus, the forcing for this simulation can be thought of as Climate – Wind.
- (E) *Gas\_exchange* A solubility perturbation experiment where forcing variables are prescribed as in Control, but a time-varying sea surface temperature and salinity perturbation is imposed to the CO<sub>2</sub> gas exchange calculations.

Growing greenhouse gases over the twenty-first-century impact the physical climate in simulations B, C, and D, and CO<sub>2</sub> solubility in simulation E. However, in all simulations the model's air–sea gas exchange formulation uses preindustrial CO<sub>2</sub> concentrations of 286 ppmv (i.e., the simulations explicitly exclude the penetration of anthropogenic carbon in the ocean). Thus, the present paper deals exclusively with the response of natural carbon storage to climate change; the anthropogenic carbon penetration in the ocean and its response to future climate will be discussed separately in a follow-up paper.

We run three ensemble members for each experiment. Each member is started from initial conditions taken at different points of a 1000-yr preindustrial simulation segment performed after the end of the spinup period (3500 yr). To compute the time-varying perturbations used in experiments C through E we start by running the Control and Climate simulations. We next compute the anomalies in vector wind stress, SST, and SSS as the differences in monthly means between the Climate and Control simulations. To remove the interannual signal caused by the main climate modes of variability, we smooth these anomalies by calculating 20-yr running means, for each month, over the period 1860–2100 (Fig. 1). The smoothed anomalies are then applied at each ocean time step after time interpolation. The wind stress anomalies are applied when the momentum fluxes are passed from the atmosphere to the ocean and do not directly interfere with any other oceanic process. The atmosphere does not feel the wind perturbation except for any feedback resulting from changes in ocean circulation, as in Delworth and Zeng (2008). The SST and SSS perturbations are applied to the respective prognostic fields computed by the ocean model when these are passed to the CO<sub>2</sub> gas exchange routine in the Gas\_exchange experiment.

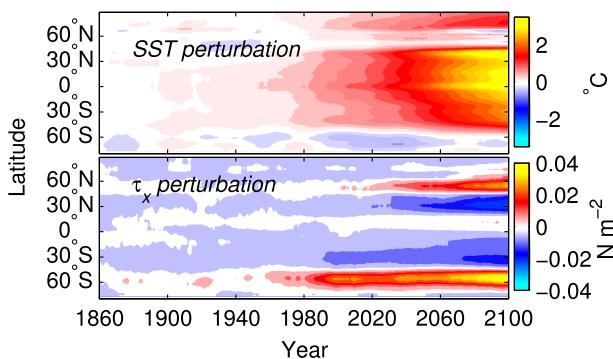


FIG. 1. Time evolution of the perturbations used in the (top) Gas\_exchange and (bottom) Wind simulations. Here, only SST and the zonal component of the wind stress,  $\tau_x$ , are shown.

As described in the introduction, prescribed historical ozone depletion in the troposphere drives the poleward shift and strengthening of the Southern Hemisphere Westerlies at the end of the twentieth century (Thompson and Solomon 2002). During the twenty-first century, ozone concentrations are prescribed to gradually recover while greenhouse gas concentrations are still increasing (Cionni et al. 2011). This results in competing effects on the Southern Hemisphere atmospheric circulation, with uncertain trends (Son et al. 2009; Karpechko et al. 2010; Arblaster et al. 2011; Polvani et al. 2011). In our model, the end of the twenty-first century sees stronger and poleward westerlies in both hemispheres (Fig. 1). The SST perturbation shows the largest warming over the tropics and subtropics. Weaker surface warming south of 50°S and north of 50°N can be partially explained by the enhanced mixing of deeper cold water caused by the poleward shift and increase of the westerlies and partially by the decrease in North Atlantic Deep Water (NADW) formation, which slows the poleward transport of warm waters (Palter et al. 2014).

Two of the perturbation experiments affect the ocean circulation by altering the wind stress over the ocean. In one case the perturbation is applied with a positive sign on a preindustrial circulation (Wind) while in the other case the same perturbation is applied with a negative sign on a climate change circulation (Buoyancy). The goal of the simulations is to separate the effects on circulation caused by changes in wind patterns from those caused by changes in heat and freshwater fluxes. In the Buoyancy experiment we choose not to perturb directly heat and freshwater fluxes but rather to let these evolve coherently with the changing climate of the coupled model. Instead, we perturb the wind stress applied to the ocean in such a way as to keep it at preindustrial level. We note that both the wind and buoyancy effects could have been estimated by altering heat and freshwater fluxes instead of the wind stress. However, the ocean–atmosphere coupling is tighter for heat fluxes than for

## Zonal wind at 10m

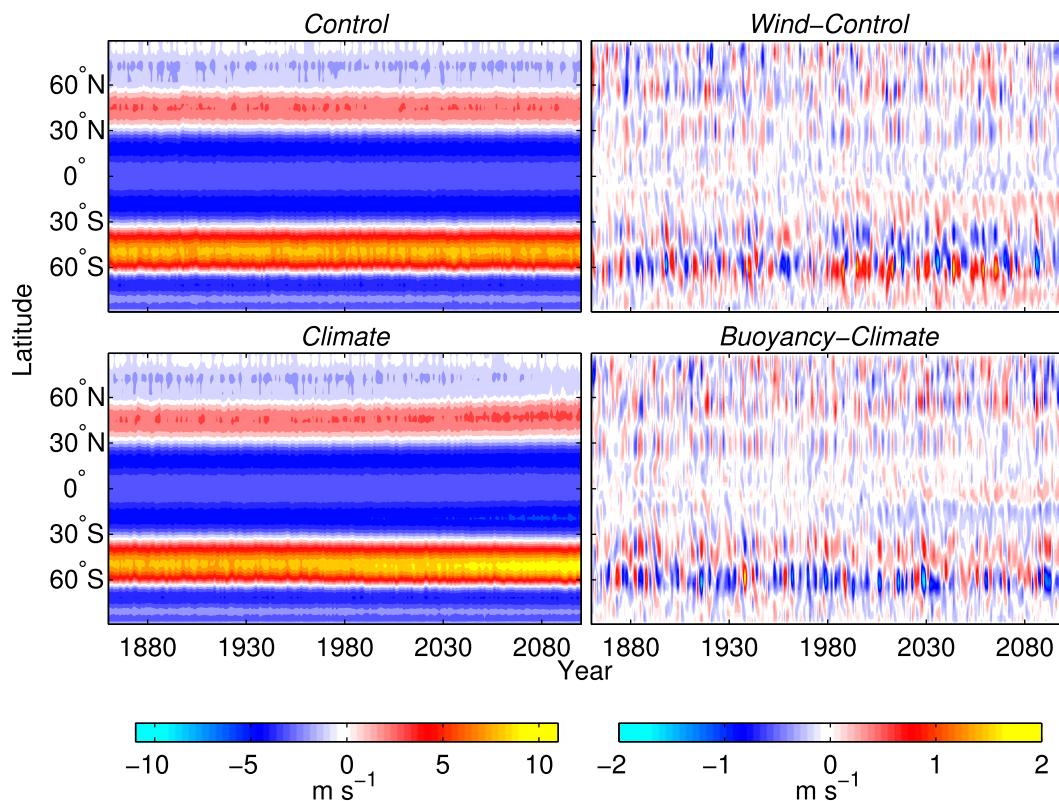


FIG. 2. Wind feedback from the ocean to the atmosphere for a positive wind stress perturbation (Wind) and for a negative wind stress perturbation (Buoyancy). The zonal wind predicted by the atmospheric model is not affected by the wind stress perturbation imposed except for any feedback resulting by the changes in ocean circulation. This feedback is quantified here by comparing the 10-m zonal wind speeds in the (upper right) Wind simulation to the Control simulation and (lower right) Buoyancy simulation to the Climate simulation. These differences are generally less than 10% of the wind speed in either run and show no trend.

momentum fluxes. If we performed a perturbation of the heat flux, the feedback from the ocean to the atmosphere would affect the heat flux itself, leading to a difficult interpretation of the oceanic response. On the other hand, the feedback from the perturbation of the momentum flux arises only through its influence on ocean mixing and circulation, which results in a change in SST and SSS. This, in turn, affects the heat and freshwater fluxes, which modifies the winds and, eventually, feedback onto the ocean surface. We quantify this feedback by comparing the zonal wind (rather than the perturbed wind stress) predicted by the atmospheric model between (a) the Wind and Control simulations in the case of the positive wind perturbation and (b) the Buoyancy and Climate simulations in the case of the negative wind perturbation (Fig. 2). There is no clear trend in time in the zonal wind difference in either case (a) or (b), despite the time-varying perturbations applied to the wind stress in both cases. Therefore, the feedback from the ocean to the

atmosphere, caused by the wind stress perturbations applied to the ocean, has a small effect not discernible from the internal variability of the model.

These considerations also strengthen our motivation for performing these simulations with a fully coupled atmosphere–ocean model instead of using a less computationally expensive ocean-only configuration forced with atmospheric fields obtained from the coupled model. In fact, some of the major climate responses may not evolve in the latter configuration as they do in the former. An important example in our study is represented by the convective cycles of the Southern Ocean, which exert a strong control on Southern Ocean carbon. Convection is an inherently coupled process, whereby the atmosphere warms due to extraction of heat from the ocean and this warming can ultimately slow convection, processes difficult to properly simulate in an ocean-only model (Hirabara et al. 2012). The warm surface air temperature resulting from convection in the coupled model

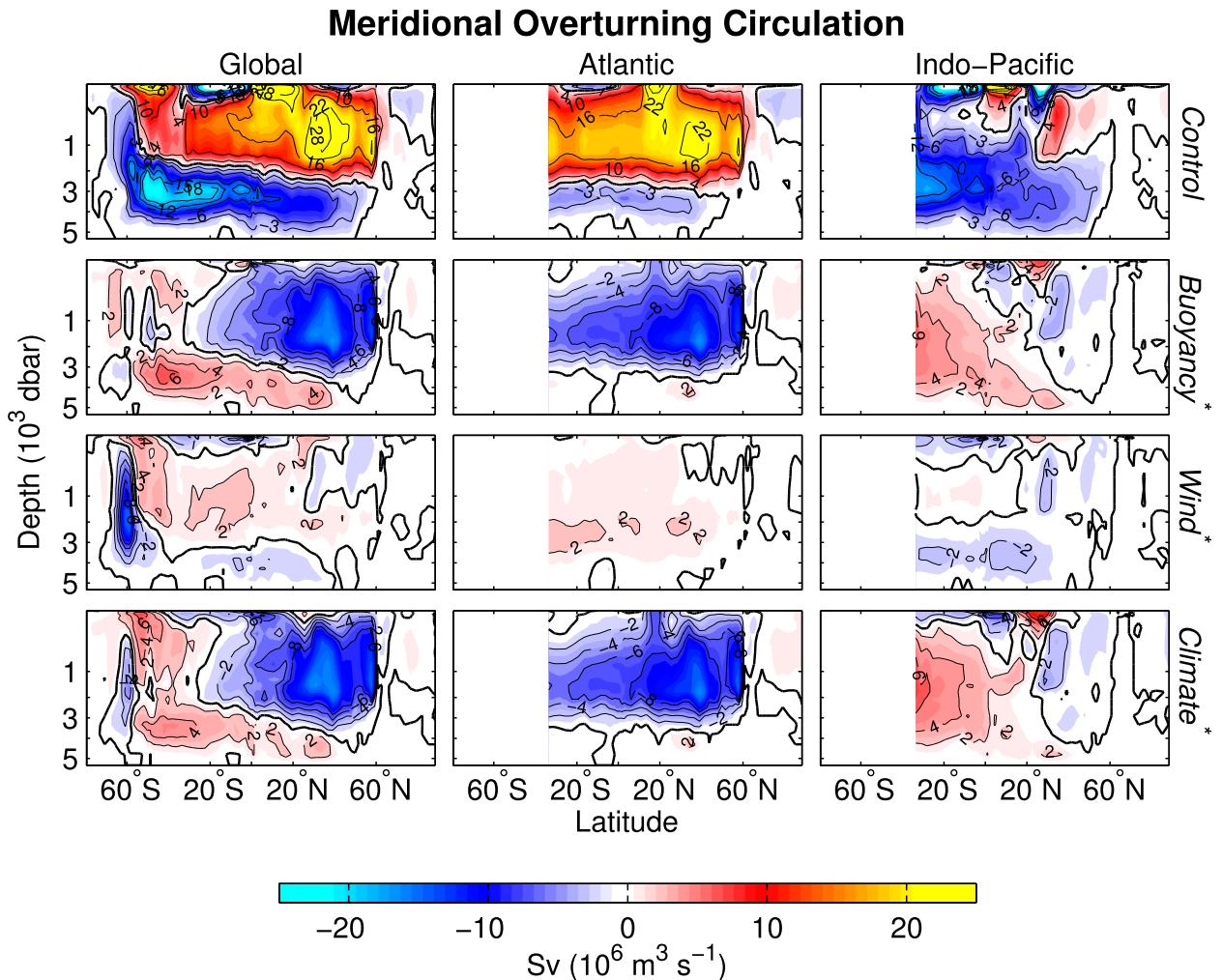


FIG. 3. Meridional overturning circulation for the (left) global ocean, (middle) Atlantic Ocean, and (right) Indo-Pacific Oceans, for the (top) preindustrial state, and for anomalies with respect to the preindustrial state for the (second row) Buoyancy, (third row) Wind, and (bottom) Climate experiments. For each panel we show the ensemble mean averaged over the period 2081–2100. Note that the upper 1000 dbar are amplified on the vertical axis.

would suppress convection (via reduced air–sea temperature gradient) if that temperature field were applied as a forcing field in an ocean-only model.

Finally, in the Gas\_exchange experiment we deliberately choose not to perturb alkalinity, which might have a significant impact on  $\text{CO}_2$  solubility, because we want each experiment to represent a purely physical perturbation of the climate to the ocean carbon cycle. Alkalinity is affected also by biogeochemical processes so, strictly speaking, a change in alkalinity is not a purely physical perturbation on the gas exchange but rather an indirect effect comparable to a feedback.

Climate-driven changes in the natural DIC storage can be attributed to different processes by combining results from the five experiments. From here on, when the experiment name appears with an asterisk (e.g.,

Climate\*) it indicates that the result from the Control experiment was subtracted and the quantity shown is an anomaly relative to the unforced experiment. One exception is that Buoyancy\* refers to the difference:  $\text{Buoyancy}^* = \text{Buoyancy} - \text{Gas\_exchange}$ . This definition allows the isolation of changes in the various DIC reservoirs caused only by buoyancy-driven changes in circulation because the effects of changes in  $\text{CO}_2$  solubility are taken into account in Gas\_exchange.

#### 4. Response of the global meridional overturning circulation

The response of the global meridional overturning circulation (MOC) to wind, buoyancy, and total climate change over the twenty-first century is shown in Fig. 3.

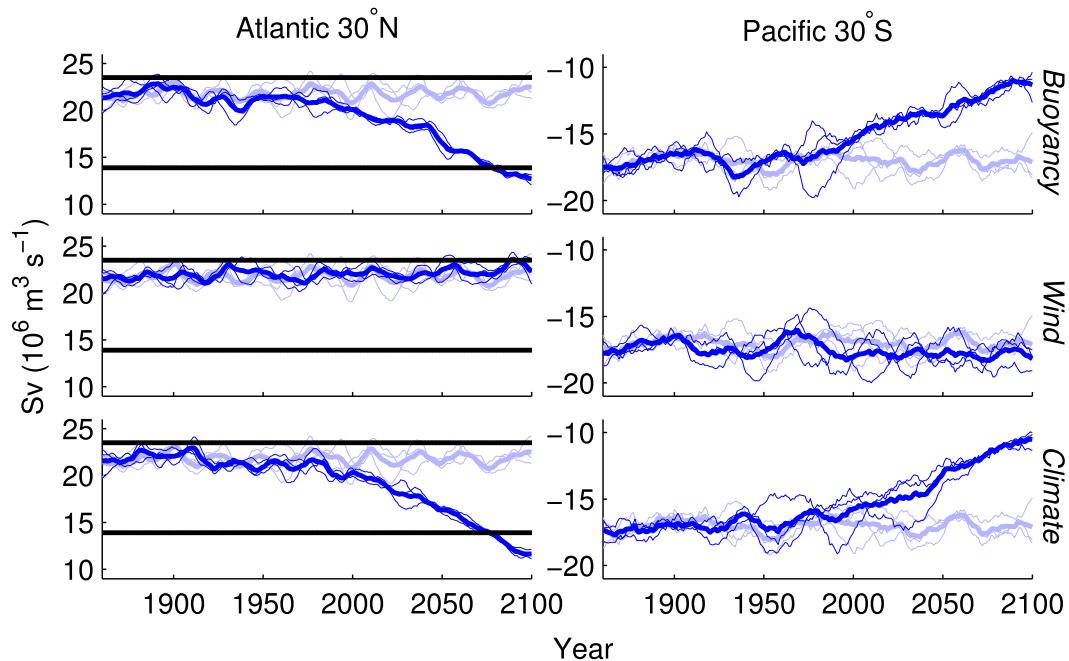


FIG. 4. Meridional overturning circulation index as the maximum annual mean taken at 30°N in the Atlantic Ocean for the upper cell and at 30°S in the Pacific Ocean for the lower cell. Dark blue refers to the Buoyancy, Wind, and Climate simulations and light blue to the Control simulation. Thick lines refer to the ensemble mean while thin lines refer to single ensemble members. Each time series is filtered by an 11-yr running mean. Horizontal black lines in the left panel refer to the temporal variability range for the RAPID data at 26.5°N for the period 2004–08 ( $18.7 \pm 4.8$  Sv; Rayner et al. 2011).

The buoyancy-driven changes dominate the overall effect of twenty-first century climate change on circulation (cf. Buoyancy\* and Climate\* in Fig. 3), with a localized, yet discernible, wind-driven acceleration of the shallow circulation of the Southern Ocean (Wind\*). Changes in circulation driven by heat and freshwater fluxes (Buoyancy\*) cause a slowing of the upper and lower overturning cells, with most of the signal in the upper cell associated with a marked decrease in the NADW formation rate, and changes in the lower cell primarily driven by the Indo-Pacific sector. By 2100 the Atlantic MOC strength (defined as the annual mean maximum volume transport streamfunction at 30°N) weakens by  $\sim 40\%$  in Climate compared to the mean of the historical period (1860–2005), due almost entirely to changes in buoyancy forcing (Fig. 4). An observational estimate of the variability range of the MOC strength derived from the Rapid Climate Change Programme (RAPID) array data (Rayner et al. 2011) is also shown in Fig. 4 for comparison. Under the same scenario (RCP8.5), CMIP5 models show a range of relative weakening of the Atlantic MOC from 15% to 60% (Cheng et al. 2013). The corresponding weakening of the lower cell of the MOC in our model (defined here as the annual mean northward maximum volume transport streamfunction at 30°S in the Pacific Ocean) is  $\sim 36\%$  (Fig. 4).

Fighting the buoyancy-forced slowdown in meridional overturning, an increase and poleward shift in the Southern Hemisphere westerlies (Wind\*) causes an acceleration of both the upper and the lower cells of the MOC through changes in Ekman transport, particularly north of the Polar Front (PF). In isolation, this wind-driven acceleration enhances the upwelling of CDW as well as the downward transport along the Antarctic Bottom Water (AABW) formation pathway, in qualitative agreement with previous results from similar experiments (Delworth and Zeng 2008; Farneti et al. 2010). However, these wind-driven changes are generally much smaller than the buoyancy-driven changes of the opposite sign (Figs. 3 and 4).

The transport associated with the Antarctic Circumpolar Current (ACC), calculated as the zonal transport across the entire Drake passage integrated at all depths, is  $160 \pm 6$  (Sv;  $1 \text{ Sv} \equiv 10^6 \text{ m}^3 \text{ s}^{-1}$ ) for the Control simulations. In the case of the Wind simulations this goes to  $178 \pm 7$  (Sv), increasing by about 11%. For the Buoyancy simulations the ACC transport it is  $142 \pm 1$  (Sv), representing a decrease of about 11%. For the Climate simulations it is  $160 \pm 3$  (Sv), which does not differ significantly from the preindustrial ACC transport. This suggests that in our simulations the wind-driven ACC acceleration is counterbalanced by a buoyancy-driven slowdown. In an extensive analysis of CMIP5 models,

TABLE 1. Global ocean content of each DIC component in the Control simulation (first line) and absolute changes for each simulation. Columns 4 and 5 show the corresponding estimates made using back-calculation techniques. Units are Pg C and  $\pm$  one standard deviation relative to the three ensemble members is reported.

DIC <sub>tot</sub>	DIC <sub>pre</sub>	DIC <sub>rem</sub>	DIC <sub>rem</sub> <sup>back</sup>	DIC <sub>pre</sub> <sup>back</sup>	
36 859 $\pm$ 4	35 134 $\pm$ 5	1734 $\pm$ 9	2177 $\pm$ 7	34 882 $\pm$ 4	Control
+20 $\pm$ 3	-80 $\pm$ 3	+100 $\pm$ 1	+76 $\pm$ 3	-56 $\pm$ 5	Buoyancy*
-3 $\pm$ 3	-3 $\pm$ 6	-0 $\pm$ 8	+1 $\pm$ 5	-4 $\pm$ 2	Wind*
-35 $\pm$ 2	-35 $\pm$ 2	+0 $\pm$ 0	+0 $\pm$ 0	-36 $\pm$ 2	Gas_exchange*
-20 $\pm$ 3	-116 $\pm$ 3	+96 $\pm$ 6	+73 $\pm$ 6	-93 $\pm$ 3	Climate*

Downes and Hogg (2013) showed that a weakened ACC is a common response to increasing freshwater and heat fluxes in many CMIP5 models, while the ACC response to winds is less certain. Their analysis separated these effects statistically (i.e., via linear regression) rather than via manipulation of the model forcing, as was done here.

## 5. Response of the natural carbon storage

In this section we describe and discuss changes in the distribution and total ocean content of DIC components in response to simulated climate-driven perturbations. We point out once more that, in order to isolate the response of the ocean natural carbon cycle, we have deliberately ignored the uptake of anthropogenic CO<sub>2</sub>. When such uptake is computed in a parallel set of simulations (not analyzed here), the Climate experiment shows an accumulated total ocean carbon uptake of 516 Pg C over the period 1860–2100 while the Control experiment gives 582 Pg C over the same period. The difference (66 Pg C) between these two estimates is partly (20 Pg C) due to climate-driven changes in the ocean natural carbon cycle and is the focus of this study. Table 1 shows a summary of results.

### a. Response to buoyancy-driven changes

The redistribution of DIC components in the Climate simulations is caused primarily by the buoyancy-driven changes in circulation while wind-driven changes have an opposite but small effect. The overall response to the change in buoyancy forcing (Figs. 5 and 6, first row, Buoyancy\*) is an accumulation of DIC<sub>rem</sub> (+100 Pg C), partially compensated by a decrease of DIC<sub>pre</sub> (-80 Pg C). An important consequence of altered buoyancy forcing is the reduction of convective mixing at high latitudes in the Southern Ocean, North Atlantic, and North Pacific. Here, under preindustrial conditions, winter convective mixing allows for DIC<sub>rem</sub> accumulated at depth to outgas to the atmosphere while also bringing nutrients to the euphotic zone and increasing biological productivity and DIC remineralization. Therefore, convective mixing is linked to both a sink and a source for the oceanic

reservoir of DIC<sub>rem</sub>. A reduction in high-latitude convective mixing causes a slowdown in the rate of production of DIC<sub>rem</sub>, due to a decrease in nutrients and associated export production. But such a slowdown also decreases the amount of DIC<sub>rem</sub> that is brought to the surface and partially lost to the atmosphere through gas exchange after being converted into DIC<sub>pre</sub>.

We quantify these two effects at the end of the twenty-first century to understand the increase in DIC<sub>rem</sub> due to buoyancy-driven changes in circulation (Buoyancy\*), for different latitudinal bands (Fig. 7). The red lines represent the time integrated decrease in export production, that is, the total decrease in the biological source of DIC<sub>rem</sub> throughout the period simulated. Blue lines represent the change in the distribution of DIC<sub>rem</sub> at the end of the twenty-first century. The changes are the result of the decrease in export production (DIC<sub>rem</sub> source) and the decrease in DIC<sub>rem</sub> outgassing (sink). As can be deduced by subtracting the red line from the blue line, the decrease in the sink of DIC<sub>rem</sub> has a dominant effect over the decrease in the source of DIC<sub>rem</sub>, in agreement with the coupled model study of Matear and Hirst (1999). Only in the upper 100 m (not shown in Fig. 7) everywhere and in the upper 900 m at low latitudes (40°S–40°N) is the net result a decrease in DIC<sub>rem</sub>, which, summed with the decrease in DIC<sub>pre</sub>, results in a decrease of DIC<sub>tot</sub>. The buoyancy-driven decrease in DIC<sub>pre</sub> is associated with both reduced vertical mixing and lower rates of deep and intermediate water formation, resulting in a general decrease of the transport of DIC<sub>pre</sub> from the surface to the interior of the ocean. As already stressed by Marinov et al. (2006, 2008a) and confirmed here, export production is not a good indicator of the ability of the biological pump to store carbon in the ocean: in these simulations the storage of remineralized DIC increases while export production declines under future climate change.

### b. Response to wind-driven changes

One of the most striking changes triggered by the wind perturbation alone is a shift in the region of deep convection in the Southern Ocean from the Weddell Sea

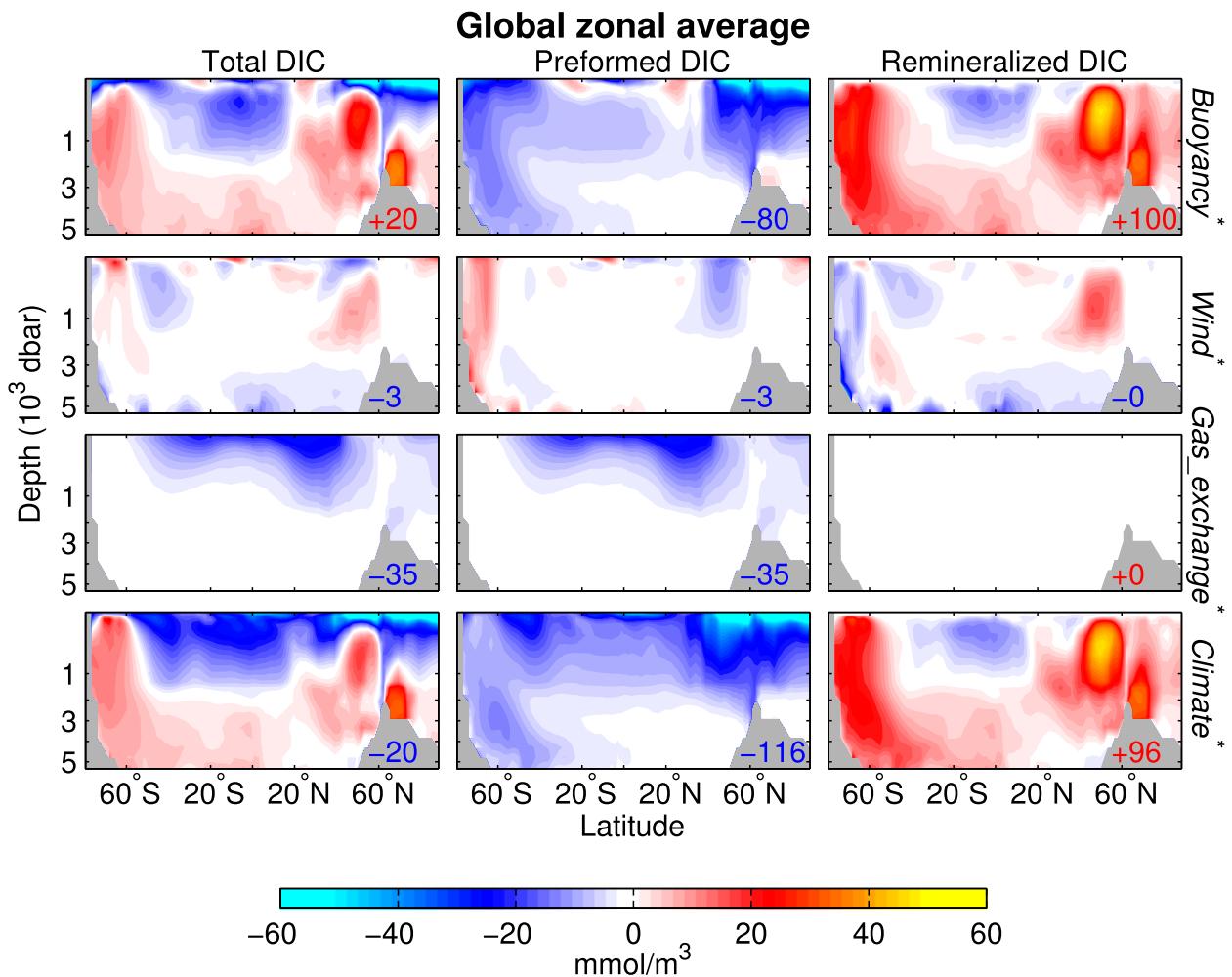


FIG. 5. Zonal average of DIC components anomalies with respect to the preindustrial state. Numbers refer to total DIC gained or lost (Pg C). The figure shows the ensemble mean averaged over the period 2081–2100.

(Atlantic sector) to the Ross Sea (Indo-Pacific sector). In contrast, in the Buoyancy simulation, open ocean convection in the Southern Ocean stops entirely, a common trend in CMIP5 models subjected to RCP8.5 forcing, as we have discussed separately (de Lavergne et al. 2014).

Because the wind perturbation enhances vertical exchange in the Indo-Pacific sector of the Southern Ocean the responses of the DIC pools are opposite in the Wind and Buoyancy simulations (Fig. 6). In the Southern Ocean, CDW that reaches the surface at the polar front has  $p\text{CO}_2$  higher than that of the atmosphere because it has accumulated  $\text{DIC}_{\text{rem}}$  along its interior circulation pathways for hundreds to thousands of years. However, the long equilibration time scale of air–sea  $\text{CO}_2$  gas exchange (between 6 months and 1 year) does not allow all of the excess DIC to escape before the water sinks again along the AABW formation pathway. Once at the surface, a portion of the CDW’s  $\text{DIC}_{\text{rem}}$  load is outgassed to

the atmosphere and the remainder is relabeled as  $\text{DIC}_{\text{pre}}$ . Separating  $\text{DIC}_{\text{pre}}$  into its  $\text{DIC}_{\text{sat}}$  and  $\text{DIC}_{\text{dis}}$  components [see Eq. (5)] reveals a positive disequilibrium that is injected again into the interior of the ocean along the AABW formation pathway. Strengthened Southern Hemisphere westerlies accelerate both the upwelling at the PF and deep convection in the Ross Sea, increasing the positive disequilibrium along the AABW formation and export pathway (Fig. 8, right column). Part of the positive anomaly in  $\text{DIC}_{\text{pre}}$  is also due to the increase in  $\text{DIC}_{\text{sat}}$  as a result of a decrease in temperature caused by the enhanced vertical mixing.

The evolution of an ideal age tracer supports this explanation (Fig. 9). The ideal age tracer is set to zero in the ocean model’s mixed layer and ages at a rate of one year per year in the ocean’s interior; otherwise, it is transported and mixed as any other passive tracer. In response to the wind perturbation (Wind\*), an

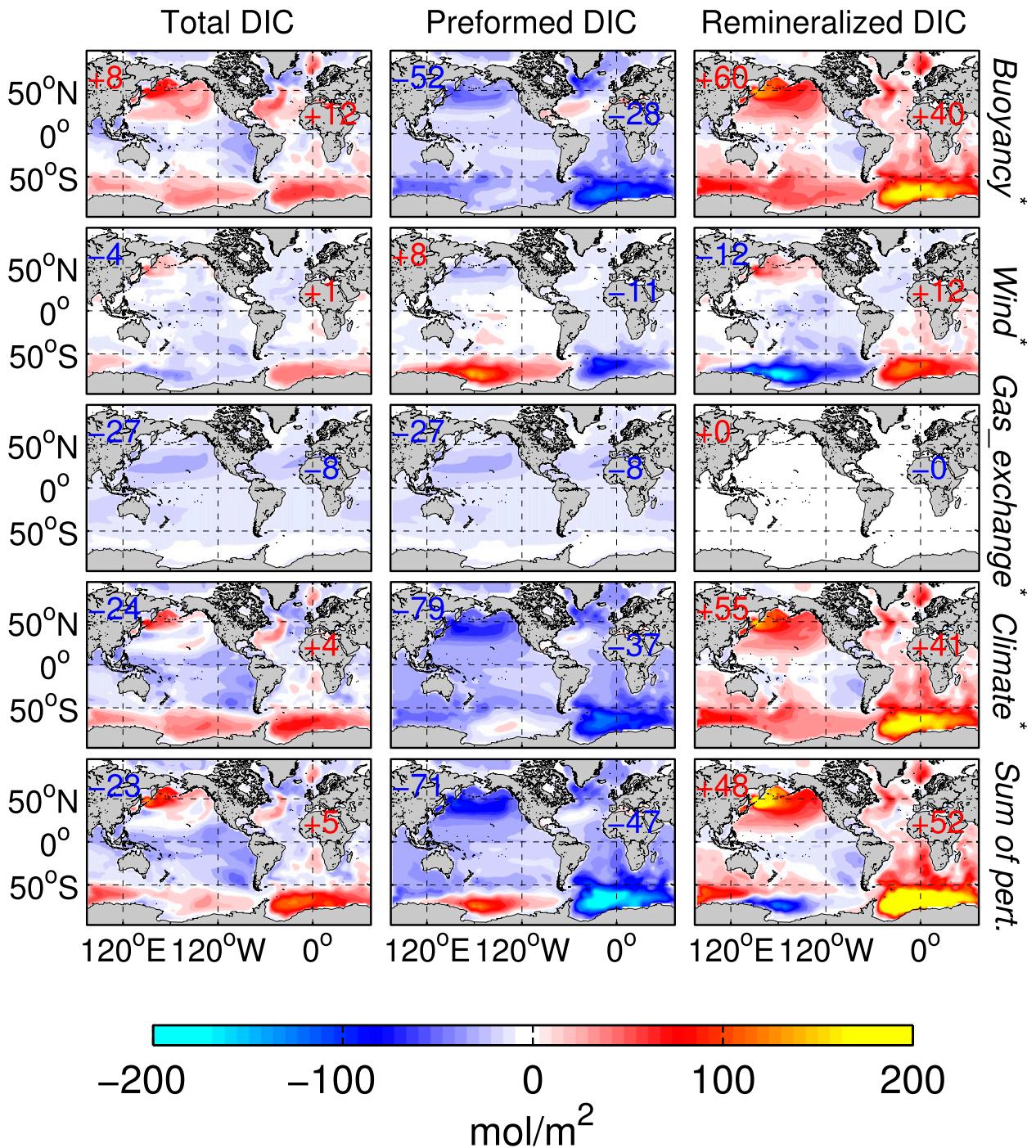


FIG. 6. Vertically integrated DIC components anomalies with respect to the preindustrial state for each experiment. The fifth row is the sum of all partial perturbations (the first three rows). Numbers refer to total DIC gained or lost (Pg C) for the Indo-Pacific (numbers over Asia) and the Atlantic (numbers over Africa). The figure shows the ensemble mean averaged over the period 2081–2100.

acceleration of the lower cell of the MOC allows for younger water to fill the Indo-Pacific sector of the Southern Ocean. These physical changes lead to an enhanced penetration of  $\text{DIC}_{\text{pre}}$  into the interior, and a decrease in the amount of  $\text{DIC}_{\text{rem}}$  that a water parcel can accumulate.

The Wind\* decrease in  $\text{DIC}_{\text{rem}}$  is stronger than the compensating increase in  $\text{DIC}_{\text{pre}}$  with a net decrease of  $\text{DIC}_{\text{tot}}$  as a result. This is in qualitative agreement with previous modeling studies that found a decrease in the Southern Ocean  $\text{CO}_2$  sink over the last two decades of the twentieth

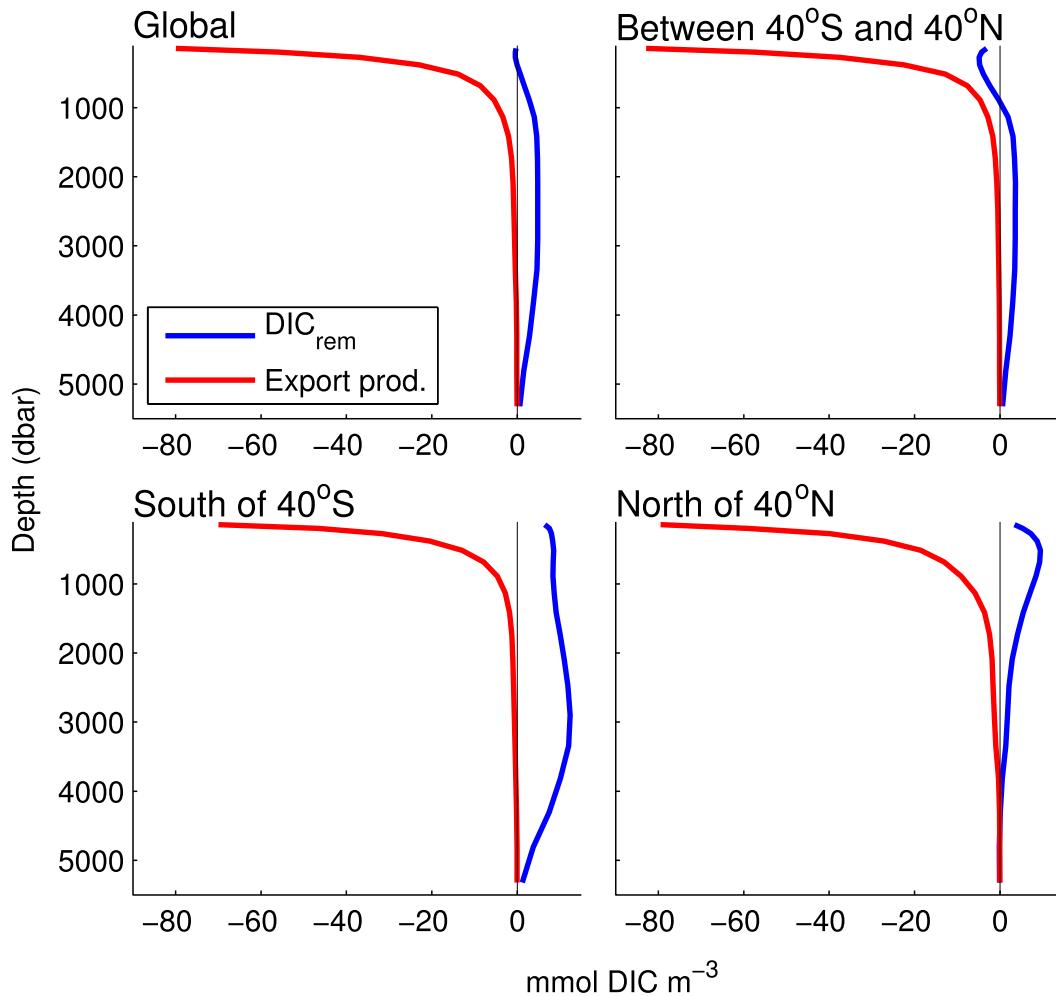


FIG. 7. Time integrated (1860–2100) decrease in export production (red lines) and change in the distribution of  $\text{DIC}_{\text{rem}}$  at the end of the twenty-first century (2081–2100 average; blue lines) for the Buoyancy simulations.

century, in response to the observed increase and shift poleward of the southern westerlies (Wetzel et al. 2005; Lovenduski et al. 2008; Le Quéré et al. 2010).

However, the overall impact of the twenty-first-century wind stress perturbation on global carbon storage is weak because of a competition between differing responses in the Atlantic sector of the Southern Ocean, where the wind perturbation suppresses convection, and Indo-Pacific sector, where the wind stress perturbation initiates deep convective mixing (Figs. 6 and 8). The cessation of open ocean convection in the Weddell Sea is reflected by the increase in water age (Fig. 9, middle row). The increase in age allows for the accumulation of  $\text{DIC}_{\text{rem}}$  and a symmetric, but smaller decrease in  $\text{DIC}_{\text{pre}}$  in the Atlantic sector of the Southern Ocean. The decrease in  $\text{DIC}_{\text{pre}}$  is caused by a decrease in  $\text{DIC}_{\text{dis}}$  south of the PF, reflecting a reduced source of  $\text{DIC}_{\text{rem}}$  to the surface via CDW, more time to equilibrate with the

atmosphere at the surface, and cessation of  $\text{DIC}_{\text{dis}}$  advection via AABW to the deep ocean. In agreement with the Weddell and Ross Sea asymmetry, an observational synthesis over the period 1980–2008 showed that in the Indo-Pacific sector of the Southern Ocean, a reduction in  $\text{CO}_2$  uptake is associated with strengthened westerlies, while in the more stratified Atlantic sector, enhanced uptake is associated with increased biological production and reduced vertical supply (Lenton et al. 2012). The other region notably influenced by the wind stress perturbation is the north Pacific (Fig. 8), where the imposed wind stress anomaly also reduces vertical mixing, with analogous consequences to those in the Weddell Sea.

### c. Response to solubility-driven changes

The final element of twenty-first-century climate change that we need to account for is the effect of SST and SSS

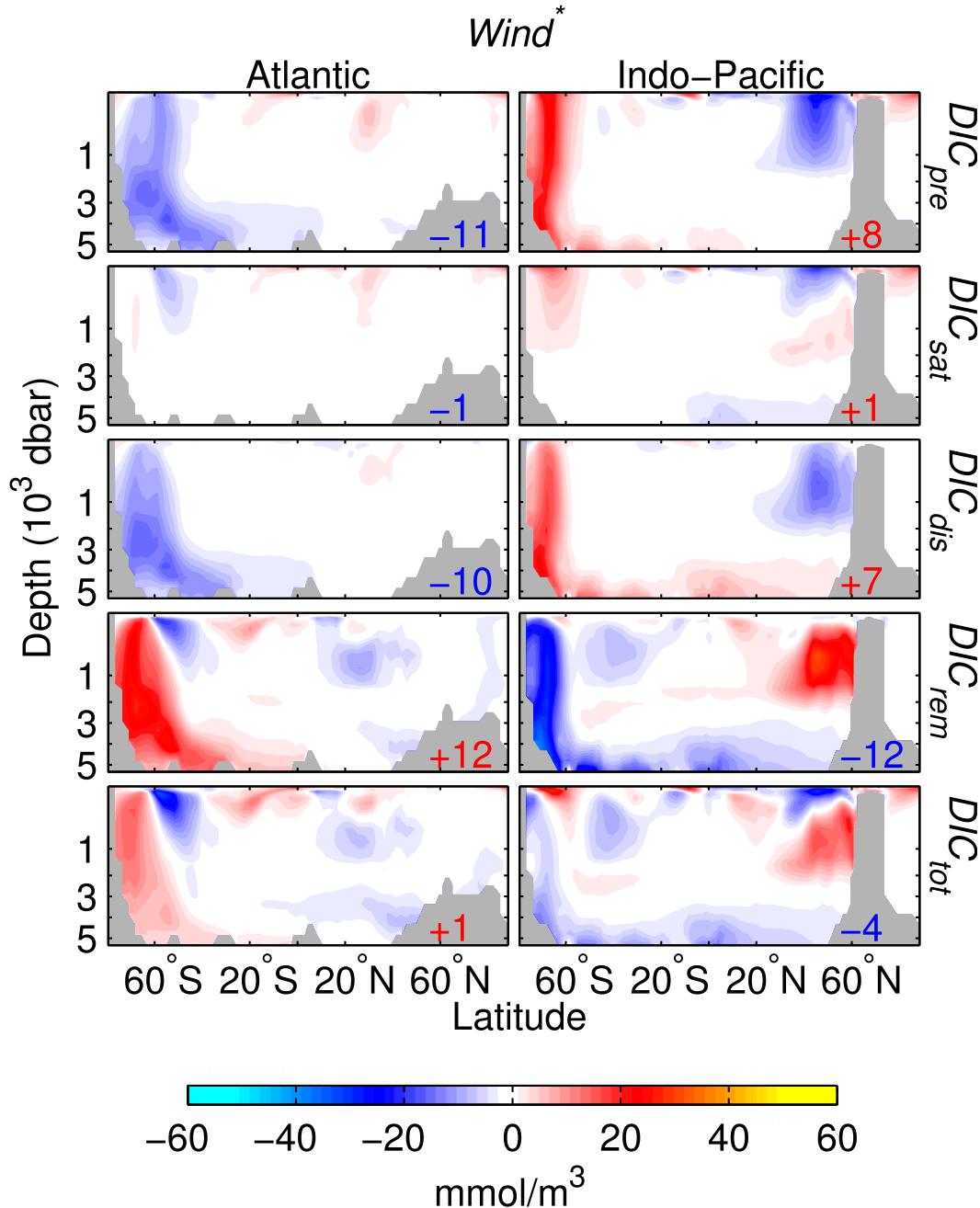


FIG. 8. Basin-specific zonal averages of DIC components anomalies with respect to the preindustrial state in the *Wind* experiment. Numbers refer to total mass gained or lost ( $\text{Pg C}$ ) for each DIC component. Note that here the two components forming  $\text{DIC}_{\text{pre}}$  are also shown [see Eq. (5)]. The figure shows the ensemble mean averaged over the period 2081–2100.

changes on the chemical solubility of  $\text{CO}_2$  at the ocean surface (experiment *Gas\_exchange*). Increasing SST (and to a lesser degree SSS) particularly in the subtropics (Fig. 1) results in reduced solubility of  $\text{CO}_2$  at the surface and a decrease of  $\text{DIC}_{\text{pre}}$  within the thermocline between  $45^\circ\text{S}$  and  $45^\circ\text{N}$  (Figs. 5 and 6). This decrease extends to a greater depth north of the equator, reflecting

a stronger simulated upper ocean warming in the Northern Hemisphere (Fig. 1).

#### d. Response to full Climate change

Our experiments suggest that on the centennial time scale and in the global average, slower Antarctic and North Atlantic overturning leads to a more efficient

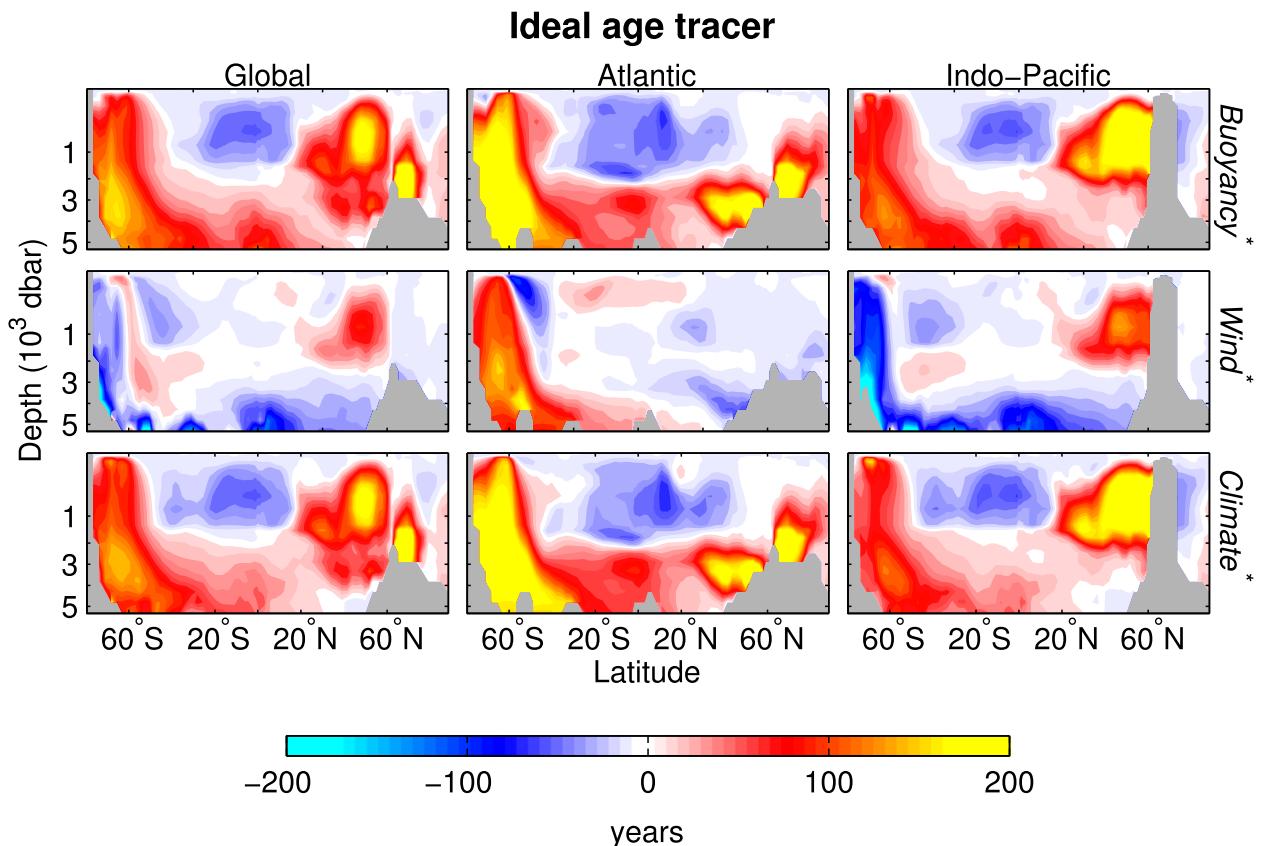


FIG. 9. Zonal averages for the changes with respect to the preindustrial state of the ideal age tracer in each experiment: (left) global, (middle) Atlantic Ocean, and (right) Indo-Pacific Ocean. The figure shows the ensemble mean averaged over the period 2081–2100.

biological pump (i.e., more  $\text{DIC}_{\text{rem}}$  storage), despite decreasing export production. This result can be thought of as broadly analogous to a decrease in the vertical mixing rate in the three-box model of Sarmiento and Toggweiler (1984), which strengthens biological carbon storage by essentially the same mechanism.

When considering changes in the natural carbon storage induced by changes in circulation only (i.e., Wind or Buoyancy simulations) the absolute response of  $\text{DIC}_{\text{pre}}$  is always weaker and of opposite sign to that of  $\text{DIC}_{\text{rem}}$  (see numbers in Figs. 5 and 6). This is because for a given perturbation in the circulation, regardless of the sign of the perturbation, the resultant  $\text{DIC}_{\text{pre}}$  anomaly is partially erased by gas exchange at the surface.

Indeed, reduced vertical mixing decreases the exchange of  $\text{DIC}_{\text{rem}}$  with the surface and its conversion to  $\text{DIC}_{\text{pre}}$  (thereby increasing  $\text{DIC}_{\text{rem}}$  and decreasing  $\text{DIC}_{\text{pre}}$  concentrations), but, at the same time, reduces the amount of  $\text{DIC}_{\text{pre}}$  outgassing to the atmosphere (thereby making the positive perturbation to  $\text{DIC}_{\text{rem}}$  larger than the negative perturbation to  $\text{DIC}_{\text{pre}}$ ). Alternatively, we can consider again the separation of  $\text{DIC}_{\text{pre}}$  into  $\text{DIC}_{\text{sat}}$

and  $\text{DIC}_{\text{dis}}$ , and their separate responses to climate change (Fig. 10). The decrease of  $\text{DIC}_{\text{dis}}$  is mostly associated with the decrease in vertical mixing in high latitudes. Weaker vertical mixing and reduced deep and intermediate water formation imply that less  $\text{DIC}_{\text{rem}}$  is brought to the surface in a given amount of time, surface waters have now more time to equilibrate with the atmosphere, and that less of the surface  $\text{DIC}_{\text{dis}}$  penetrates to the deep via deep and intermediate waters. All three aspects contribute to the decreases of  $\text{DIC}_{\text{dis}}$  observed in Fig. 10.

The reverse is also true: enhanced vertical mixing increases the amount of  $\text{DIC}_{\text{rem}}$  that touches the surface and gets transformed into  $\text{DIC}_{\text{pre}}$  (thereby decreasing  $\text{DIC}_{\text{rem}}$  and increasing  $\text{DIC}_{\text{pre}}$  concentrations), but some of the new  $\text{DIC}_{\text{pre}}$  appearing at the surface is lost to the atmosphere before being transported to the ocean interior (thereby making the negative perturbation to  $\text{DIC}_{\text{rem}}$  larger than the positive perturbation to  $\text{DIC}_{\text{pre}}$ ). Conversely, gas exchange does not affect  $\text{DIC}_{\text{rem}}$  by definition. As a result, anomalies in  $\text{DIC}_{\text{rem}}$  are conserved.

Considering the full effects of climate change (Climate\*), the resulting decreases of solubility and disequilibrium

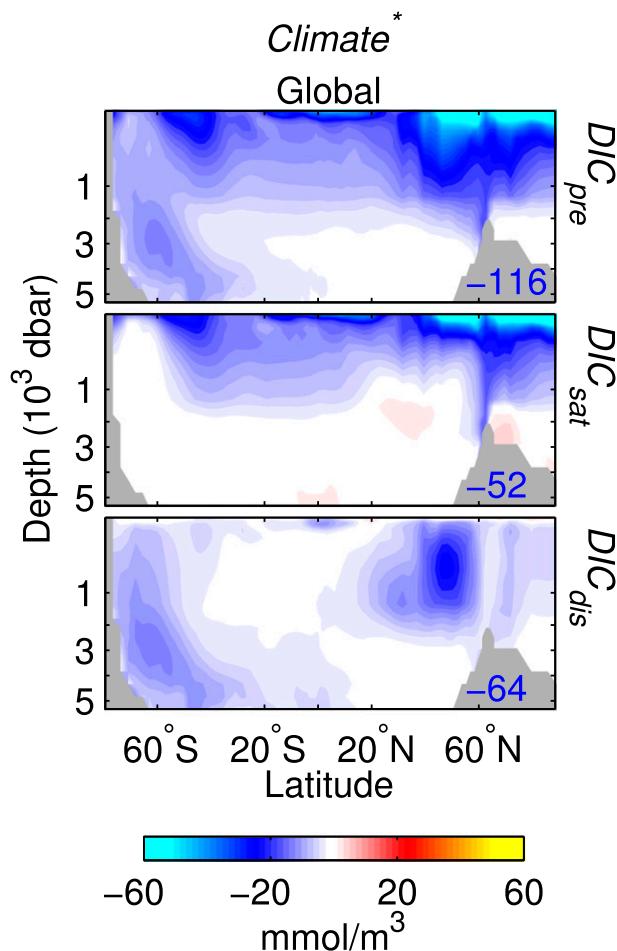


FIG. 10. Global zonal averages of  $\text{DIC}_{\text{pre}}$  and its two components  $\text{DIC}_{\text{sat}}$  and  $\text{DIC}_{\text{dis}}$ , as anomalies with respect to the preindustrial state in the Climate experiment. Numbers refer to total mass gained or lost (Pg C) for each DIC component. The figure shows the ensemble mean averaged over the period 2081–2100.

carbon dominate over the strengthening of the biological pump such that total DIC decreases (bottom panels in Fig. 5).

An interesting feature is present in the  $\text{DIC}_{\text{sat}}$  and  $\text{DIC}_{\text{pre}}$  response to climate change in the northern high latitudes. In the Gas\_exchange experiment, the change in  $\text{DIC}_{\text{pre}}$  is primarily due to temperature and salinity driven changes in the  $\text{DIC}_{\text{sat}}$  component, with minimal change in  $\text{DIC}_{\text{dis}}$  (not shown). The patterns of  $\text{DIC}_{\text{pre}}$  in the Gas\_exchange experiment (Fig. 5) and  $\text{DIC}_{\text{sat}}$  in the Climate experiment (Fig. 10) are then directly comparable, and very similar, suggesting that to a first order climate-driven changes in  $\text{DIC}_{\text{sat}}$  are driven by changes in SST and SSS affecting surface carbon solubility. However, a stronger decrease in  $\text{DIC}_{\text{sat}}$  in the Climate experiment in the northern high latitudes additionally reflects a climate-driven decrease in NADW formation rate and changes in surface Alk.

The final distribution of total oceanic DIC at the end of the twenty-first century in the Climate experiment reflects changes in the biological, chemical, and physical processes that regulate the uptake and storage of preformed and remineralized carbon. In a climate change scenario,  $\text{DIC}_{\text{rem}}$  increases in the deep and intermediate water formation regions at high latitudes but also everywhere in the deep ocean. While photosynthetic activity and remineralization occur to some degree everywhere, deep convection and the formation of the water masses that populate the deep ocean occur in very localized regions at high latitudes. Thus, the overall slowdown of the oceanic circulation allows for more  $\text{DIC}_{\text{rem}}$  to accumulate everywhere in the deep ocean due to continued, though weakening, global export production. Preformed DIC, on the other hand, relies solely on the circulation to reach the ocean interior, with no biological sources or sinks below the surface layer. For this reason the anomalies in deep ocean  $\text{DIC}_{\text{pre}}$  (mostly due to anomalies in  $\text{DIC}_{\text{dis}}$ ) are tightly connected to the high latitudes, where deep and intermediate ventilation occurs. Importantly,  $\text{DIC}_{\text{pre}}$  is also affected by the surface solubility effect, which contributes to its overall decrease in concentration in the upper 1000 m, particularly in the subtropical cells and northern high latitudes (Fig. 10, middle panel). The integrated response to future climate change is a large redistribution of carbon in the ocean with a strengthened vertical DIC gradient at low latitudes and higher DIC concentrations at high latitudes (Fig. 5). This new distribution of DIC reflects the contribution ( $-20$  Pg C) of the natural carbon cycle to the decrease of the total accumulated carbon uptake over the period 1860–2100 when climate-driven changes in the physical state of the ocean are taken into account.

#### e. Sources of nonlinearity

We have shown in section 3 that the wind stress perturbations (positive and negative) do not induce a strong feedback in the physical model. However, the sum of the DIC changes in the three perturbation experiments ( $\text{Wind}^* + \text{Buoyancy}^* + \text{Gas\_exchange}^*$ , final row of Fig. 6) do not sum precisely to the change in  $\text{Climate}^*$ . This nonlinearity is most apparent for a single DIC reservoir ( $\text{DIC}_{\text{pre}}$  or  $\text{DIC}_{\text{rem}}$ ) in a single basin. One example of a cause of such nonlinearity is the slowdown of convection, which can be brought on by both buoyancy forcing or wind stress perturbations, as is seen in the Weddell Sea. Convective mixing allows  $\text{DIC}_{\text{rem}}$  to reach the ocean's surface where some is outgassed and the rest converted to  $\text{DIC}_{\text{pre}}$ . Because convective mixing rapidly collapses in the Weddell Sea in  $\text{Buoyancy}^*$  and slows considerably in  $\text{Wind}^*$ , both accumulate  $\text{DIC}_{\text{rem}}$  there. However, the response of convection in  $\text{Climate}^*$  in the

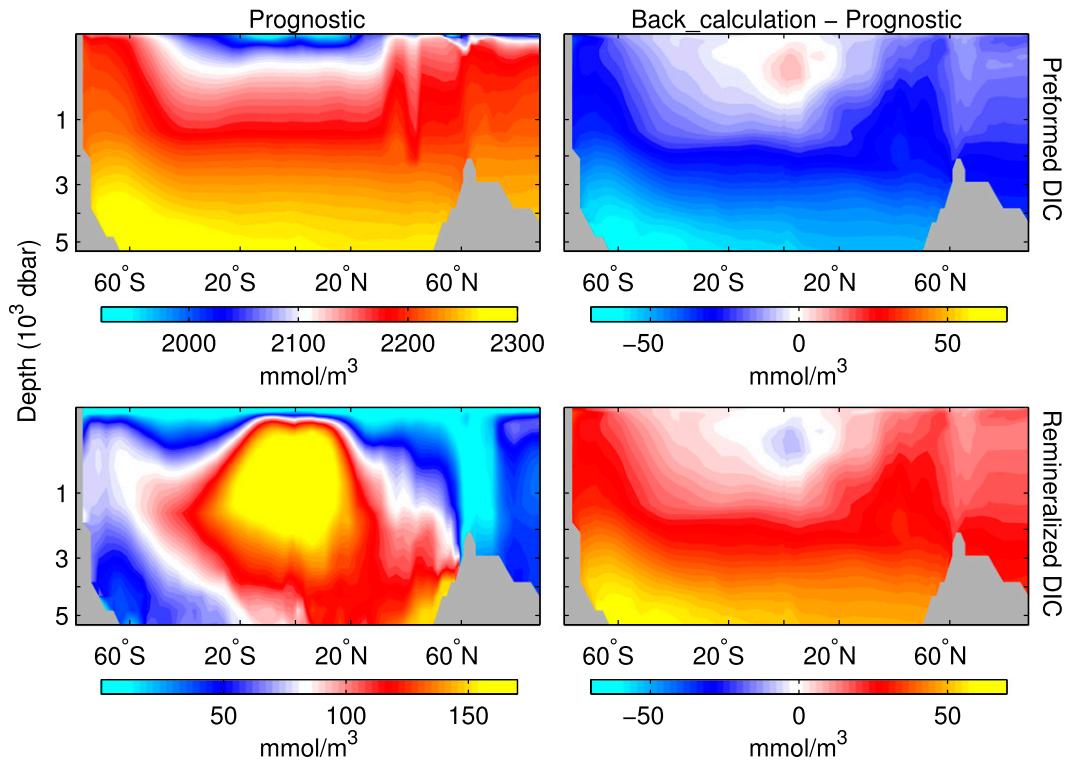


FIG. 11. Global zonal averages of  $\text{DIC}_{\text{pre}}$  and  $\text{DIC}_{\text{rem}}$  for the Control simulation as calculated with prognostic tracers (left column). The right column shows the difference between the estimate of DIC components using back-calculation techniques and the prognostic estimate. The figure shows the ensemble mean averaged over the period 2081–2100.

Weddell Sea does not significantly exceed its rapid shutdown in  $\text{Buoyancy}^*$ . Thus the sum of  $\text{Wind}^*$  and  $\text{Buoyancy}^*$  in  $\text{DIC}_{\text{rem}}$  changes is larger than the corresponding change in  $\text{Climate}^*$  in the Atlantic Ocean. Similar reasoning can be applied to the  $\text{Gas\_exchange}$  experiment. Although this experiment does not have any effect on the circulation, the perturbation applied to the  $\text{CO}_2$  gas exchange reflects climate-driven changes in heat and freshwater fluxes as well as associated changes in circulation. An anomaly in  $\text{CO}_2$  solubility at the surface of the ocean is transported and diffused into the interior by a preindustrial circulation in this experiment. However, the perturbation applied reflects surface conditions in the  $\text{Climate}$  experiment where the same solubility anomaly is transported and diffused in a different way. This discrepancy represents another source of nonlinearity that prevents the sum of the carbon changes from the different physical perturbations to exactly equal the signal obtained from the  $\text{Climate}$  simulation.

#### f. Comparison with back-calculation estimates

To compare our method to separate DIC components with traditional back-calculation techniques we have also

estimated  $\text{DIC}_{\text{rem}}$  using AOU and an empirical relationship for  $\text{Alk}_{\text{pre}}$  as it was done in previous modeling studies (e.g., Lauderdale et al. 2013; details are given in appendix B herein). Figure 11 shows the global zonal mean of  $\text{DIC}_{\text{rem}}$  and  $\text{DIC}_{\text{pre}}$  obtained with our method for the preindustrial simulation and the difference with the back-calculation method. As anticipated by Ito et al. (2004a) the use of AOU leads to an overestimate of the respiration (and of  $\text{DIC}_{\text{rem}}$ ) in the deep ocean. In terms of total mass, Table 1 shows how  $\text{DIC}_{\text{rem}}$  estimated from AOU and empirical  $\text{Alk}_{\text{pre}}$  is about 26% higher than  $\text{DIC}_{\text{rem}}$  estimated with prognostic tracers. About 63% of this overestimation can be attributed to the  $\text{DIC}_{\text{soft}}$  portion of  $\text{DIC}_{\text{rem}}$  and is caused by the assumption of oxygen being in equilibrium everywhere at the ocean's surface. As shown by Ito et al. (2004a) and Duteil et al. (2013) this assumption is not valid at high latitudes because newly formed water masses remain undersaturated when they enter the ocean interior. The remaining 37% of the overestimation of  $\text{DIC}_{\text{rem}}$  is caused by the inherent error associated in the empirical relationship used for the calculation of  $\text{Alk}_{\text{pre}}$ . In addition to the differences for the total  $\text{DIC}_{\text{rem}}$  pool between the back-calculation

and prognostic methods in the Control simulation, the change in  $\text{DIC}_{\text{rem}}$  under anthropogenic climate forcing is also different between the two techniques. In the Climate simulation, the change in  $\text{DIC}_{\text{rem}}$  estimated with the back-calculation method is 24% lower than the change estimated with prognostic tracers (Table 1). While the qualitative nature of our results (e.g., enhanced storage of  $\text{DIC}_{\text{rem}}$  under climate change) would have been similar with either calculation technique, the quantitative differences are substantial: the back-calculation method underestimates the perturbation to  $\text{DIC}_{\text{rem}}$  and this bias is mostly due to the response of the  $\text{DIC}_{\text{soft}}$  portion. We hypothesize that this underestimation stems from the assumption that  $\text{O}_2$  is saturated everywhere at the ocean's surface, which leads to an overestimate of baseline  $\text{DIC}_{\text{rem}}$ . As noted above, this assumption is most faulty in deep-water formation regions, where surface waters are significantly undersaturated. When deep-water formation slows, as is the case in the Climate simulation, there are fewer regions at the ocean's surface far from  $\text{O}_2$  equilibrium. However, the back-calculation method misses this evolution of the surface  $\text{O}_2$  disequilibrium and therefore underestimates the response of the  $\text{DIC}_{\text{rem}}$  pool under anthropogenic climate forcing. Although we have not archived a prognostic tracer for preformed oxygen we can still verify this explanation by quantifying the oxygen disequilibrium ( $\Delta\text{O}_2$ ) given the fixed stoichiometry assumed in the model (see appendix B for details). The difference in globally integrated  $\Delta\text{O}_2$  between the Climate and the Control simulations accounts for about 25 Pg C (after transforming it back to carbon) which equals almost exactly the difference in  $\text{DIC}_{\text{rem}}$  response to climate change forcing between the prognostic and back-calculation methods.

## 6. Conclusions

Our model results show that when changes in the physical state of the ocean are taken into account, climate change acts to modify the ocean natural carbon cycle contributing 20 Pg C to the decrease of the total accumulated carbon uptake over the period 1860–2100, under historical+RCP8.5 radiative forcing. This reduction in natural carbon storage represents a small positive feedback on atmospheric  $p\text{CO}_2$ . Importantly, this decrease in natural carbon storage is the residual between a significant strengthening in the storage of remineralized carbon (+96 Pg C) and an even larger reduction in preformed carbon (−116 Pg C). The enhanced remineralized carbon storage is due to the overall stratification of the water column resulting in reduced upwelling or mixing of carbon rich deep waters to the surface. The reduction in preformed carbon is to a similar extent due

to warming-induced decreases in  $\text{CO}_2$  solubility (and hence  $\text{DIC}_{\text{sat}}$ , −52 Pg C) and circulation-driven decreases in the amount of disequilibrium carbon penetrating in the deep ocean ( $\text{DIC}_{\text{dis}}$ , −64 Pg C). Our work shows that the magnitude of the redistribution of DIC in the ocean interior will depend strongly on the evolution of the ocean's overturning circulation over the twenty-first century, which depends in turn on wind and buoyancy changes. Although not directly addressed in our analysis, the preindustrial strength of natural carbon storage is likely to be another determining factor in setting the magnitude of oceanic carbon redistribution and the air–sea carbon partitioning under future climate change. Marinov et al. (2008a) showed, for example, that models with a highly efficient initial biological carbon pump respond less to factors that aim to increase the remineralized carbon storage.

Earth system models used to predict future climate trends (e.g., the CMIP5 model suite) show a wide range of MOC responses to a given emission scenario (Cheng et al. 2013) and use different parameterizations of oceanic biogeochemical processes; this will result in different background strengths of the biological pump and different degrees of redistribution and compensation between the biological and solubility driven processes over the twenty-first century. Our results suggest that changes in solubility and disequilibrium carbon are likely to dominate over changes in remineralized carbon. Separating the DIC into its various components helps to provide a mechanistic understanding of the ocean's response to a perturbation, which may be hidden when considering only the total DIC. Such a separation may help evaluate climate model skill against observational estimates of the partitioned DIC, as has been done for preformed and remineralized nutrients (Duteil et al. 2012). Moreover, a partitioning of the DIC components links DIC with other aspects of ocean biogeochemistry, with an important example provided by the consumption of oxygen implied in a shift from  $\text{DIC}_{\text{pre}}$  to  $\text{DIC}_{\text{rem}}$ . Further, we have shown how the use of prognostic tracers instead of back-calculation techniques for the DIC decomposition, reveals a more reactive  $\text{DIC}_{\text{rem}}$  pool, adding importance to the uncertainties associated to the formulation of biogeochemical models.

Two important caveats of our study are the use of a coarse-resolution model, and the simplified representation of ecological processes. The issue of model resolution on the response of ocean circulation to climate change and repercussions on carbon cycling are topics of active research in both modeling and observational communities (Hallberg and Gnanadesikan 2006; Farneti et al. 2010; Farneti and Delworth 2010; Ito et al. 2010; Meredith et al. 2012; Sallee et al. 2012; Dufour et al.

2012). There are many uncertainties in the degree to which an eddy-parameterizing model can faithfully simulate the ocean's response to perturbations in forcing. A well-known example of this uncertainty is in the Southern Ocean, where an increase and poleward shift in westerly wind stress has already been observed (Thompson and Solomon 2002). Coarse-resolution models typically show that such an increase should strengthen Southern Ocean upwelling and hence  $\text{CO}_2$  outgassing (Lovenduski et al. 2008; Delworth and Zeng 2008; Le Quéré et al. 2010), while eddy-resolving models point to a largely reduced response due to compensating responses of eddy-driven and Eulerian mean flows (Farneti et al. 2010; Meredith et al. 2012). Some observational studies are in disagreement as to whether the upwelling and the ventilation rates have changed or not (Böning et al. 2008; Waugh et al. 2013).

While biological productivity in our model is allowed to vary with light, temperature, and nutrients, which change with climate change, the remineralization profile is parameterized with a Martin-type curve (Martin et al. 1987), which only depends on the variable oxygen concentrations. It is not yet clear how ecological processes not included in this model such as changes in organic matter stoichiometry and ecosystem composition (which might affect in turn the remineralization profile) will change over the twenty-first century. This uncertainty may turn out to be of similar importance to the uncertainty associated to eddy parameterization.

The response of the ocean carbon uptake to future climate change was studied in a previous generation of 3D coupled climate models with less sophisticated atmosphere–ocean physics and ocean biogeochemistry than our current model (Sarmiento and Le Quéré 1996; Sarmiento et al. 1998; Matear and Hirst 1999). It is interesting to see that despite the increasing sophistication in coupled physics and biogeochemistry, our results are qualitatively similar to results from those studies, where the authors also noticed large compensations between the biological effect and the circulation effect, with the direct effect of SST and SSS on carbon solubility decreasing the net oceanic carbon uptake. Our results suggest that reductions in surface  $\text{CO}_2$  solubility will be the principal driver of the natural ocean carbon cycle response to climate warming over the twenty-first century. Contributions of the opposite sign will come from any weakening of the overturning circulation, mainly driven by changes in buoyancy fluxes, with wind-driven changes probably playing a minor role. However, we point out that, although these conclusions seem to hold up across subsequent generations of models, the high uncertainty associated with coarse resolution and biogeochemical parameterizations is still a major issue preventing us from safely excluding model dependency of these results.

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## APPENDIX A

### Biogeochemical Model Description

#### a. $\text{CaCO}_3$ production and dissolution

It has been argued that  $\text{CaCO}_3$  production by plankton depends on temperature, carbonate saturation state, and overall growth rates. However, an analysis by Jin et al. (2006) suggests that the  $\text{CaCO}_3$  production can be captured by scaling primary production by a constant rain ratio. We therefore follow the simple approach of a fixed Ca:P production ratio for small phytoplankton. The magnitude of this term is chosen to match the global  $\text{CaCO}_3$  export flux estimate of Sarmiento et al. (2002) under preindustrial forcing. For the dissolution of  $\text{CaCO}_3$  we calculate the carbonate saturation state ( $\text{CO}_3^{2-}/\text{CO}_3^{2-\text{sat}}$ ) from DIC and Alk following Sayles (1985). This is then used to modify the default dissolution length scale of 1343 m, such that there is no dissolution of sinking carbonate at  $\text{CO}_3^{2-} > \text{CO}_3^{2-\text{sat}}$ , and the dissolution length scale decreases from infinity to 1343 m as  $\text{CO}_3^{2-}$  approaches zero. The  $\text{CaCO}_3$  reaching the sediment is instantaneously dissolved and returned to the water column.

#### b. Organic carbon cycle, alkalinity, and gas exchange

Organic carbon cycles in a constant ratio with P (C:P = 106). This relationship holds through the uptake of  $\text{PO}_4$ , and the production and remineralization of dissolved and sinking organic P. Biological productivity is allowed to vary with light, temperature, and nutrients [see Galbraith et al. (2010) for a detailed description], the production of sinking particles follows Dunne et al. (2005), and the remineralization profile is parameterized with a Martin-type curve (Martin et al. 1987), which depends on the variable oxygen concentrations. Alkalinity is a prognostic tracer. It is subject to transportation by ocean circulation, and to biogeochemical sources and sinks ( $\text{CaCO}_3$  production and dissolution, and the implicit consumption and regeneration of  $\text{NO}_3$ ). There are no external inputs or

outputs (i.e., the whole ocean alkalinity is conserved). Gas exchange is calculated with the Ocean–Carbon Cycle Model Intercomparison Project (OCMIP2) protocol (Najjar and Orr 1999) using the wind speed-dependent parameterization of Wanninkhof (1992).

## APPENDIX B

### Back-Calculation Technique for DIC Decomposition

Based on the ideas of Brewer (1978) and Chen and Millero (1979) we calculated first the oxygen saturation concentration ( $O_2^{\text{sat}}$ ) as a function of temperature and salinity following Garcia and Gordon (1992). Assuming constant ratios  $r_{O:P} = 150$  and  $r_{C:P} = 106$  we calculated

$$\text{DIC}_{\text{soft}} = r_{C:P}/r_{O:P}(O_2^{\text{sat}} - O_2). \quad (\text{B1})$$

To calculate the carbonate dissolution contribution to DIC we assumed surface salinity ( $S$ ) and the tracer PO (Broecker 1974), defined as

$$\text{PO} = O_2 + r_{O:P}\text{PO}_4, \quad (\text{B2})$$

as independent variables in a multiple linear regression with surface Alk as a dependent variable (Gruber et al. 1996). We use this relation to calculate  $\text{Alk}_{\text{pre}}$  everywhere in the ocean as

$$\text{Alk}_{\text{pre}} = 0.036 + (0.067S) + (0.102\text{PO}). \quad (\text{B3})$$

We finally calculate  $\text{DIC}_{\text{carb}}$ , assuming  $r_{N:P} = 16$  as

$$\text{DIC}_{\text{carb}} = 0.5[\text{Alk} - \text{Alk}_{\text{pre}} + (r_{N:P}/r_{O:P})(O_2^{\text{sat}} - O_2)]. \quad (\text{B4})$$

Considered the fixed stoichiometry assumed in the model we can calculate preformed oxygen as

$$O_2^{\text{pre}} = r_{O:P}(\text{PO}_4 - \text{PO}_4^{\text{pre}}) + O_2 \quad (\text{B5})$$

and oxygen disequilibrium as

$$\Delta O_2 = O_2^{\text{pre}} - O_2^{\text{sat}}. \quad (\text{B6})$$

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